

**COMPUTER MATCHING AGREEMENT
BETWEEN
THE DEPARTMENT OF THE TREASURY/
THE INTERNAL REVENUE SERVICE
AND
THE SOCIAL SECURITY ADMINISTRATION**

**Income-Related Adjustments to Medicare Premiums
SSA Match #1310 IRS Project #693**

I. PURPOSE

This agreement between the Internal Revenue Service (IRS) and the Social Security Administration (SSA) is executed under the Privacy Act of 1974, 5 U.S.C. § 552a, as amended by the Computer Matching and Privacy Protection Act of 1988, and the Office of Management and Budget (OMB) guidance interpreting those statutes.

The premiums for Medicare medical insurance (Part B) and Medicare prescription drug coverage (Part D) are federally subsidized. Federal premium subsidy is the portion of the full cost of providing Medicare Part B coverage paid by the Federal Government through transfers into the Federal Supplementary Medical Insurance Trust Fund or the true cost of Part D based on the national average base premium, as determined by the Centers for Medicare & Medicaid Services (CMS), transferred into the Prescription Drug Coverage Account of the Federal Supplementary Medical Insurance Trust Fund. SSA determines the premium subsidy adjustment amount, which increases the monthly premium amount(s) payable by beneficiaries whose modified adjusted gross income (MAGI) exceeds the applicable threshold established in section 1839(i) of the Social Security Act (Act) (42 U.S.C. § 1395r(i)).

Medicare beneficiaries enroll in Medicare Part B and subsequently become entitled. Most beneficiaries described in sections 226 and 226A of the Act (42 U.S.C. §§ 426 and 426-1) become entitled to Part A (hospital insurance) and are automatically enrolled in Part B, but are given the opportunity to disenroll from Medicare Part B. Beneficiaries who disenroll from Medicare Part B may later choose to enroll during a general enrollment period or a special enrollment period. The enrollment periods for Medicare are further defined in section 1837 of the Act (42 U.S.C. § 1395p).

Participation in Part D is voluntary. Under the provisions described in section 1860D-1 of the Act (42 U.S.C. § 1395w-101), Medicare beneficiaries entitled to Medicare Part A, Part B, or both, may enroll with a Medicare-approved private sponsor during a prescribed enrollment period to obtain assistance with the purchase of covered medication. Medicare Part D provides for discounted beneficiary costs for prescription drugs, but beneficiaries usually must pay certain premiums, deductibles, and copayments. Medicare Part D beneficiaries enroll into or disenroll from Part D through the sponsor.

This agreement sets forth the terms under which IRS will disclose to SSA certain return information for the purpose of establishing the correct amount of Medicare Part B premium subsidy adjustments and Medicare Part D premium increases under sections 1839(i) and 1860D-13(a)(7) of the Act (42 U.S.C. §§ 1395r(i) and 1395w-113(a)(7)), as enacted by section 811 of the Medicare Prescription Drug, Improvement, and Modernization Act of 2003 (MMA; Pub. L. No. 108-173) and section 3308 of the Affordable Care Act of 2010 (Pub. L. No. 111-148).

II. LEGAL AUTHORITY

Section 6103(1)(20) of the Internal Revenue Code (IRC) (IRC § 6103(1)(20)) authorizes IRS to disclose specified return information to SSA with respect to taxpayers whose Part B and/or prescription drug coverage insurance premium(s) may (according to IRS records) be subject to premium subsidy adjustment pursuant to section 1839(i) or premium increase pursuant to section 1860D-13(a)(7) of the Act for the purpose of establishing the amount of any such adjustment or increase or for resolving taxpayer appeals with respect to such adjustment or increase. The return information IRS will disclose, as specified in Article V, subsection E includes adjusted gross income and specified tax-exempt income, collectively referred to in this agreement as MAGI (see Article III, subsection D). This return information will be used by officers, employees, and contractors of SSA to establish the appropriate amount of any such adjustment or increase; and to defend appeals with respect to such adjustment or increase.

Sections 1839(i) and 1860D-13(a)(7) of the Act (42 U.S.C. §§ 1395r(i) and 1395w-113(a)(7)) require the Commissioner of SSA to determine the amount of a beneficiary's premium subsidy adjustment, or premium increase, if the MAGI is above the applicable threshold as established in section 1839(i) of the Act (42 U.S.C. § 1395r(i)).

III. DEFINITIONS

- A. "Premium adjustment" is used throughout this document to refer collectively to the Part B Premium subsidy and the Part D prescription drug premium increase under sections 1839(i) and 1860D-13(a)(7) of the Act, respectively.
- B. "Premium year" means the calendar year for which SSA makes an income-related monthly adjustment amount determination.
- C. "Income-related monthly adjustment amount" (IRMAA) is an additional amount of premium that is paid by enrollees for Medicare Part B and/or Part D if their income is above the threshold amount. The IRMAA is based on MAGI.
- D. "Modified adjusted gross income" (MAGI) means the adjusted gross income, as defined by the Internal Revenue Code, plus specified tax-exempt income.

- E. “Threshold amount” means a MAGI amount above which the beneficiary will have to pay an IRMAA. For 2010-2019, the threshold amounts will be \$170,000 for beneficiaries who filed their income taxes as “married filing jointly,” and \$85,000 for all others.
- F. “Personally identifiable information” (PII) (as defined in OMB M-17-12 (January 3, 2017)) means any information that can be used to distinguish or trace an individual’s identity, either alone or when combined with other information that is linked or linkable to a specific individual.
- G. “Tax year” means the calendar year on which Federal individual income taxes are calculated.
- H. “Return information” means federal tax information (as defined by IRC § 6103(b)(2)) provided by IRS under this agreement and subject to confidentiality protection and safeguards required by IRC § 6103(p)(4).

IV. JUSTIFICATION AND ANTICIPATED RESULTS

A. Justification

The volume of requests for return information, and the method in which information documents are maintained, make computer matching the most feasible method of access.

B. Anticipated Results

1. IRS does not derive any benefit, direct or indirect, from this matching program, nor does IRS incur any unreimbursed costs associated with this program.
2. SSA expects to derive efficiencies and cost savings and expects that Medicare beneficiaries will experience minimal burden and expedited enrollment processes. The benefit of this computer match with respect to the Medicare Part B and Part D programs are the increased assurance that SSA achieves savings to the Medicare Part B account in the Medicare Supplementary Insurance Trust Fund.

Based on fiscal year (FY) 2018 data from the Master Beneficiary Record (MBR), the total benefits for this computer matching operation are nearly \$6.1 billion. The estimated cost is \$269,311, with a benefit to cost ratio of 22,614 to 1 (See Attachment D).

V. RESPONSIBILITIES OF THE PARTIES; RECORDS OF DESCRIPTION

A. SSA Responsibilities

1. SSA will disclose to IRS the name and Social Security number (SSN) of beneficiaries who either are enrolled in, or have become entitled to, Medicare Part B, Part D, or both. On a weekly basis, SSA will provide IRS with this information with respect to Medicare Part B and Part D beneficiaries who:

- a. are enrolled in Medicare under the rules in section 1837 of the Act (42 U.S.C. § 1395p) and have not disenrolled from Medicare Part B;
- b. have filed applications specifically for Medicare Part B;
- c. have been determined to have retroactive Medicare Part B entitlement; or
- d. have been provided to SSA as enrolled in Part D by CMS.

Hereinafter, the beneficiaries described above will be referred to as “enrollees.”

2. As part of the weekly transmission, SSA will include the name, SSN, premium year, and income threshold amounts for new enrollees. Once each year, on a date in October agreed upon between IRS and SSA, SSA will provide the name, SSN, premium year, income threshold amounts, and requested tax year with respect to all enrollees who asked SSA to use a more recent tax year, or for enrollees for whom IRS provided three years of return information on the initial request.
3. SSA will transmit the records to IRS electronically.
4. SSA will use the information obtained in IRS’ annual exchange set forth in Paragraph 2 (Section V) to correct Part B and Part D adjustment amounts for the requested premium year.

B. IRS Responsibilities

1. On a weekly basis, IRS will extract MAGI data pertaining to the enrollees from the Return Transaction File (RTF). IRS will extract MAGI data pertaining to the tax year beginning in the second calendar year preceding the year for which the premium adjustment is being calculated (the premium year). When MAGI data for the second tax year preceding the premium year is not available as of October 16 of the year immediately preceding the premium year, MAGI data pertaining to the third tax year preceding the premium year will be provided to SSA.
2. For the annual request, IRS will extract MAGI data as described above and provide the responsive records to SSA. For requests seeking more recent tax year data, IRS will extract MAGI data of the requested year, and provide the information to SSA.
3. IRS will transmit the records to SSA electronically.

C. Systems of Records (SOR)

1. SSA will provide IRS with identifying information with respect to enrollees pursuant to the Master Beneficiary Record (MBR), 60-0090, last fully published at 71 Federal Register (Fed. Reg.) 1826 (January 11, 2006), as amended by 72 Fed. Reg. 69723 (December 10, 2007), 78 Fed. Reg. 40542 (July 5, 2013), 83

Fed. Reg. 31250-31251 (July 3, 2018), and 83 Fed. Reg. 54969 (November 1, 2018) (Attachment A).

2. SSA will maintain the MAGI data provided by IRS pursuant to the Medicare Database (MDB) File System 60-0321, last fully published at 71 Fed. Reg. 42159 (July 25, 2006) as amended at 72 Fed. Reg. 69723 (December 10, 2007) and 83 Fed. Reg. 54969 (November 1, 2018) (Attachment B).
3. IRS will extract MAGI data from the RTF, which is part of the Customer Account Data Engine (CADE) Individual Master File (IMF), Treasury/IRS 24.030, last fully published at 80 Fed. Reg. 54063 (September 8, 2015) (Attachment C).
4. Disclosures may be made for routine uses as indicated in the respective System of Records Notices (SORN) as published in the Federal Register.
5. The information in these systems of records may be updated during the effective period of this agreement as required by the Privacy Act of 1974.

D. Number of Records

1. SSA sends IRS 51 weekly IRMAA Part B and/or Part D exchange requests per year. In addition, one week in October, SSA sends a combined weekly and annual IRMAA request that includes Part B and/or Part D enrollees.
 - a. The weekly average for FY 2019 IRMAA Part B excluding the annual exchange was approximately 71,392 records.
 - b. In October 2018 (FY 2019), SSA's separate annual IRMMA Part B and/or Part D request was 42,937,377 records.
 - c. The FY 2019 IRMMA exchange with IRS and the annual exchange in October 2018 include 52 weekly exchanges totaling an estimated 46,659,057 enrollees. This number includes both Part B and Part D enrollees.
2. IRS will provide a response record for each enrollee identified by SSA.

E. Specified Data Elements

1. SSA will electronically transmit to IRS the SSN, name, premium year, and income threshold for each enrollee for whom SSA requests MAGI data. If the enrollee has asked SSA to use a more recent tax year than the usual, SSA will also furnish IRS with an indicator and tax year.
2. When there is a match of enrollee identifiers, and the MAGI data shows income above the applicable threshold established pursuant to section 1839(i) of the Act, IRS will disclose to SSA the enrollee's:

- a. adjusted gross income dollar amount,
- b. tax-exempt income dollar amount,
- c. tax year involved, and
- d. filing status.

F. Data Exchange Schedule

The computer matching program under this agreement will be run weekly beginning with the effective date of this agreement for a period of 18 months, in accordance with schedules set by the IRS. SSA will deliver the request for information by 5:00 p.m. each Thursday. IRS will respond by 5:00 p.m. the following Wednesday. The annual extract pertaining to current Part B and Part D Medicare enrollees will run in October, on a date agreed to by IRS and SSA.

VI. NOTICE PROCEDURES

- A. SSA will publish notice of the matching program in the Fed. Reg. as required by the Privacy Act of 1974 (5 U.S.C. § 552a(e)(12)). Upon publication of this notice, a copy will be attached to this agreement as Attachment E.
- B. SSA will provide direct notice, in writing, that IRMAA may apply to those who participate in Part B, Part D, or both.

VII. VERIFICATION AND OPPORTUNITY TO CONTEST

- A. When SSA makes an initial determination that a Part B or Part D premium should be adjusted, pursuant to the Privacy Act of 1974 (5 U.S.C. § 552a(o)(1)(D)), SSA will notify each enrollee of the match findings and provide the following information:
 - 1. that SSA has received information that indicates that the proposed action is necessary; and
 - 2. that the enrollee has a specified number of days (at least 10) from the date of the notice to contest the proposed action to adjust the premium amounts or SSA will conclude that the data upon which that decision is based are correct and will make necessary adjustments.

Notice(s) will be sent to an enrollee's representative payee when authorized.

- B. SSA will independently verify the return information in accordance with the above procedures.
- C. If the enrollee asserts that IRS information is incorrect or the amount(s) of premium adjustments(s) is incorrect, SSA will provide a process compliant with the Privacy Act of 1974 (5 U.S.C. § 552a(p)), as described in regulations at 20 Code of Federal Regulations (C.F.R.) §§ 418.1135 and 418.1140, permitting the enrollee to provide to

SSA new evidence of MAGI that the enrollee obtained from IRS, requesting that SSA take corrective action.

VIII. DISPOSITION OF MATCHED RECORDS

A. SSA will:

1. Not create a separate file or system of records consisting of information concerning only those individuals who are involved in this specific matching program, except as necessary to control or verify the information for purposes of this program; and
2. Destroy the matching file generated through this matching operation as soon as the information has served the matching program purpose and all legal retention requirements established in conjunction with the National Archives and Records Administration under applicable procedures have been met.

B. IRS will:

Retain all SSA electronic request files (whether weekly or annually) for approximately 90 days. The information provided by SSA is not used by the IRS for any purpose other than this matching program. The IRS Office of Records & Information Management has deemed this information to be of a transitory nature, or 'transitory records', specifically 'intermediate input files' as defined in General Records Schedule 5.2, Item 010. The IRS will protect transitory records in the same manner that it protects IRS records. The Input/Tickler file will be destroyed when no longer needed for business use.

IX. SAFEGUARD REQUIREMENTS AND DISCLOSURE RESTRICTIONS

A. IRS and SSA will:

1. Comply with OMB loss reporting guidelines per OMB M-17-12 (January 3, 2017). In the event of an incident involving the loss or potential loss of PII, the agency experiencing the event is responsible for following its established procedures, including notification to the proper organizations (i.e., US-CERT), conducting a breach and risk analysis, and making a determination of the need for notice and/or remediation to individuals affected by the loss. If the agency analysis indicates that an individual notice is appropriate, the agency that had the incident will be the one to provide such notice.
2. Comply with Section 3544(a)(1)(A)(ii) of the Federal Information Security Management Act of 2002 (FISMA), as amended by the Federal Information Security Modernization Act of 2014, which requires agencies and their contractors to ensure their computer systems are FISMA compliant. In this regard, National Institute of Standards and Technology (NIST) standards and guidance must be implemented and adhered to by IRS and SSA's contractor(s).

B. SSA will:

1. Comply with the requirements of IRC § 6103(p)(4). These requirements are defined in IRS Publication 1075, *Tax Information Security Guidelines for Federal, State and Local Agencies*, and are the standards utilized by IRS Office of Safeguards, Governmental Liaison, Disclosure and Safeguards, hereafter referred to as “IRS Safeguards”, when conducting on-site reviews. The agency will have the opportunity to present alternative solutions and compensating controls where they are unable to meet specified requirements as part of the Corrective Action Plan (CAP) response process.
2. Submit an updated Safeguard Security Report (SSR) to IRS Safeguards by January 31st annually. The Head of Agency must certify the SSR fully describes the procedures established for ensuring the confidentiality of return information, addresses all Outstanding Actions identified by the IRS Safeguards from SSA’s prior year’s SSR submission; accurately and completely reflects the current physical and logical environment for the receipt, storage, processing and transmission of return information. Include a listing of any agreements executed by SSA with another federal agency which provides for disclosure of return information provided by IRS under this Agreement.
3. Allow IRS to conduct periodic safeguard reviews involving physical inspections of facilities where return information that SSA receives from IRS under a matching program is maintained as well as automated and manual testing of computer systems where return information that SSA receives from IRS under a matching program is maintained, to include contractor facilities and computer systems, to ensure IRS safeguarding requirements are met and will permit the IRS access to such facilities and computer systems as needed to review SSA and contractor compliance with the IRC § 6103(p)(4) requirements.
4. Officers, employees, and contractors of SSA who are entitled to access return information provided under this agreement must not access this information except to the extent necessary to achieve the purpose of the match. They must not disclose this information to any other officer or employee of SSA, nor to any contractor or employee of a contractor, whose official duties do not require this information to determine the applicable premium adjustment(s), if any, for the program specified in this agreement. Officers, employees, and contractors of SSA must not disclose this information except as specifically authorized by IRC § 6103(1)(20).
5. Officers, employees, or contractors of SSA who inspect or disclose return information obtained pursuant to this agreement in a manner or for a purpose not authorized by IRC § 6103(1)(20) are subject to the criminal penalty provisions of IRC §§ 7213 and 7213A, and of 18 U.S.C. § 1030(a)(2). In addition, SSA could

be required to assist the Department of Justice (DOJ) in defending, or a contractor could be required to defend, a civil damages action under IRC § 7431.

6. When a data incident results in the SSA taking adverse or disciplinary action against an employee based on an unauthorized inspection or disclosure of return information in violation of SSA's procedures, SSA must notify each impacted taxpayer in writing. The notification letter must include the date of the unauthorized inspection or disclosure, and notify the taxpayer of his or her rights to file a civil action under IRC § 7431. SSA must report to IRS Safeguards when taxpayer notification letters are issued, in accordance with Publication 1075.
7. Conduct periodic internal inspections of SSA facilities where return information is maintained, to ensure IRS safeguarding requirements and SSA security policies and procedures are being followed.
8. Effective December 31, 2022, IRC § 6103(p)(9) requires SSA to conduct on-site assessments of each contractor's compliance with safeguarding requirements. SSA must submit findings of the most recent review as part of the annual SSR submission. SSA must certify to the IRS that each contractor is in compliance with safeguarding standards in accordance with Publication 1075. SSA must ensure that contracts with contractors and subcontractors performing work involving return information contain specific language requiring compliance with IRC § 6103(p)(4) and Publication 1075 standards. Contract language includes right of access to contractor and subcontractor facilities to review compliance with IRS safeguarding requirements.
9. If SSA receives a Freedom of Information Act (FOIA) request for safeguard reports required to be filed with IRS by Publication 1075, SSA will consult with IRS to obtain its views on disclosure before responding to the request in accordance with DOJ guidance. IRS will promptly provide its views on the disclosability of the contents of the records to SSA. If SSA receives a FOIA request for a document that wholly originated from IRS or any communication from IRS that describes the security procedures that protect the return information provided under this agreement, SSA will refer the request to IRS for processing in accordance with DOJ guidance.
10. IRS procedures pursuant to regulations at 26 C.F.R. § 301.6103(p)(7)-1, include notification to SSA in writing when disclosures of return information to the Office of Medicare Hearings and Appeals (OMHA) and the Department Appeals Board (DAB) within the Department of Health and Human Services (DHHS), or DOJ must be terminated (or suspended for a period) for failure to maintain adequate safeguards required by IRC 6103(p)(4). Further, SSA will immediately advise IRS of any information reasonably indicating that one of these agencies is not in compliance with IRC § 6103(p)(4).

11. Approximately 120 days prior to a scheduled on-site safeguard review of SSA, IRS will send a notification and request for additional information required in preparation of the review. Ninety (90) days prior to the review, IRS Safeguards will host a preliminary security evaluation call to discuss systems and environment to determine potential scope of the on-site review. IRS Safeguards will deliver the proposed review scope approximately 60 days prior to start of the on-site review.

X. RECORDS USAGE AND DUPLICATION

SSA agrees to the following limitations on access to, and use and disclosure of, return information provided by IRS:

- A. Officers, employees, and contractors of SSA may use the return information provided by IRS only for the purposes of, and to the extent necessary in, establishing the appropriate amount of any premium adjustment under sections 1839(i) and 1860D-13(a)(7) of the Act or for the purpose of resolving taxpayer appeals with respect to any such premium adjustment or increase. SSA may not use the information in any manner or for any purpose not consistent with that authorized under IRC § 6103(1)(20). Any secondary use is specifically prohibited and may subject offending officers, employees, or contractors to the imposition of civil or criminal penalties, or both.
- B. SSA shall not disclose to any person in any manner, return information received pursuant to this agreement, except as necessary to determine the applicable premium adjustment(s) under the programs specified in this agreement or for the purpose of resolving taxpayer appeals with respect to any such premium adjustment or increase.
- C. IRS safeguarding requirements under IRC § 6103(p)(4) apply to DHHS/OMHA and DHHS/DAB, and to the DOJ as recipients of return information disclosed by SSA under IRC § 6103(l)(20) and this agreement.
- D. Incidents of suspected unauthorized inspections or disclosures of return information must be reported to TIGTA and IRS Safeguards within 24 hours of discovery.

XI. ACCURACY ASSESSMENTS

- A. IRS will initially validate all SSNs and names provided by SSA against its National Account Profile (NAP)-DM1 file prior to matching the records against the RTF. The correctness of the IRS return information provided to SSA is generally contingent upon the correctness of the information on the return.
- B. SSA does not have an accuracy assessment specific to the data elements listed in this agreement for the MBR, beneficiaries covered under the Social Security disability insurance program (Title II). However, SSA conducts periodic, statistically valid, stewardship (payment accuracy) reviews, in which the benefits or payments listed in this agreement are included as items available for review and correction. SSA quality

reviewers interview the selected Title II program beneficiaries or recipients. SSA reviews the non-medical factors of eligibility to determine whether the payment was correct. Based on the available study results, SSA has a reasonable assurance that SSA's accuracy assumptions of a 98 percent confidence level for the monthly benefits or payments listed in this agreement (FY 2018 Title II Stewardship Report, July 2019).

XII. ACCESS BY THE GOVERNMENT ACCOUNTABILITY OFFICE (COMPTROLLER GENERAL)

The Government Accountability Office (Comptroller General) may have access to IRS and SSA records, to the extent authorized by 26 U.S.C. § 6103 and 5 U.S.C. § 552a(o)(1)(K), for purposes of monitoring and verifying compliance with this Agreement.

XIII. REIMBURSEMENT

All work done by IRS for SSA under this agreement will be performed on a cost reimbursable basis. IRS will recover all reasonable direct and indirect costs, including overhead, associated with performing services for SSA under this agreement. Pursuant to IRC § 6103(p)(2)(B), IRS may prescribe a reasonable fee for furnishing return information.

Cost estimates will be prepared on an annual basis. The cost estimates for these activities will be detailed on an executed Form-429. The terms and conditions of reimbursement will remain in effect for the fiscal year unless those terms are modified by an amended Form-429. The IRS administers the computer matching program for the benefit of the agencies that participate in it. Accordingly, the IRS expects to recover 100 percent of the costs it incurs to administer this program. In the unlikely event actions by one or more Agencies significantly alter the total cost incurred by the IRS or the calculation of the Agencies' pro rata share of program costs, the IRS may need to adjust the computation of annual costs. If this occurs, your agency will be notified.

Costs associated with this program are primarily related to the actions by IRS required to make the data sharing program available to the SSA for the performance of the computer matching. These costs include personnel in place to administer the program, setup and testing of the matching system by Information Technology personnel, and the IRS Safeguards program and personnel necessary to ensure protection of the associated Federal tax information.

Billing will be at least quarterly, and may be monthly during the last quarter of the fiscal year. Actual costs may be higher or lower than the estimate. Both agencies must sign Forms 7600A and 7600B Interagency Agreement and SSA Form-429, Interagency Agreement Data Sheet, prior to the initiation of any services under this agreement. IRS' authority to incur obligations through the performance of services under this agreement and SSA's authority to reimburse IRS under this agreement shall not exceed the amounts specified in Forms 7600A and 7600B and Form-429. An amended Forms 7600A and

7600B and Form-429 will also be required if it becomes apparent that original cost estimates will be exceeded.

Reimbursement by SSA

SSA may incur costs under this agreement on a Federal fiscal year basis only. Since this agreement spans multiple fiscal years, SSA will prepare a new Form-429 at the beginning of each succeeding fiscal year that this agreement remains in effect. SSA's ability to incur costs for fiscal years beyond FY 2021 is subject to the availability of funds. If funds are not available for reimbursement, IRS is not required to perform this match.

XIV. DURATION OF THE AGREEMENT

The effective date of this computer matching agreement is October 1, 2020, provided that SSA reported the proposal to re-establish this matching program to the Congressional committees of jurisdiction and OMB in accordance with 5 U.S.C. § 552a(o)(2)(A) and OMB Circular A-108 (December 23, 2016), and SSA published notice of the matching program in the Fed. Reg. in accordance with 5 U.S.C. § 552a(e)(12).

90 days prior to the expiration of this agreement, the parties to this agreement may request a 12-month extension in accordance with 5 U.S.C. § 552a(o). If either agency does not want to extend this agreement, the agency should notify the partnering agency at least 90 days prior to the expiration of this agreement. This agreement may be modified at any time by a written modification to this agreement that satisfies both parties and is approved by the Data Integrity Board (DIB) of each agency.

XV. PERSONS TO CONTACT

Any change of the information pertaining to any contact must be promptly provided in writing to the contacts of the other agency.

A. IRS Contacts:

1. Project Coordinator

Patricia Grasela, Reimbursable Program Analyst
Data Services
Office of Governmental Liaison, Disclosure and Safeguards
BLN: 2.Q08-124
2970 Market Street
Philadelphia, PA 19104
Telephone: (267)466-5564
Fax: (855) 207-0455
Email: Patricia.Grasela@irs.gov

2. Safeguards and Recordkeeping Procedures

Joyce H. Peneau, Associate Director
Safeguards
Office of Governmental Liaison, Disclosures, and Safeguards
1332 Anacapa Street
Santa Barbara, CA 93101
Telephone: (805) 564-7518
Email: Joyce.H.Peneau@irs.gov

B. SSA Contacts:

1. Matching Program

Shawn Murphy, Government Information Specialist
Electronic Interchange & Liaison Division
Office of Privacy & Disclosures
Office of the General Counsel
6401 Security Boulevard, G-401 WHR
Baltimore, MD 21235
Telephone: (410) 965-8210
Email: Shawn.Murphy@ssa.gov

2. Systems Operations

Robin Ott, Director
Division of Title 2 and Medicare Analysis
Office of Benefit Information Systems
Office of Software Engineering
Office of the Deputy Commissioner for Systems
4700 Robert M. Ball Building
6401 Security Boulevard
Baltimore, MD 21235
Telephone: (410) 597-1384
Fax: (410) 597-1384
Email: Robin.Ott@ssa.gov

Jennifer Rutz, Director
Division of Compliance and Oversight
Office of Information Security
Office of Systems
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6201 Security Boulevard
Baltimore, MD 21235
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Email: Jennifer.Rutz@ssa.gov

3. Program and Policy

Zeenat Kolia
Acting Team Leader
Medicare Team
Office of Enumeration and Medicare Policy
6401 Security Boulevard, 2-A-17-E, Robert M. Ball Building
Baltimore, MD 21235
Telephone: (410) 965-8629
Fax: (410) 966-5366
Email: Zeenat.Kolia@ssa.gov

4. Reimbursement Information

Michele Bailey, Director
Division of Reimbursable and Administrative Collections
Office of Finance
Office of Financial Policy Operations
Office of Budget, Finance and Management
Social Security Administration
6401 Security Boulevard, 2-G-1 ELR
Baltimore, MD 21235
Telephone: (410) 965-0729
Email: Michele.Bailey@ssa.gov

5. Project Coordinator

Andrea Warren
Program Analyst
Agreements and Liaisons Branch
Office of Data Exchange
Office of Data Exchange and Policy Publications
Social Security Administration
6401 Security Boulevard, 4-B-9-F Annex
Baltimore, MD 21235
Telephone: (410) 966-5642
Email: Andrea.Warren@ssa.gov

XVI. AUTHORIZED OFFICIALS

- A. The official with authority to request information under this agreement on behalf of SSA is:

Matthew D. Ramsey
Executive Director
Office of Privacy and Disclosure
Office of the General Counsel
Social Security Administration
6401 Security Boulevard, G-400 WHR
Baltimore, MD 21235
Telephone: (410) 966-5839
Email: Matthew.Ramsey@ssa.gov

- B. The official with authority to disclose, or authorize the disclosure of, return information under this agreement on behalf of IRS is:

Phyllis T. Grimes, Director
Office of Governmental Liaison, Disclosure, and Safeguards
Internal Revenue Service
1111 Constitution Avenue, NW
Washington, DC 20224
Telephone: (202) 317-4202
Email: Phyllis.T.Grimes@irs.gov

XVII. LIMITATIONS

The terms of this agreement are not intended to alter, amend, or rescind any other current agreement or provision of Federal law now in effect. Any provision of this agreement that conflicts with Federal law is invalid.

XVIII. LIABILITY

- A. Each party to this agreement shall be liable for acts and omissions of its own employees.
- B. Neither party shall be liable for any injury to another party's personnel, nor damage to another party's property, unless such injury or damage is compensable under the Federal Tort Claims Act (28 U.S.C. § 1346(b)), or pursuant to other Federal statutory authority.
- C. Neither party shall be responsible for any financial loss incurred by the other, whether directly or indirectly, through the use of any data furnished pursuant to this agreement.

XIX. CONTINGENCY CLAUSE

This agreement is contingent on SSA meeting the Federal Safeguard requirements specified in section VII of this agreement. Matches with SSA under this agreement will be suspended or discontinued immediately if, at any time, IRS determines that SSA has failed to meet the Federal Safeguard requirements or any other Privacy Act requirements. See the regulations at 26 C.F.R. § 301.6103(p)(7)-1 regarding procedures for administrative review of such a determination.

XX. REPORT TO CONGRESS

When both the SSA DIB and the Treasury DIB have approved this agreement, SSA will submit a report of the matching program to Congress and OMB for review, and will provide a copy of such notification to IRS.

XXI. SIGNATURES OF AUTHORIZED OFFICIALS

The signatories below warrant and represent that they have the competent authority on behalf of their respective agencies to enter into the obligations set forth in this agreement.

Electronic Signature Acknowledgement: The signatories may sign this document electronically by using an approved electronic signature process. Each signatory electronically signing this document agrees that his/her electronic signature has the same legal validity and effect as his/her handwritten signature on the document, and that it has the same meaning as his/her handwritten signature.

Social Security Administration

Mary Ann Zimmerman

Date May 13, 2020

Mary Ann Zimmerman
Deputy Executive Director
Office of Privacy and Disclosure
Office of the General Counsel

The Social Security Administration DIB has reviewed this matching agreement and finds it in compliance with relevant statutes, regulations, and guidelines. We, therefore, approve the conduct of the aforementioned matching program.

Matthew Ramsey Digitally signed by Matthew Ramsey
Date: 2020.06.18 06:48:15 -04'00'

Date _____

Matthew D. Ramsey, DIB Chair
Data Integrity Board
Office of Privacy and Disclosure
Office of the General Counsel

Department of Treasury, Internal Revenue Service

Phyllis T. Grimes

Digitally signed by Phyllis T. Grimes
Date: 2020.05.19 17:08:49 -04'00'

Date 05/19/2020

Phyllis T. Grimes, Director

Office of Governmental Liaison, Disclosure, and Safeguards

The Treasury Data Integrity Board has reviewed this matching agreement and finds it in compliance with relevant statutes, regulations, and guidelines. We, therefore, approve the conduct of the aforementioned matching program.



Digitally signed by Ryan A.
Law
Date: 2020.06.19 09:50:53
-04'00'

Date 6/19/2020

Ryan Law, Chairperson, Treasury, Data Integrity Board

Deputy Assistant Secretary

Privacy, Transparency, and Records

XXII. EFFECTIVE DATE

This agreement is effective on the _____ day of _____, _____.

It expires on the _____ day of _____, _____.

Attachments:

- A. SSA System of Records Notice, SSA/ORSIS 60-0090
- B. SSA System of Records Notice, SSA/ORSIS 60-0321
- C. IRS System of Records Notice, Treasury/IRS 24.030
- D. Cost Benefit Analysis (CBA) for Income-Related Adjustments to Medicare Premiums Computer Matching Operation between the Social Security Administration (SSA) and the Internal Revenue Service (IRS) (Match 1310)
- E. SSA Notice of Matching Program, published in the Federal Register (Fed. Reg.)

Attachment A

SSA System of Records Notice, SSA/ORSIS 60-0090

71 Fed. Reg. 1826	(January 11, 2006)	Pages 21 – 25
72 Fed. Reg. 69723	(December 10, 2007)	Pages 26 – 28
78 Fed. Reg. 40542	(July 5, 2013)	Pages 29 – 31
83 Fed. Reg. 31250	(July 3, 2018)	Pages 32 – 33
83 Fed. REg 31251	(July 3, 208)	Pages 34 – 35
83 Fed. Reg. 54969	(November 1, 2018)	Pages 36 – 40

will be required to submit a request in writing or in person. If an individual is requesting information by telephone on behalf of another individual, the subject individual must be connected with SSA and the requesting individual in the same phone call. SSA will establish the subject individual's identity (his/her name, SSN, address, date of birth and place of birth, along with one other piece of information, such as mother's maiden name) and ask for his/her consent in providing information to the requesting individual.

If a request for notification is submitted by mail, an individual must include a notarized statement to SSA to verify his/her identity or must certify in the request that he/she is the person claimed to be and that he/she understands that the knowing and willful request for, or acquisition of, a record pertaining to another individual under false pretenses is a criminal offense. These procedures are in accordance with SSA Regulations (20 CFR 401.40(c)).

RECORD ACCESS PROCEDURES:

Same as Notification procedures. Also, requesters should reasonably specify the record contents being sought. These procedures are in accordance with SSA Regulations (20 CFR 401.40(c)).

CONTESTING RECORD PROCEDURES:

Same as Notification procedures. Also, requesters should reasonably identify the record, specify the information they are contesting and the corrective action sought, and the reasons for the correction, with supporting justification showing how the record is incomplete, untimely, inaccurate or irrelevant. These procedures are in accordance with SSA Regulations (20 CFR 401.65(a)).

RECORD SOURCE CATEGORIES:

Information is furnished by the inquirer and generated by SSA in response to inquiries.

SYSTEMS EXEMPTED FROM CERTAIN PROVISIONS OF THE PRIVACY ACT:

None.

SYSTEM NUMBER: 60-0090

SYSTEM NAME:

Master Beneficiary Record, Social Security Administration, Deputy Commissioner for Systems, Office of Retirement and Survivors Insurance Systems (ORSIS).

SECURITY CLASSIFICATION:

None.

SYSTEM LOCATION:

Social Security Administration, Office of Telecommunications and Systems Operations, 6401 Security Boulevard, Baltimore, MD 21235.

CATEGORIES OF INDIVIDUALS COVERED BY THE SYSTEM:

All Social Security beneficiaries who are, or were, entitled to receive Retirement and Survivors Insurance (RSI), or Disability Insurance (DI) benefits, including individuals who have received a RSI or DI payment since November 1978, even if their payment is not part of an ongoing award of benefits; individuals (non-claimants) on whose earnings records former spouses apply for RSI or DI benefits; persons who are only enrolled in the Hospital or Supplementary Medical Insurance (SMI) programs; and claimants whose benefits have been denied or disallowed.

The system also contains short references to records for persons entitled to Supplemental Security Income payments, black lung benefits or railroad retirement board benefits.

CATEGORIES OF IN THE SYSTEM:

The Master Beneficiary Record (MBR) contains information about each claimant who has applied for RSI or DI benefits, or to be enrolled in the Hospital or SMI programs; a record of the amount of Federal tax withheld on benefits paid to nonresident aliens; and the aggregate amount of benefit payments, repayments and reductions with respect to an individual in a calendar year. A record is maintained under each individual's Social Security number (SSN). However, if the individual has filed on another person's SSN, only a short "pointer" record is maintained. Personal and general data about the claim is maintained under the SSN of that claim. Data about the claimant can be accessed using the claimant's SSN or the SSN on which benefits have been awarded or claimed (claim account number (CAN)).

There are three types of data in each CAN:

Account data: This includes the primary insurance amount, insured status of the SSN holder (if no monthly benefits are payable), data relating to the computation (use of military service credits, railroad retirement credits, or coverage credits earned under the Social Security system of a foreign country when the claim is based on a totalization agreement), and, if only survivor's benefits have been paid, identifying data about the SSN holder (full name, date of birth, date of death and verification of date of death).

Payment data: This includes the payee's name and address, data about a financial institution (if benefits are sent directly to the institution for deposit), the monthly payment amount, the amount and date of a one-time payment of past due benefits, and, where appropriate, a scheduled future payment.

Beneficiary data: This includes personal information (name, date of birth, sex, date of filing, relationship to the SSN holder, other SSNs, benefit amount and payment status), and, if applicable, information about a representative payee, data about disability entitlement, worker's compensation offset data, estimates and report of earnings, or student entitlement information.

AUTHORITY FOR MAINTENANCE OF THE SYSTEM:

Sections 202-205, 223, 226, 228, 1818, 1836, and 1840 of the Social Security Act (42 U.S.C. 402-405, 423, 426, 428, 1395i-2, 1395o, and 1395s).

PURPOSES(S):

Data in this system are used by a broad range of Social Security Administration (SSA) employees for responding to inquiries, generating follow-ups on beneficiary reporting events, computer exception processing, statistical studies, conversion of benefits, and generating records for the Department of the Treasury to pay the correct benefit amount.

ROUTINE USES OF RECORDS MAINTAINED IN THE SYSTEM, INCLUDING CATEGORIES OF USERS AND THE PURPOSES OF SUCH USES:

Disclosure may be made for routine uses as indicated below. However, disclosure of any information defined as "return or return information" under 26 U.S.C. 6103 of the Internal Revenue Code will not be disclosed unless authorized by a statute, the Internal Revenue Service (IRS), or IRS regulations.

1. To applicants or claimants, prospective applicants or claimants (other than the data subject), their authorized representatives or representative payees to the extent necessary to pursue Social Security claims, and to representative payees, when the information pertains to individuals for whom they serve as representative payees, for the purpose of assisting the Social Security Administration in administering its representative payment responsibilities under the Act and assisting the representative payees in performing their duties as payees, including receiving and accounting for benefits for individuals for whom they serve as payees.

2. To third party contacts (e.g., employers and private pension plan) in situations where the party to be contacted has, or is expected to have, information relating to the individual's capability to manage his/her affairs or his/her eligibility for, or entitlement to, benefits under the Social Security program when:

(a) The individual is unable to provide information being sought. An individual is considered to be unable to provide certain types of information when:

(i) He/she is incapable or of questionable mental capability;

(ii) He/she cannot read or write;

(iii) He/she cannot afford the cost of obtaining the information;

(iv) He/she has a hearing impairment, and is contacting SSA by telephone through a telecommunications relay system operator;

(v) A language barrier exists; or

(vi) The custodian of the information will not, as a matter of policy, provide it to the individual; or

(b) The data are needed to establish the validity of evidence or to verify the accuracy of information presented by the individual, and it concerns one or more of the following:

(i) His/her eligibility for benefits under the Social Security program;

(ii) The amount of his/her benefit payment; or

(iii) Any case in which the evidence is being reviewed as a result of suspected fraud, concern for program integrity, quality appraisal, or evaluation and measurement activities.

3. To third party contacts that may have information relevant to the Social Security Administration's establishment or verification of information provided by representative payees or payee applicants.

4. To a Social Security beneficiary/claimant when a claim is filed by another individual on the same record which is adverse to the beneficiary, but only information concerning the facts relevant to the interests of each party in a claim; e.g.:

(a) An award of benefits to a new claimant precludes an award to a prior claimant; or

(b) An award of benefits to a new claimant will reduce the benefit payments to the individual(s) on the roll.

5. To the Department of the Treasury for:

(a) Collecting Social Security taxes or as otherwise pertinent to tax and benefit payment provisions of the Social Security Act (including Social Security number verification services);

(b) Investigating the alleged theft, forgery, or unlawful negotiation of Social Security checks;

(c) Determining the Federal tax liability on Social Security benefits pursuant to 26 U.S.C. 6050F, as amended by Pub. L. 98-21. The information disclosed will consist of the following:

(i) The aggregate amount of Social Security benefits paid with respect to any individual during any calendar year;

(ii) The aggregate amount of Social Security benefits repaid by such individual during such calendar year;

(iii) The aggregate reductions under section 224 of the Social Security Act in benefits which would otherwise have been paid to such individual during the calendar year on account of amounts received under a worker's compensation act; and

(iv) The name and address of such individual;

(d) Depositing the tax withheld on benefits paid to nonresident aliens in the Treasury (Social Security Trust Funds) pursuant to 26 U.S.C. 871, as amended by Pub. L. 98-21.

6. To the United States Postal Service for investigating the alleged theft or forgery of Social Security checks.

7. To the Department of Justice for:

(a) Investigating and prosecuting violations of the Act to which criminal penalties attach;

(b) Representing the Commissioner of Social Security; and

(c) Investigating issues of fraud by Agency officers or employees, or violation of civil rights.

8. To the Department of State for administering the Social Security Act in foreign countries through services and facilities of that agency.

9. To the American Institute, a private corporation under contract to the Department of State, for administering the Social Security Act on Taiwan through facilities and services of that agency.

10. To the Department of Veterans Affairs, Regional Office, Manila, Philippines, for administering the Act in the Philippines and other parts of the Asia-Pacific region through the services and facilities of that agency.

11. To the Social Security Agency of a foreign country, to carry out the purpose of an international Social Security agreement entered into between the United States and the other country, pursuant to section 233 of the Social Security Act.

12. To the Office of the President for the purpose of responding to an individual pursuant to an inquiry received from that individual or from a third party on his/her behalf.

13. To the Department of Education for determining eligibility of applicants for basic educational opportunity grants.

14. To the Bureau of the Census when it performs as a collecting agent or data processor for research and statistical purposes directly relating to this system of records.

15. To the Department of the Treasury, Office of Tax Analysis, for studying the effects of income taxes and taxes on earnings.

16. To the Office of Personnel Management for the study of the relationship of civil service annuities to minimum Social Security benefits, and the effects on the Social Security trust fund.

17. To State Social Security Administrators for administering agreements pursuant to section 218 of the Social Security Act.

18. To the Department of Energy for its epidemiological research study of the long-term effects of low-level radiation exposure, as permitted by SSA Regulations 20 CFR 401.150(c).

19. To contractors under contract to the Social Security Administration (SSA), or under contract to another agency with funds provided by SSA, for the performance of research and statistical activities directly relating to this system of records.

20. To a congressional office in response to an inquiry from that office made at the request of the subject of a record.

21. To the Department of Labor for conducting statistical studies of the relationship of private pensions and Social Security benefits to prior earnings.

22. To a party named in an order, process, or interrogatory, in accordance with section 459 of the Social Security Act, if a designee of the Agency is served with any such order, process, or interrogatory with respect to an individual's child support or alimony payment obligations.

23. To Federal, State, or local agencies (or agents on their behalf) for administering income maintenance or health maintenance programs (including programs under the Social Security Act). Such disclosures include, but are not limited to, release of information to:

(a) Railroad Retirement Board for administering provisions of the Railroad Retirement Act relating to railroad employment; for administering the Railroad Unemployment Insurance Act and for administering provisions of the Social Security Act relating to railroad employment;

(b) Department of Veterans Affairs for administering 38 U.S.C. 1312, and upon request, for determining eligibility for,

or amount of, veterans benefits or verifying other information with respect thereto pursuant to 38 U.S.C. 5106;

(c) State welfare departments for administering sections 205(c)(2)(B)(i)(II) and 402(a)(25) of the Social Security Act requiring information about assigned Social Security numbers for Temporary Assistance for Needy Families (TANF) program purposes and for determining a recipient's eligibility under the TANF program; and

(d) State agencies for administering the Medicaid program.

24. To the Department of Justice, Criminal Division, Office of Special Investigations, upon receipt of a request for information pertaining to the identity and location of aliens for the purpose of detecting, investigating and, where appropriate, taking legal action against suspected Nazi war criminals in the United States.

25. To third party contacts such as private collection agencies and credit reporting agencies under contract with the Social Security Administration (SSA) and State motor vehicle agencies for the purpose of their assisting SSA in recovering overpayments.

26. To contractors and other Federal agencies, as necessary, for the purpose of assisting the Social Security Administration (SSA) in the efficient administration of its programs. We will disclose information under the routine use only in situations in which SSA may enter into a contractual or similar agreement with a third party to assist in accomplishing an agency function relating to this system of records.

27. To the General Services Administration and the National Archives Records Administration (NARA) under 44 U.S.C. 2904 and 2906, as amended by the NARA Act of 1984, information which is not restricted from disclosure by Federal law for the use of those agencies in conducting records management studies.

28. To the Federal Reserve Bank of New York for the purpose of making direct deposit/electronic funds transfer of Social Security benefits to foreign-resident beneficiaries.

29. To the Department of Justice (DOJ), a court or other tribunal, or another party before such tribunal when:

(a) Social Security Administration (SSA), or any component thereof, or

(b) Any SSA employee in his/her official capacity; or

(c) Any SSA employee in his/her individual capacity where DOJ (or SSA where it is authorized to do so) has agreed to represent the employee; or

(d) The United States or any agency thereof where SSA determines that the

litigation is likely to affect the operations of SSA or any of its components, is a party to litigation or has an interest in such litigation, and SSA determines that the use of such records by DOJ, a court or other tribunal, or another party before such tribunal, is relevant and necessary to the litigation, provided, however, that in each case, SSA determines that such disclosure is compatible with the purpose for which the records were collected.

30. To the Rehabilitation Services Administration (RSA) for use in its program studies of, and development of enhancements for, State vocational rehabilitation programs. These are programs to which applicants or beneficiaries under Titles II and or XVI of the Social Security Act may be referred. Data released to RSA will not include any personally identifying information (such as names or Social Security numbers).

31. To the Department of Education addresses of beneficiaries who are obligated on loans held by the Secretary of Education or a loan made in accordance with 20 U.S.C. 1071, *et seq.* (the Robert T. Stafford Federal Student Loan Program) as authorized by section 489A of the Higher Education Act of 1965.

32. To student volunteers, individuals working under a personal services contract, and other workers who technically do not have the status of Federal employees, when they are performing work for the Social Security Administration (SSA), as authorized by law, and they need access to personally identifiable information in SSA records in order to perform their assigned Agency functions.

33. To Federal, State, and local law enforcement agencies and private security contractors, as appropriate, information necessary:

(a) To enable them to protect the safety of Social Security Administration (SSA) employees and customers, the security of the SSA workplace and the operation of SSA facilities; or

(b) To assist investigations or prosecutions with respect to activities that affect such safety and security or activities that disrupts the operation of SSA facilities.

34. To recipients of erroneous Death Master File (DMF) information, corrections to information that resulted in erroneous inclusion of individuals in the DMF.

35. To entities conducting epidemiological or similar research projects, upon request, information as to whether an individual is alive or deceased pursuant to section 1106(d) of

the Social Security Act (42 U.S.C. 1306(d)), provided that:

(a) The Social Security Administration (SSA) determines, in consultation with the Department of Health and Human Services, that the research may reasonably be expected to contribute to a national health interest; and

(b) The requester agrees to reimburse SSA for the costs of providing the information; and

(c) The requester agrees to comply with any safeguards and limitations specified by SSA regarding re-release or re-disclosure of the information.

36. To a Federal, State, or congressional support agency (e.g., Congressional Budget Office and the Congressional Research Staff in the Library of Congress) for research, evaluation, or statistical studies. Such disclosures include, but are not limited to, release of information in assessing the extent to which one can predict eligibility for Supplemental Security Income (SSI) payments or Social Security disability insurance benefits; examining the distribution of Social Security benefits by economic and demographic groups and how these differences might be affected by possible changes in policy; analyzing the interaction of economic and non-economic variables affecting entry and exit events and duration in the Title II Old Age, Survivors, and Disability Insurance and the Title XVI SSI disability programs; and, analyzing retirement decisions focusing on the role of Social Security benefit amounts, automatic benefit recomputation, the delayed retirement credit, and the retirement test, if the Social Security Administration (SSA):

(a) Determines that the routine use does not violate legal limitations under which the record was provided, collected, or obtained;

(b) Determines that the purpose for which the proposed use is to be made:

(i) Cannot reasonably be accomplished unless the record is provided in a form that identifies individuals;

(ii) Is of sufficient importance to warrant the effect on, or risk to, the privacy of the individual which such limited additional exposure of the record might bring;

(iii) Has reasonable probability that the objective of the use would be accomplished;

(iv) Is of importance to the Social Security program or the Social Security beneficiaries or is for an epidemiological research project that relates to the Social Security program or beneficiaries;

(c) Requires the recipient of information to:

(i) Establish appropriate administrative, technical, and physical safeguards to prevent unauthorized use or disclosure of the record and agree to on-site inspection by SSA's personnel, its agents, or by independent agents of the recipient agency of those safeguards;

(ii) Remove or destroy the information that enables the individual to be identified at the earliest time at which removal or destruction can be accomplished consistent with the purpose of the project, unless the recipient receives written authorization from SSA that it is justified, based on research objectives, for retaining such information;

(iii) Make no further use of the records except:

(1) Under emergency circumstances affecting the health and safety of any individual, following written authorization from SSA;

(2) For disclosure to an identified person approved by SSA for the purpose of auditing the research project;

(iv) Keep the data as a system of statistical records. A statistical record is one which is maintained only for statistical and research purposes and which is not used to make any determination about an individual;

(d) Secures a written statement by the recipient of the information attesting to the recipient's understanding of, and willingness to abide by, these provisions.

37. To the Secretary of Health and Human Services or to any State, the Commissioner shall disclose any record or information requested in writing by the Secretary for the purpose of administering any program administered by the Secretary, if records or information of such type were so disclosed under applicable rules, regulations and procedures in effect before the date of enactment of the Social Security Independence and Program Improvements Act of 1994.

DISCLOSURE TO CONSUMER REPORTING AGENCIES:

Disclosure pursuant to 5 U.S.C. 552a(b)(12) may be made to consumer reporting agencies as defined in the Fair Credit Reporting Act (15 U.S.C. 1681a(f)) or the Federal Claims Collection Act of 1966 as amended (31 U.S.C. 3701, *et seq.*) or the Social Security Domestic Employment Reform Act of 1994, Public Law 103-387, 42 U.S.C. 404(f). The purpose of this disclosure is to aid in the collection of outstanding debts owed to the Federal Government, typically, to provide an incentive for debtors to repay

delinquent Federal Government debts by making these part of their credit records.

Disclosure of records is limited to the individual's name, address, SSN, and other information necessary to establish the individual's identity; the amount, status, and history of the claim and the agency or program under which the claim arose. The disclosure will be made only after the procedural requirements of 31 U.S.C. 3711(e) has been followed.

POLICIES AND PRACTICES FOR STORING, RETRIEVING, ACCESSING, RETAINING AND DISPOSING OF RECORDS IN THE SYSTEM:

STORAGE:

Records are stored in magnetic media (e.g., magnetic tape and magnetic disk) and in microform and paper form.

RETRIEVABILITY:

Records in this system are indexed and retrieved by SSN.

SAFEGUARDS:

Safeguards for automated records have been established in accordance with the Systems Security Handbook. All magnetic tapes and disks are within an enclosure attended by security guards. Anyone entering or leaving this enclosure must have special badges which are issued only to authorized personnel. All microform and paper files are accessible only by authorized personnel and are locked after working hours.

For computerized records, electronically transmitted between SSA's central office and field office locations (including organizations administering SSA programs under contractual agreements), safeguards include a lock/unlock password system, exclusive use of leased telephone lines, a terminal oriented transaction matrix, and an audit trail. Access http://www.socialsecurity.gov/foia/bluebook/app_g.htm for additional information relating to SSA data security measures.

RETENTION AND DISPOSAL:

Primary data storage is on magnetic disk. A new version of the disk file is generated each month based on changes to the beneficiary's record (adjustment in benefit amount, termination, or new entitlements). The prior version is written to tape and retained for 90 days in SSA's main data processing facility and is then sent to a secured storage facility for indefinite retention.

Selected records also are retained on magnetic disk for on-line query purposes. The query files are updated monthly and retained indefinitely. Microform records are disposed of by

shredding or the application of heat after periodic replacement of a complete file.

Paper records are usually destroyed after use, by shredding, except where needed for documentation of the claims folder. (See the notice for the Claims Folders System, 60-0089 for retention periods and method of disposal for these records).

SYSTEM MANAGER(S) AND ADDRESS(ES):

Associate Commissioner, Office of Retirement and Survivors Insurance Systems, Social Security Administration, 6401 Security Boulevard, Baltimore, MD 21235.

NOTIFICATION PROCEDURES:

An individual can determine if this system contains a record about him/her by writing to the system manager(s) at the above address and providing his/her name, SSN or other information that may be in the system of records that will identify him/her. An individual requesting notification of records in person should provide the same information, as well as provide an identity document, preferably with a photograph, such as a driver's license or some other means of identification. If an individual does not have any identification documents sufficient to establish his/her identity, the individual must certify in writing that he/she is the person claimed to be and that he/she understands that the knowing and willful request for, or acquisition of, a record pertaining to another individual under false pretenses is a criminal offense.

If notification is requested by telephone, an individual must verify his/her identity by providing identifying information that parallels information in the record to which notification is being requested. If it is determined that the identifying information provided by telephone is insufficient, the individual will be required to submit a request in writing or in person. If an individual is requesting information by telephone on behalf of another individual, the subject individual must be connected with SSA and the requesting individual in the same phone call. SSA will establish the subject individual's identity (his/her name, SSN, address, date of birth and place of birth, along with one other piece of information, such as mother's maiden name) and ask for his/her consent in providing information to the requesting individual.

If a request for notification is submitted by mail, an individual must include a notarized statement to SSA to verify his/her identity or must certify in the request that he/she is the person

claimed to be and that he/she understands that the knowing and willful request for, or acquisition of, a record pertaining to another individual under false pretenses is a criminal offense. These procedures are in accordance with SSA Regulations (20 CFR 401.40(c)).

RECORD ACCESS PROCEDURES:

Same as Notification procedures. Also, requesters should reasonably specify the record contents they are seeking. These procedures are in accordance with SSA Regulations (20 CFR 401.40(c)).

CONTESTING RECORD PROCEDURES:

Same as Notification procedures. Requesters should also reasonably identify the record, specify the information they are contesting and the corrective action sought, and the reasons for the correction, with supporting justification showing how the record is untimely, incomplete, inaccurate or irrelevant. These procedures are in accordance with SSA Regulations (20 CFR 401.65(a)).

RECORD SOURCE CATEGORIES:

Data for the MBR come primarily from the Claims Folders System, 60-0089 and/or are furnished by the claimant/beneficiary at the time of filing for benefits, via the application form and necessary proofs, and during the period of entitlement when notices of events such as changes of address, work, marriage, are given to SSA by the beneficiary; and from States regarding Hospital Insurance third party premium payment/buy-in cases.

SYSTEMS EXEMPTED FROM CERTAIN PROVISIONS OF THE PRIVACY ACT:

None.

SYSTEM NUMBER: 60-0103

SYSTEM NAME:

Supplemental Security Income Record and Special Veterans Benefits, Social Security Administration, Office of Systems, Office of Disability and Supplemental Security Income Systems (ODSSIS).

SECURITY CLASSIFICATION:

None.

SYSTEM LOCATION:

Social Security Administration, Office of Telecommunications and Systems Operations, 6401 Security Boulevard, Baltimore, MD 21235.

Records also may be located in the Social Security Administration (SSA) regional offices (contact the system manager at the address below or access <http://www.socialsecurity.gov/foia/>

[bluebook/app_c.htm](#) for address information) and field offices (individuals should consult their local telephone directories for address information).

CATEGORIES OF INDIVIDUALS COVERED BY THE SYSTEM:

This file contains a record for each individual who has applied for Supplemental Security Income (SSI) payments, including individuals who have requested an advance payment; SSI recipients who have been overpaid; and ineligible persons associated with an SSI recipient. This file also covers those individuals who have applied for and who are entitled to the Special Veterans Benefits (SVB) under Title VIII of the Social Security Act. (This file does not cover applicants who do not have a Social Security number (SSN).)

CATEGORIES OF IN THE SYSTEM:

This file contains data regarding SSI eligibility; citizenship; residence; Medicaid eligibility; eligibility for other benefits; alcoholism or drug addiction data, if applicable (disclosure of this information may be restricted by 21 U.S.C. 1175 and 42 U.S.C. 290dd-3 and ee-3); income data; resources; payment amounts, including the date and amount of advance payments; overpayment amounts, including identifying characteristics of each overpayment (e.g., name, SSN, address of the individual(s) involved, recovery efforts made and the date of each action and planned future actions); and date and amount of advance payments; living arrangements; case folder location data; appellate decisions, if applicable; SSN used to identify a particular individual, if applicable; information about representative payees, if applicable; and a history of changes to any of the persons who have applied for SSI payments. For eligible individuals, the file contains basic identifying information such as the applicant's name, Social Security number (SSN), and date of birth (DOB), income and resources (if any) and, in conversion cases, the State welfare number.

This file also contains information about applicants for SVB. The information maintained in this system of records is collected from the applicants for Title VIII SVB, and other systems of records maintained by SSA. The information maintained includes a data element indicating this is a Title VIII SVB claim. It will also include: identifying information such as the applicant's name, SSN and DOB; telephone number (if any); foreign and domestic addresses; the applicant's sex; income data, payment amounts

(including overpayment amounts); and other information provided by the applicant relative to his or her entitlement for SVB.

If the beneficiary has a representative payee, this system of records includes data about the representative payee such as the payee's SSN; employer identification number, if applicable; and mailing address.

AUTHORITY FOR MAINTENANCE OF THE SYSTEM:

Sections 1602, 1611, 1612, 1613, 1614, 1615, 1616, 1631, 1633, 1634 of Title XVI and Title VIII of the Social Security Act (42 U.S.C. 1382, 1382a, 1382b, 1382c, 1382d, 1382e, 1383, 1383b, 1383c).

PURPOSE(S):

SSI records begin in Social Security field offices where an individual or couple files an application for SSI payments. SVB records begin in Social Security field offices and the Veterans Affairs Regional Office where an individual files an application for SVB payments. The SSI and SVB applications contain data which may be used to prove the identity of the applicant, to determine his/her eligibility for SSI or SVB payments and, in cases where eligibility is determined, to compute the amount of the payment. Information from the application, in addition to data used internally to control and process SSI and SVB cases, is used to create the Supplemental Security Income Record (SSR). The SSR also is used as a means of providing a historical record of all activity on a particular individual's or couple's record.

In addition, statistical data are derived from the SSR for actuarial and management information purposes.

ROUTINE USES OF RECORDS MAINTAINED IN THE SYSTEM, INCLUDING CATEGORIES OF USERS AND THE PURPOSES OF SUCH USES:

Disclosure may be made for routine uses as indicated below. However, disclosure of any information defined as "returns or return information" under 26 U.S.C. 6103 of the Internal Revenue Code will not be disclosed unless authorized by a statute, the Internal Revenue Service (IRS), or IRS regulations.

1. To the Department of the Treasury to prepare SSI, Energy Assistance, and SVB checks to be sent to claimants or beneficiaries.

2. To the States to establish the minimum income level for computation of State supplements.

3. To the following Federal and State agencies to prepare information for verification of benefit eligibility under

available for inspection and copying in the Commission's Public Reference Room, 100 F Street, NE., Washington, DC 20549, on official business days between the hours of 10 a.m. and 3 p.m. Copies of the filing also will be available for inspection and copying at the principal office of BSE. All comments received will be posted without change; the Commission does not edit personal identifying information from submissions. You should submit only information that you wish to make available publicly. All submissions should refer to File Number SR-BSE-2007-49 and should be submitted on or before December 31, 2007.

For the Commission, by the Division of Trading and Markets, pursuant to delegated authority.²⁵

Florence E. Harmon,

Deputy Secretary.

[FR Doc. E7-23816 Filed 12-7-07; 8:45 am]

BILLING CODE 8011-01-P

SOCIAL SECURITY ADMINISTRATION

Privacy Act of 1974, as Amended; Alteration to Existing Systems of Records

AGENCY: Social Security Administration (SSA).

ACTION: Proposed New Routine Use for Existing Systems of Records.

SUMMARY: As mandated by the Office of Management and Budget (OMB) in Memorandum M-07-16, recommended by the President's Identity Theft Task Force, and in accordance with the Privacy Act (5 U.S.C. 552a(e)(4) and (11)), we are issuing public notice of our intent to establish a new routine use disclosure applicable to SSA's systems of records listed below under section I of the Supplementary Information section. The proposed routine use specifically permits the disclosure of SSA information in connection with response and remediation efforts in the event of an unintentional release of Agency information, otherwise known as a "data security breach." Such a routine use would serve to protect the interests of the people whose information is at risk by allowing us to take appropriate steps to facilitate a timely and effective response to a data breach. It would also help us to improve our ability to prevent, minimize, or remedy any harm that may result from a compromise of data maintained in our

systems of records. We invite public comment on this proposal.

DATES: We filed a report of the proposed new routine use disclosure with the Chairman of the Senate Committee on Homeland Security and Governmental Affairs, the Chairman of the House Committee on Oversight and Government Reform, and the Director, Office of Information and Regulatory Affairs, Office of Management and Budget (OMB) on November 19, 2007. The proposed routine use will become effective on December 24, 2007, unless we receive comments warranting it not to become effective.

ADDRESSES: Interested individuals may comment on this publication by writing to the Executive Director, Office of Public Disclosure, Office of the General Counsel, Social Security Administration, Room 3-A-6 Operations Building, 6401 Security Boulevard, Baltimore, Maryland 21235-6401. All comments received will be available for public inspection at the above address.

FOR FURTHER INFORMATION CONTACT: Ms. Margo Wagner, Social Insurance Specialist, Disclosure Policy Development and Services Division 2, Office of Public Disclosure, Office of the General Counsel, Social Security Administration, Room 3-A-6 Operations Building, 6401 Security Boulevard, Baltimore, Maryland 21235-6401, telephone: (410) 965-1482, e-mail: margo.wagner@ssa.gov or Mr. Neil Etter, Social Insurance Specialist, Disclosure Policy Development and Services Division 1, Office of Public Disclosure, Office of the General Counsel, Social Security Administration, Room 3-A-6 Operations Building, 6401 Security Boulevard, Baltimore, Maryland 21235-6401, telephone: (410) 965-8028, e-mail: neil.etter@ssa.gov.

SUPPLEMENTARY INFORMATION:

I. Discussion of the Proposed New Routine Use

OMB has mandated and the President's Identity Theft Task Force recommended that Federal agencies develop and publish a routine use for appropriate systems of records that allows for the disclosure of information in connection with the response and remedial efforts in the event of a data breach.

Subsection (b)(3) of the Privacy Act provides that information from an agency's system of records may be disclosed without a subject individual's

consent if the disclosure is "for a routine use as defined in subsection (a)(7) of this section and described under subsection (e)(4)(D) of this section." 5 U.S.C. 552a(b)(3). Subsection (a)(7) of the Act states that "the term 'routine use' means, with respect to the disclosure of a record, the use of such record for a purpose which is compatible with the purpose for which it was collected." 5 U.S.C. 552a(a)(7). Providing information to help respond to and remediate a breach of Federal data qualifies as a necessary and proper use of information. Such a use is in the best interest of both the individual whose record is at issue and the public.

The Privacy Act requires that agencies publish notification in the **Federal Register** of "each routine use of the records contained in the system, including the categories of users and the purpose of such use." 5 U.S.C. 552a(e)(4)(D). Based on OMB's recommended language, we have developed the following routine use that we will apply to nearly all of our Privacy Act systems of records,¹ and that will allow for disclosure to appropriate agencies, entities, and persons under the following circumstances:

We may disclose information to appropriate Federal, State, and local agencies, entities, and persons when (1) we suspect or confirm that the security or confidentiality of information in this system of records has been compromised; (2) we determine that as a result of the suspected or confirmed compromise there is a risk of harm to economic or property interests, identity theft or fraud, or harm to the security or integrity of this system or other systems or programs of SSA that rely upon the compromised information; and (3) we determine that disclosing the information to such agencies, entities, and persons is necessary to assist in our efforts to respond to the suspected or confirmed compromise and prevent, minimize, or remedy such harm. SSA will use this routine use to respond only to those incidents involving an unintentional release of its records.

In nearly all cases, we will immediately notify affected individuals before informing any other entity. In the rare event that law enforcement needs require us to delay consumer notification, this delay will be limited to the minimum amount of time needed. Timely notification allows individuals the opportunity to minimize or prevent the occurrence of harm.

SSA will establish a new routine use to be included in the following systems of records:

does not contain a provision that permits disclosure for this purpose.

²⁵ 17 CFR 200.30-3(a)(12).

¹ Our Privacy Act systems of records that contain data protected under the Internal Revenue Code (IRC) will not contain this routine use as the IRC

System No. and name	New routine use	Federal Register publication date/citation No.
60-0001—Assignment and Correspondence Tracking Act (ACT)	No. 7	71 FR 1800, 01/11/06.
60-0002—Optical System for Correspondence Analysis and Response	No. 8	71 FR 1802, 01/11/06.
60-0003—Attorney Fee File	No. 9	71 FR 1803, 01/11/06.
60-0004—Working File of the Appeals Council	No. 6	70 FR 60383, 10/17/05.
60-0005—Administrative Law Judge Working File on Claimant Cases	No. 8	70 FR 60383, 10/17/05.
60-0006—Storage of Hearing Records: Tape Cassettes and Audiograph Discs	No. 8	71 FR 1805, 01/11/06.
60-0009—Hearings and Appeals Case Control System	No. 4	65 FR 46997, 08/01/00.
60-0010—Hearing Office Tracking System of Claimant Cases	No. 6	71 FR 1806, 01/11/06.
60-0012—Listing and Alphabetical Name File (Folder) of Vocational Experts, Medical Experts, and Other Health Care/Non-Health Care Professionals Experts (Medicare).	No. 7	71 FR 1807, 01/11/06.
60-0013—Records of Usage of Medical Experts, Vocational Experts, and Other Health Care/Non-Health Care Professionals Experts (Medicare).	No. 7	71 FR 1809, 01/11/06.
60-0014—Curriculum Vitae and Professional Qualifications of Medical Advisors, and Resumes of Vocational Experts.	No. 8	59 FR 46439, 09/08/94.
60-0038—Employee Building Pass Files	No. 7	59 FR 46439, 09/08/94.
60-0040—Quality Review System	No. 14	65 FR 46997, 08/01/00.
60-0042—Quality Review Case Files	No. 14	65 FR 46997, 08/01/00.
60-0044—National Disability Determination Services	No. 11	71 FR 11810, 01/11/06.
60-0045—Black Lung Payment System	No. 14	68 FR 15784, 04/01/03.
60-0046—Disability Determination Service Consultant's File	No. 7	71 FR 1812, 01/11/06.
60-0050—Completed Determination Record—Continuing Disability Determinations	No. 10	71 FR 1814, 01/11/06.
60-0057—Quality Evaluation Data Records	No. 6	65 FR 46997, 08/01/00.
60-0058—Master Files of Social Security Number Holders and SSN Applications	No. 42	71 FR 1818, 01/11/06.
60-0063—Resource Accounting System	No. 6	59 FR 46439, 09/08/94.
60-0077—Congressional Inquiry File	No. 7	71 FR 1823, 01/11/06.
60-0078—Public Inquiry Correspondence File	No. 8	71 FR 1825, 01/11/06.
60-0089—Claims Folders System	No. 36	71 FR 1829, 01/11/06.
60-0090—Master Beneficiary Record	No. 38	71 FR 1829, 01/11/06.
60-0094—Recovery of Overpayments, Accounting and Reporting	No. 9	70 FR 49354, 08/23/05.
60-0103—Supplemental Security Income Record	No. 37	71 FR 1829, 01/11/06.
60-0118—Non-Contributory Military Service Reimbursement System	No. 6	71 FR 18334, 01/11/06.
60-0159—Continuous Work History Sample (Statistics)	No. 5	65 FR 46997, 08/01/00.
60-0186—SSA Litigation Tracking System New Routine Use No.	No. 6	70 FR 60383, 10/17/05.
60-0196—Disability Studies, Surveys, Records and Extracts (Statistics)	No. 4	65 FR 46997, 08/01/00.
60-0199—Extramural Surveys (Statistics)	No. 4	71 FR 1835, 01/11/06.
60-0200—Retirement and Survivors Studies, Surveys, Records and Extracts (Statistics)	No. 4	65 FR 46997, 08/01/00.
60-0202—Old Age, Survivors and Disability Beneficiary and Worker Records and Extracts (Statistics)	No. 5	69 FR 11693, 03/11/04.
60-0203—Supplemental Security Income Studies, Surveys, Records and Extracts (Statistics)	No. 5	65 FR 46997, 08/01/00.
60-0210—Record of Individuals Authorized Entry to Secured Automated Data Processing Area	No. 7	59 FR 46439, 09/08/94.
60-0211—Beneficiary, Family and Household Surveys, Records and Extracts System (Statistics)	No. 5	69 FR 11693, 03/11/04.
60-0213—Quality Review of Hearing/Appellate Process	No. 7	65 FR 46997, 08/01/00.
60-0214—Personal Identification Number File (PINFile)	No. 5	59 FR 46441, 09/08/94.
60-0218—Disability Insurance and Supplemental Security Income Demonstration Projects and Experiments System.	No. 7	71 FR 1837, 01/11/06.
60-0219—Representative Disqualification/Suspension Information System	No. 8	71 FR 1839, 01/11/06.
60-0220—Kentucky Birth Records System	No. 5	59 FR 46439, 09/08/94.
60-0221—Vocational Rehabilitation Reimbursement Case Processing System	No. 10	71 FR 1841, 01/11/06.
60-0222—Master Representative Payee File	No. 18	71 FR 5399, 02/01/06.
60-0224—SSA-Initiated Personal Earnings and Benefit Estimate Statement (SIPEBES) History File	No. 7	59 FR 54004, 10/27/94.
60-0225—SSA Initiated Personal Earnings and Benefit Estimate Statement Address System for Certain Territories.	No. 6	59 FR 54004, 10/27/94.
60-0228—Safety Management Information System (SSA Accident, Injury and Illness Reporting System).	No. 7	71 FR 1844, 01/11/06.
60-0230—Social Security Administration Parking Management Record System	No. 5	71 FR 1846, 01/11/06.
60-0231—Financial Transactions of SSA Accounting and Finance Offices	No. 19	71 FR 1847, 01/11/06.
60-0232—Central Registry of Individuals Doing Business With SSA (Vendor File)	No. 11	71 FR 1849, 01/11/06.
60-0234—Employee Assistance Program (EAP) Records	No. 7	71 FR 1850, 01/11/06.
60-0236—Employee Development Program Records	No. 13	71 FR 1853, 01/11/06.
60-0237—Employees' Medical Records	No. 8	71 FR 1854, 01/11/06.
60-0238—Pay, Leave and Attendance Records	No. 25	71 FR 1856, 01/11/06.
60-0239—Personnel Records in Operating Offices	No. 17	71 FR 1859, 01/11/06.
60-0241—Employee Suggestion Program Records New Routine Uses	No. 6	71 FR 1861, 01/11/06.
60-0244—Administrative Grievances Filed Under Part 771 of 5 CFR	No. 19	71 FR 1862, 01/11/06.
60-0245—Negotiated Grievance Procedure Records	No. 21	71 FR 1864, 01/11/06.
60-0250—Equal Employment Opportunity (EEO) Counselor and Investigator Personnel Records	No. 13	71 FR 1866, 01/11/06.
60-0255—Plans for Achieving Self-Support (PASS) Management Information System	No. 19	71 FR 1867, 01/11/06.
60-0259—Claims Under the Federal Tort Claims Act and Military Personnel and Civilian Employees' Claim Act.	No. 8	71 FR 1869, 01/11/06.
60-0262—Attorney Applicant Files	No. 7	71 FR 1871, 01/11/06.
60-0268—Medicare Part B Buy-In Information System	No. 9	64 FR 10173, 03/02/99.
60-0269—Prisoner Update Processing System (PUPS)	No. 12	64 FR 11076, 03/08/99.
60-0270—Records of Individuals Authorized Entry into Secured Areas by Digital Lock Systems, Electronic Key Card Systems or Other Electronic Access Devices.	No. 5	65 FR 77953, 12/13/00.

System No. and name	New routine use	Federal Register publication date/citation No.
60-0273—Social Security Title VIII Special Veterans Benefits Claims Development and Management Information System.	No. 15	65 FR 13803, 03/14/00.
60-0274—Litigation Docket and Tracking System	No. 11	71 FR 1872, 01/11/06.
60-0275—Civil Rights Complaints Filed by Members of the Public	No. 9	71 FR 1874, 01/11/06.
60-0276—Social Security Administration's (SSA's) Talking and Listening to Customers (TLC)	No. 6	65 FR 48272, 08/07/00.
60-0279—Social Security Administration's (SSA's) Mandate Against Red Tape (SMART)	No. 7	65 FR 49047, 08/10/00.
60-0280—SSA Administrative Sanctions	No. 6	65 FR 54595, 09/08/00.
60-0290—Social Security Administration's Customer PIN/Password (PPW) Master File System	No. 7	71 FR 1874, 01/11/06.
60-0295—Ticket-to-Work and Self-Sufficiency Program Payment Database	No. 8	66 FR 17985, 04/04/01.
60-0300—Ticket-to-Work Program Manager (PM) Management Information System	No. 8	66 FR 32656, 06/15/01.
60-0305—SSA Mass Transportation Subsidy Program System	No. 12	67 FR 44658, 07/03/02.
60-0310—Medicare Savings Programs Information System	No. 8	69 FR 17019, 03/31/04.
60-0315—Reasonable Accommodation for Persons with Disabilities (RAPD)	No. 11	70 FR 62157, 10/28/05.
60-0318—Representative Payee/Misuse Restitution Control System (RP/MRCS)	No. 8	70 FR 12774, 3/15/05.
60-0320—Electronic Disability Claim File (eDib)	No. 31	68 FR 71210, 12/22/03.
60-0321—Medicare Part D and Part D Subsidy File	No. 17	69 FR 59795, 10/13/05.
60-0328—National Docketing Management Information System (NDMIS)	No. 16	70 FR 34515, 06/14/05.
60-0330—eWork	No. 10	68 FR 54037, 09/15/03.
60-0340—eFOIA	No. 11	70 FR 3571, 01/25/03.
60-0350—Visitor Intake Process/Customer Service Record (VIP/CSR) System	No. 9	70 FR 59795, 10/13/05.
60-0355—The Non-Attorney Representative Prerequisites Process File (NARPPF)	No. 11	69 FR 77823, 12/28/04.
60-0361—Identity Management System (IDMS)	No. 15	71 FR 213, 11/03/06.
60-0370—The Representative Payee and Beneficiary Survey Data System	No. 6	71 FR 16399, 3/31/06.

We are not republishing in their entirety the notices of the systems of records to which we are adding the proposed new routine use disclosures. Instead, we are republishing only the identification number, the name of the system of record, the number of the new routine use and the issue of the **Federal Register** in which the system notice was last published, including the publication date and page number.

II. Compatibility of Proposed Routine Use

As mandated by OMB, as recommended by the President's Identity Theft Task Force, and in accordance with the Privacy Act (5 U.S.C. 552a(a)(7) and (b)(3)) and our disclosure regulation (20 CFR part 401), we are permitted to release information under a published routine use for a purpose that is compatible with the purpose for which we collected the information. Section 401.120 of our regulations provides that we will disclose information required by law. Since OMB has mandated the publication of this routine use, the proposed routine use is appropriate and meets the relevant statutory and regulatory criteria. In addition, disclosures to other agencies, entities and persons when needed to respond to an unintentional release are compatible with the reasons we collect the information, as helping to prevent and minimize the potential for harm is consistent with taking appropriate steps to protect information entrusted to us. See 5 U.S.C. 552a(e)(10).

III. Effect of the Proposed Routine Use Disclosure on the Rights of Individuals

The proposed routine use would serve to protect the interests of the people whose information is at risk. We would achieve this protection by taking appropriate steps to facilitate a timely and effective response to a security breach of our data, thereby improving our ability to prevent, minimize, or remedy any harm that may result from a compromise of data maintained in our systems of records. We do not anticipate that the proposed new routine use will have any unwarranted adverse effect on the rights of individuals about whom data will be disclosed.

Dated: November 13, 2007.

Michael J. Astrue,
Commissioner.

[FR Doc. E7-23875 Filed 12-7-07; 8:45 am]

BILLING CODE 4191-02-P

DEPARTMENT OF STATE

[Public Notice 6011]

Exchange Visitor Program—Au Pair Requirements

ACTION: Notice with request for comments.

DATES: The Department will accept comments from the public up to 60 days from date of publication in the **Federal Register**.

ADDRESSES: You may submit comments by any of the following methods:

- Persons with access to the Internet may view this notice and provide

comments by going to the regulations.gov Web site at: <http://www.regulations.gov/index.cfm>.

- *Mail (paper, disk, or CD-ROM submissions):* U.S. Department of State, Office of Exchange Coordination and Designation, SA-44, 301 4th Street, SW., Room 734, Washington, DC 20547

- *E-mail:* jexchanges@state.gov. You must include the Public Notice number in the subject line of your message.

FOR FURTHER INFORMATION CONTACT: Stanley S. Colvin, Director, Office of Exchange Coordination and Designation, U.S. Department of State, SA-44, 301 4th Street, SW., Room 734, Washington, DC 20547; 202-203-5096 or e-mail at jexchanges@state.gov.

SUMMARY: As a component of its Public Diplomacy and people to people exchanges, the Department of State oversees the Au pair Program whereby foreign nationals are afforded the opportunity to live with an American host family and participate directly in the home life of the host family. All au pair participants provide child care services to the host family and attend a U.S. post-secondary educational institution. Au pair participants provide up to forty-five hours of child care services per week and pursue not less than six semester hours of academic credit or its equivalent during their year of program participation. Some au pairs participate in the EduCare program. These au pairs provide up to thirty hours of child care services per week and pursue not less than twelve semester hours of academic credit or its equivalent during their year of program participation. Approximately 15,000

ACTION: Notice of a renewal of an existing computer matching program that will expire on September 10, 2013.

SUMMARY: In accordance with the provisions of the Privacy Act, as amended, this notice announces a renewal of an existing computer matching program that we are currently conducting internally.

DATES: We will file a report of the subject matching program with the Committee on Homeland Security and Governmental Affairs of the Senate; the Committee on Oversight and Government Reform of the House of Representatives; and the Office of Information and Regulatory Affairs, Office of Management and Budget (OMB). The matching program will be effective as indicated below.

ADDRESSES: Interested parties may comment on this notice by either telefaxing to (410) 966-0869 or writing to the Executive Director, Office of Privacy and Disclosure, Office of the General Counsel, Social Security Administration, 617 Altmeyer Building, 6401 Security Boulevard, Baltimore, MD 21235-6401. All comments received will be available for public inspection at this address.

FOR FURTHER INFORMATION CONTACT: The Executive Director, Office of Privacy and Disclosure, Office of the General Counsel, as shown above.

SUPPLEMENTARY INFORMATION:

A. General

The Computer Matching and Privacy Protection Act of 1988 (Public Law (Pub. L.) 100-503), amended the Privacy Act (5 U.S.C. 552a) by describing the conditions under which computer matching involving the Federal government could be performed and adding certain protections for persons applying for, and receiving, Federal benefits. Section 7201 of the Omnibus Budget Reconciliation Act of 1990 (Pub. L. 101-508) further amended the Privacy Act regarding protections for such persons.

The Privacy Act, as amended, regulates the use of computer matching by Federal agencies when records in a system of records are matched with other Federal, State, or local government records. It requires Federal agencies involved in computer matching programs to:

- (1) Negotiate written agreements with the other agency or agencies participating in the matching programs;
- (2) Obtain approval of the matching agreement by the Data Integrity Boards of the participating Federal agencies;

- (3) Publish notice of the computer matching program in the **Federal Register**;

- (4) Furnish detailed reports about matching programs to Congress and OMB;

- (5) Notify applicants and beneficiaries that their records are subject to matching; and

- (6) Verify match findings before reducing, suspending, terminating, or denying a person's benefits or payments.

B. SSA Computer Matches Subject to the Privacy Act

We have taken action to ensure that all of our computer matching programs comply with the requirements of the Privacy Act, as amended.

Kirsten J. Moncada,

Executive Director, Office of Privacy and Disclosure, Office of the General Counsel.

Notice of Computer Matching Program, SSA

A. Participating Agency

SSA

B. Purpose of the Matching Program

This computer matching agreement establishes the terms, conditions, and safeguards under which we will compare the Federal Personnel/Payroll System records of current Social Security employees with the records of Disability Income (DI) and Supplemental Security Income (SSI) beneficiaries and recipients through a periodic computerized comparison of records. We will use this information to verify the employees' self-certification statements of income in order to ensure against DI and SSI overpayments.

C. Authority for Conducting the Matching Program

The legal authority for this agreement is as follows:

1. Section 1631(f) of the Social Security Act (Act) (42 U.S.C. 1383(f)) provides that "[t]he head of any Federal agency shall provide such information as the Commissioner of Social Security needs for the purposes of determining eligibility for or amount of benefits, or verifying information with respect thereto."

2. Section 1631(e)(1)(B)(i) of the Act (42 U.S.C. 1383(e)(1)(B)(i)) provides that SSA is required to verify eligibility of a recipient or applicant for SSI using independent or collateral sources.

3. Section 224(h)(1) of the Act (42 U.S.C. 424a(h)) provides that Federal agencies are required to provide information to SSA that it requires to determine the amount of DI benefits and

to verify information with respect thereto.

4. This agreement is subject to the provisions of the Privacy Act of 1974, 5 U.S.C. 552a, as amended, and the provisions of the Computer Matching and Privacy Protection Act (CMPPA) of 1988. The comparison of records that is the subject of this agreement constitutes a matching program within the meaning of the Privacy Act, 5 U.S.C. 552a(a)(8)(A).

D. Categories of Records and Persons Covered by the Matching Program

The data elements included in the match file are:

1. Social Security number (SSN/BIC)—T2;
2. SSN/ID—T16;
3. Current and Separated Employees;
4. Name;
5. Date of birth;
6. Initial date of SSA employment;
7. SSA Employment Component;
8. Work schedule (i.e., Full/Part time; Conditional/Permanent; currently working/separated, etc.);
9. Year to Date Earnings;
10. Hourly rate;
11. Weekly Work Hours;
12. Employee Status (Active, LWOP, Military, Terminate, Separate, etc.);
13. Award Amount;
14. Organization (Office Location—name);
15. Duty Station (Office Location—City, State or County);
16. Servicing Personnel Office (SPO);
17. Pay Period Date (YYYYPP);
18. Last Pay Period (YYYYPP); and
19. Lump Sum Leave Payment.

E. Inclusive Dates of the Matching Program

The effective date of this matching program is September 11, 2013 provided that the following notice periods have lapsed: 30 days after publication of this notice in the **Federal Register** and 40 days after notice of the matching program is sent to Congress and OMB. The matching program will continue for 18 months from the effective date and, if both agencies meet certain conditions, it may extend for an additional 12 months thereafter.

[FR Doc. 2013-16100 Filed 7-3-13; 8:45 am]

BILLING CODE 4191-02-P

SOCIAL SECURITY ADMINISTRATION

[Docket No. SSA-2013-0032]

**Privacy Act of 1974, As Amended:
Proposed New Routine Use**

AGENCY: Social Security Administration.

ACTION: New Proposed Routine Use
Applicable to Four Systems of Records.

SUMMARY: In accordance with the Privacy Act of 1974 (5 U.S.C. 552a(e)(4) and (e)(11)) and our disclosure regulations (20 CFR Part 401), we are issuing public notice of our intent to publish a new routine use applicable to our systems of records entitled:

- Master Files of Social Security Number (SSN) Holders and SSN Applications, (60–0058) (the Enumeration System)
- Earnings Recording and Self-Employment Income System, (60–0059)
- Master Beneficiary Record (MBR), (60–0090)
- Prisoner Update Processing System (PUPS), (60–0269)

The Patient Protection and Affordable Care Act of 2010 (Pub. L. 111–148), as amended by the Health Care and Education Reconciliation Act of 2010 (Pub. L. 111–152) (collectively, the ACA) requires the use of a single, streamlined application to determine eligibility for an Insurance Affordability Program (IAP), which includes:

- a Qualified Health Plan (QHP) through an Exchange,
- Advance Payments of the Premium Tax Credit (APTC),
- Cost-Sharing Reductions (CSR),
- Medicaid,
- the Children’s Health Insurance Program (CHIP), and
- the Basic Health Program (BHP).

As a part of the eligibility determination process, individuals may apply for an exemption from the individual responsibility requirement to maintain coverage (certification of exemption). The new routine use will enable SSA to disclose information to the Department of Health and Human Services (DHHS)/Centers for Medicare & Medicaid Services (CMS) to confirm the accuracy of attestations made by an individual to determine eligibility and entitlement to an IAP and identify individuals who qualify for certifications of exemption under the ACA. We discuss the routine use in detail in the Supplementary Information section below. We invite public comment on this proposal.

DATES: We filed a report of the routine use with the Chairman of the Senate Committee on Homeland Security and Governmental Affairs, the Chairman of the House Committee on Oversight and Government Reform, and the Director, Office of Information and Regulatory Affairs, Office of Management and Budget (OMB). The routine use will become effective on August 31, 2013 unless we receive comments before that date that would result in a contrary determination.

ADDRESSES: Interested persons may comment on this publication by writing

to the Executive Director, Office of Privacy and Disclosure, Office of the General Counsel, Social Security Administration, Room 617 Altmeyer Building, 6401 Security Boulevard, Baltimore, Maryland 21235–6401. All comments we receive will be available for public inspection at the above address.

FOR FURTHER INFORMATION CONTACT: Keisha Mahoney, Government Information Specialist, The Electronic Interchange and Liaison Division, Office of Privacy and Disclosure, Office of the General Counsel, Social Security Administration, Room 617 Altmeyer Building, 6401 Security Boulevard, Baltimore, Maryland 21235–6401, telephone: (410) 966–9048, Email: Keisha.Mahoney@ssa.gov.

SUPPLEMENTARY INFORMATION:

I. Background and Purpose of the Proposed New Routine Use

Section 1411(c) of the ACA requires the Secretary of DHHS/CMS to establish a program meeting the requirements of the ACA to determine eligibility for and enrollment in an IAP, including:

- a QHP through the Exchange,
- APTC,
- CSRs,
- Medicaid,
- the CHIP, and
- the BHP.

As a part of the eligibility determination process, individuals may apply for certifications of exemption under the ACA. Specifically, individuals must furnish their name, date of birth, Social Security number (SSN), and attestation of citizenship status to the Secretary of DHHS/CMS. The Secretary of DHHS/CMS will submit the information to the Commissioner of SSA for a determination as to whether the information submitted is consistent with the records of SSA. The ACA also permits the Secretary of DHHS/CMS to request additional information that is relevant to determining entitlement and eligibility to programs. To accommodate such requests, SSA will disclose (1) Disability indicator, (2) death indicator, (3) prisoner data, (4) quarters of coverage, and (5) monthly and annual Social Security benefit information under title II of the Social Security Act (Act). Section 1411(c)(4) of the ACA requires DHHS/CMS and SSA to use an on-line system or a system otherwise involving electronic exchange to support such transactions. To support this need, we are establishing a new routine use to allow for such disclosures by SSA to DHHS/CMS. DHHS/CMS will use the data for the purpose of the

administration of IAPs and for certifications of exemption under the ACA.

II. Proposed New Routine Use

The Privacy Act requires that agencies publish a notice in the **Federal Register** of “each routine use of the records contained in the system, including the categories of users and the purpose of such use.” 5 U.S.C. 552a(e)(4)(D). We developed the following new routine use that will allow us to disclose information to DHHS/CMS:

To the Department of Health and Human Services (DHHS)/Centers for Medicare and Medicaid Services (CMS) for the purpose of the administration of Insurance Affordability Programs (IAP) and to identify individuals who qualify for an exemption from the individual responsibility requirement in accordance with the Patient Protection and Affordable Care Act of 2010 (Pub. L. 111–148), as amended by the Health Care and Education Reconciliation Act of 2010 (Pub. L. 111–152). IAPs include a Qualified Health Plan through the Exchange, Advance Payments of the Premium Tax Credit, Cost Sharing Reductions, Medicaid, the Children’s Health Insurance Program, and the Basic Health Program.

The new routine use will be included in the following systems of records:

- 60–0058, Master Files of SSN Holders and SSN Applications, last published on December 29, 2010 at 74 FR 62866, as new routine use 45;
- 60–0059, Earnings Recording and Self-Employment Income System, last published on January 11, 2006 at 71 FR 1819, as new routine use 34;
- 60–0090, Master Beneficiary Record, last published on January 11, 2006 at 71 FR 1826, as new routine use 39; and
- 60–0269, Prisoner Update Processing System (PUPS), last published on March 8, 1999 at 64 FR 11076, as new routine use 13.

SSA will rely on this routine use to disclose only those data elements from SSA’s system of records that DHHS/CMS has demonstrated are necessary for the administration of IAPs and certifications of exemption in accordance with the ACA.

III. Compatibility of Routine Use

In accordance with the Privacy Act (5 U.S.C. 552(a) and (b)(3)), and our disclosure regulations (20 CFR Part 401), we are proposing to establish a new routine use to support the ACA.

We can disclose information when the disclosure is required by law (20 CFR 401.120).

- Section 1411(c) of the ACA requires SSA to determine whether the name, date of birth, SSN and attestation of citizenship of individuals applying for IAPs under the ACA are consistent with information in SSA's records.

- Section 205(r)(3) of the Social Security Act (Act) permits SSA to disclose, on a reimbursable basis, death information to a Federal or State agency that administers a Federally-funded benefit other than pursuant to the Act to ensure proper payment of such benefit. Section 7213 of the Intelligence Reform and Terrorism Prevention Act of 2004 provides SSA authority to add a death indicator to verification routines that the agency deems appropriate.

- Sections 202(x)(3)(B)(iv) and 1611(e)(1)(I)(iii) of the Act permit SSA to disclose, on a reimbursable basis, prisoner information to an agency administering a Federal or Federally-funded cash, food, or medical assistance program for eligibility and other administrative purposes under such program.

We can also disclose information when the purpose is compatible with the purpose for which we collected the information and is supported by a published routine use (20 CFR 401.150). The Privacy Act allows us to disclose information maintained in a system of records without consent of the record subject to another party if such disclosure is pursuant to a routine use published in the system of records. 5 U.S.C. 552a(b)(3). A "routine use" must be compatible with the purpose for which SSA collected the information (5 U.S.C. 552a(a)(7)). Under SSA's regulations, SSA may publish a routine use permitting it to disclose information to another government entity for the administration of other government programs when the information requested concerns eligibility, benefit amounts, or other matters of benefit status in a Social Security program and is relevant to determining the same matters in other programs. 20 CFR 401.150(c). SSA collects information from applicants for, and beneficiaries of, Social Security benefits to determine entitlement and eligibility to such SSA benefits and the amount of those benefits. Under the new routine use and in accordance with the ACA, SSA will disclose information concerning eligibility, benefit amounts, or other matters of benefit status in a Social Security program to DHHS/CMS for use in making initial eligibility determinations, and eligibility redetermination and renewal decisions, including appeal determinations for IAPs, and certifications of exemption under the ACA. Specifically, DHHS/

CMS will use the information SSA provides to determine entitlement and eligibility in QHPs offered through an Exchange, including the APTCs under section 36B of the Internal Revenue Code of 1986 and CSRs under section 1402 of the ACA; a State Medicaid program under title XIX of the Act; the CHIP under title XXI of the Act; a State program under section 1331 of the ACA establishing qualified BHPs; and a certification of exemption pursuant to section 1311(d)(4)(H) of the ACA. The verification and disclosure of information in our records to DHHS/CMS for its use in administering the health and income maintenance programs under ACA and the Act, meet the statutory and compatibility requirements for routine use disclosures.

IV. Effect of the Routine Use on the Rights of Individuals

DHHS/CMS and SSA are subject to Privacy Act requirements. Our disclosures to DHHS/CMS are compliant with the Privacy Act, the ACA, and the Social Security Act. The Privacy Act requires that our routine use be compatible with the purpose for which we collected the information. 5 U.S.C. 552a(a)(7) and (b)(3). In this case, we collect the information we plan to disclose in order to administer our programs. We will disclose this information to DHHS/CMS in connection with the administration of IAPs and certifications of exemption under ACA. We have determined that this is a compatible purpose under the Privacy Act and our regulation. After we disclose information to DHHS/CMS under the new routine use, the information is subject to the relevant DHHS System of Records Notices. We will enter into a Computer Matching and Privacy Protection Act (CMPPA) agreement with DHHS/CMS to support the new routine use disclosures. CMPPA agreements have specific provisions to protect the privacy rights of record subjects; to protect the confidentiality and integrity of the records; and to prohibit unauthorized use of the records. Therefore, we do not anticipate that the routine use will have an unwarranted adverse effect on the privacy or other rights of individuals about whom we will disclose information.

Kirsten J. Moncada,

Executive Director, Office of Privacy and Disclosure, Office of the General Counsel.

[FR Doc. 2013-16099 Filed 7-3-13; 8:45 am]

BILLING CODE 4191-02-P

DEPARTMENT OF STATE

[Public Notice 8372]

Culturally Significant Objects Imported for Exhibition Determinations: "New Photography 2013: Adam Broomberg and Oliver Chanarin, Brendan Fowler, Annette Kelm, Lisa Oppenheim, Anna Ostoya, Josephine Pryde, and Eileen Quinlan"

SUMMARY: Notice is hereby given of the following determinations: Pursuant to the authority vested in me by the Act of October 19, 1965 (79 Stat. 985; 22 U.S.C. 2459), Executive Order 12047 of March 27, 1978, the Foreign Affairs Reform and Restructuring Act of 1998 (112 Stat. 2681, *et seq.*; 22 U.S.C. 6501 note, *et seq.*), Delegation of Authority No. 234 of October 1, 1999, Delegation of Authority No. 236-3 of August 28, 2000 (and, as appropriate, Delegation of Authority No. 257 of April 15, 2003), I hereby determine that the objects to be included in the exhibition "New Photography 2013: Adam Broomberg and Oliver Chanarin, Brendan Fowler, Annette Kelm, Lisa Oppenheim, Anna Ostoya, Josephine Pryde, and Eileen Quinlan," imported from abroad for temporary exhibition within the United States, are of cultural significance. The objects are imported pursuant to loan agreements with the foreign owners or custodians. I also determine that the exhibition or display of the exhibit objects at The Museum of Modern Art, New York, NY, from on or about September 14, 2013, until on or about February 5, 2014, and at possible additional exhibitions or venues yet to be determined, is in the national interest. I have ordered that Public Notice of these Determinations be published in the **Federal Register**.

FOR FURTHER INFORMATION CONTACT: For further information, including a list of the exhibit objects, contact Julie Simpson, Attorney-Adviser, Office of the Legal Adviser, U.S. Department of State (telephone: 202-632-6467). The mailing address is U.S. Department of State, SA-5, L/PD, Fifth Floor (Suite 5H03), Washington, DC 20522-0505.

Dated: June 27, 2013.

J. Adam Ereli,

Principal Deputy Assistant Secretary, Bureau of Educational and Cultural Affairs, Department of State.

[FR Doc. 2013-16155 Filed 7-3-13; 8:45 am]

BILLING CODE 4710-05-P

Without the above information, the Agency may not be able to conduct an effective search, and your request may be denied due to lack of specificity or lack of compliance with applicable regulations.

EXEMPTIONS PROMULGATED FOR THE SYSTEM:

None.
Dated: June 19, 2018.

Joseph P. Loddo,
Director, Office Continuous Operations and Risk Management, Senior Insider Threat Program Official.
[FR Doc. 2018-14209 Filed 7-2-18; 8:45 am]
BILLING CODE P

SMALL BUSINESS ADMINISTRATION

[Disaster Declaration #15578 and #15579; HAWAII Disaster Number HI-00045]

Presidential Declaration of a Major Disaster for the State of Hawaii

AGENCY: U.S. Small Business Administration.
ACTION: Notice.

SUMMARY: This is a Notice of the Presidential declaration of a major disaster for the State of Hawaii (FEMA—4365—DR), dated 06/27/2018.
Incident: Severe Storms, Flooding, Landslides, and Mudslides.
Incident Period: 04/13/2018 through 04/16/2018.

DATES: Issued on 06/27/2018.
Physical Loan Application Deadline Date: 08/27/2018.

Economic Injury (EIDL) Loan Application Deadline Date: 03/27/2019.

ADDRESSES: Submit completed loan applications to: U.S. Small Business Administration, Processing and Disbursement Center, 14925 Kingsport Road, Fort Worth, TX 76155.

FOR FURTHER INFORMATION CONTACT: Alan Escobar, Office of Disaster Assistance, U.S. Small Business Administration, 409 3rd Street SW, Suite 6050, Washington, DC 20416, (202) 205-6734.

SUPPLEMENTARY INFORMATION: Notice is hereby given that as a result of the President’s major disaster declaration on 06/27/2018, applications for disaster loans may be filed at the address listed above or other locally announced locations.

The following areas have been determined to be adversely affected by the disaster:

Primary Areas (Physical Damage and Economic Injury Loans): The City and County of Honolulu and Kaua’i County
Contiguous Areas (Economic Injury Loans Only): None.

The Interest Rates are:

	Percent
<i>For Physical Damage:</i>	
Homeowners With Credit Available Elsewhere	3.625
Homeowners Without Credit Available Elsewhere	1.813
Businesses With Credit Available Elsewhere	7.160
Businesses Without Credit Available Elsewhere	3.580
Non-Profit Organizations With Credit Available Elsewhere ...	2.500
Non-Profit Organizations Without Credit Available Elsewhere	2.500
<i>For Economic Injury:</i>	
Businesses & Small Agricultural Cooperatives Without Credit Available Elsewhere	3.580
Non-Profit Organizations Without Credit Available Elsewhere	2.500

The number assigned to this disaster for physical damage is 155786 and for economic injury is 155790.
(Catalog of Federal Domestic Assistance Number 59008)

James Rivera,
Associate Administrator for Disaster Assistance.
[FR Doc. 2018-14324 Filed 7-2-18; 8:45 am]
BILLING CODE 8025-01-P

SOCIAL SECURITY ADMINISTRATION

[Docket No. SSA-2018-0039]

Privacy Act of 1974; System of Records

AGENCY: Office of Retirement and Disability Policy, Office of Income Security Programs, Social Security Administration (SSA).

ACTION: Notice of a Modified System of Records.

SUMMARY: In accordance with the Privacy Act and our disclosure regulations, we are issuing public notice of our intent to publish two new routine uses applicable to seven of our systems of records. The two routine uses will permit disclosures we intend to make to new entities to support the administration of our representative payee program. The system of records notices (SORN) listed below maintain information used in our representative payee program in addition to a variety of SSA’s core mission operations. This notice publishes details of the proposed updates as set forth below under **SUPPLEMENTARY INFORMATION.**

DATES: The routine uses are effective August 2, 2018. In accordance with 5

U.S.C. 552a(e)(4) and (e)(11), the public is given a 30-day period in which to submit comments. We invite public comment on the new routine uses; therefore, please submit any comments by August 2, 2018.

ADDRESSES: The public, Office of Management and Budget (OMB), and Congress may comment on this publication by writing to the Executive Director, Office of Privacy and Disclosure, Office of the General Counsel, Social Security Administration, Room G-401 West High Rise, 6401 Security Boulevard, Baltimore, Maryland 21235-6401, or through the Federal e-Rulemaking Portal at <http://www.regulations.gov>, please reference docket number SSA-2018-0039. All comments we receive will be available for public inspection at the above address and we will post them to <http://www.regulations.gov>.

FOR FURTHER INFORMATION CONTACT: Andrea Huseth, Government Information Specialist, Disclosure and Data Support Division, Office of Privacy and Disclosure, Office of the General Counsel, Social Security Administration, Room G-401 West High Rise, 6401 Security Boulevard, Baltimore, Maryland 21235-6401, telephone: (410) 965-6868, email: andrea.huseth@ssa.gov and Tristin Dorsey, Government Information Specialist, Privacy Implementation Division, Office of Privacy and Disclosure, Office of the General Counsel, Social Security Administration, Room G-401 West High Rise, 6401 Security Boulevard, Baltimore, Maryland 21235-6401, telephone: (410) 965-2950, email: tristin.dorsey@ssa.gov.

SUPPLEMENTARY INFORMATION:

I. Background and Purpose of the Proposed New Routine Uses

Social Security’s representative payee program provides financial management for Social Security beneficiaries and Supplemental Security Income (SSI) recipients who are incapable of managing their benefits or payments. The representative payee’s primary responsibility is to use the beneficiary’s benefits or recipient’s payments for current and foreseeable needs. Historically, representative payees have submitted annual accounting forms to account for the Social Security benefits or SSI payments received. In addition to the annual accounting form, we select some representative payees for additional review. This type of oversight provides a more in depth review to ensure that the representative payee is meeting his or her representative payee

obligations and managing the benefits or payments in the best interest of the beneficiary or recipient that he or she is serving.

The Strengthening Protections for Social Security Beneficiaries Act of 2018 (H.R. 4547, Pub. L. 115–165, hereafter referred to as Pub. L. 115–165) directs SSA to make annual grants to the protection and advocacy (P&A) system serving each of the States and the American Indian Consortium, for the purpose of conducting representative payee reviews for SSA. In addition, SSA will make annual grants to an eligible national association for the provision of training and technical assistance, administrative support, and data collection services to those P&A systems. Prior to the enactment of Public Law 115–165, SSA conducted

representative payee oversight and monitoring activities with the support of contractors. We are proposing two new routine uses, which will permit SSA to disclose information from the systems of records listed below to additional entities, including the grantees discussed above, for the purpose of conducting representative payee reviews and providing training, administrative oversight, technical assistance, and other support for the representative payee review program.

II. Proposed New Routine Uses

The Privacy Act requires that agencies publish a notice in the **Federal Register** of “each routine use of the records contained in the system, including the categories of users and the purpose of such use.” 5 U.S.C. 552a(e)(4)(D). We

have developed the following new routine uses that will allow us to disclose information to additional entities in support of our representative payee program:

- To agencies or entities who have a written agreement with SSA, to perform representative payee reviews for SSA and to provide training, administrative oversight, technical assistance, and other support for those reviews; and
- To state protection and advocacy systems, that have a written agreement with SSA to conduct reviews of representative payees, for the purpose of conducting additional reviews that the protection and advocacy systems have reason to believe are warranted.

We will include the new routine uses in the following systems of records:

System No. and name	New routine use	Federal Register citation No./publication date
60–0058—Master Files of Social Security Number Holders and SSN Applications	No. 47 & 48 ...	75 FR 82121, 12/29/10. 78 FR 40542, 07/05/13. 79 FR 78780, 02/13/14.
60–0089—Claims Folders System	No. 37 & 38 ...	68 FR 15784, 04/01/03. 72 FR 69723, 12/10/07.
60–0090—Master Beneficiary Record	No. 40 & 41 ...	71 FR 1829, 01/11/06. 72 FR 69723, 12/10/07.
60–0094—Recovery of Overpayments, Accounting and Reporting	No. 10 & 11 ...	78 FR 40542, 07/05/13. 70 FR 49354, 08/23/05. 72 FR 69723, 12/10/07.
60–0103—Supplemental Security Income Record and Special Veterans Benefits	No. 38 & 39 ...	71 FR 1830, 01/11/06. 72 FR 69723, 12/10/07.
60–0222—Master Representative Payee File	No. 21 & 22 ...	78 FR 23811, 04/22/13.
60–0318—Representative Payee/Misuse Restitution Control System (RP/MRCS)	No. 9 & 10	70 FR 29547, 05/23/05. 72 FR 69723, 12/10/07.

We are not republishing in their entirety the SORNs to which we are adding the proposed new routine uses. Instead, we are republishing only the identification number, name of the SORN, the numbers of the new routine uses, and the issue of the **Federal Register** in which the SORN was last published, including the publication date and number.

In accordance with 5 U.S.C. 552a(r), we have provided a report to OMB and Congress on these modified systems of records.

Dated: May 23, 2018.

Mary Ann Zimmerman,

Acting Executive Director, Office of Privacy and Disclosure, Office of the General Counsel.

[FR Doc. 2018–14246 Filed 7–2–18; 8:45 am]

BILLING CODE P

SOCIAL SECURITY ADMINISTRATION

[Docket No. SSA–2018–0038]

Privacy Act of 1974; System of Records

AGENCY: Office of Retirement and Disability Policy, Office of Income Security Programs, Social Security Administration (SSA).

ACTION: Notice of a Modified System of Records.

SUMMARY: In accordance with the Privacy Act and our disclosure regulations, we are issuing public notice of our intent to publish a new routine use applicable to four of our system of records. The routine use will permit disclosures we intend to make to new entities to support the administration of our representative payee program. The system of records notices (SORN) listed below maintain information used in our representative payee program, in addition to a variety of SSA’s core mission operations. This notice publishes details of the proposed

updates as set forth below under the caption **SUPPLEMENTARY INFORMATION.**

DATES: The routine uses are effective August 2, 2018. In accordance with 5 U.S.C. 552a(e)(4) and (e)(11), the public is given a 30-day period in which to submit comments. We invite public comment on the new routine uses; therefore, please submit any comments by August 2, 2018.

ADDRESSES: The public, Office of Management and Budget (OMB), and Congress may comment on this publication by writing to the Executive Director, Office of Privacy and Disclosure, Office of the General Counsel, Social Security Administration, Room G–401 West High Rise, 6401 Security Boulevard, Baltimore, Maryland 21235–6401, or through the Federal e-Rulemaking Portal at <http://www.regulations.gov>, please reference docket number SSA–2018–0038. All comments we receive will be available for public inspection at the above address and we will post them to <http://www.regulations.gov>.

obligations and managing the benefits or payments in the best interest of the beneficiary or recipient that he or she is serving.

The Strengthening Protections for Social Security Beneficiaries Act of 2018 (H.R. 4547, Pub. L. 115–165, hereafter referred to as Pub. L. 115–165) directs SSA to make annual grants to the protection and advocacy (P&A) system serving each of the States and the American Indian Consortium, for the purpose of conducting representative payee reviews for SSA. In addition, SSA will make annual grants to an eligible national association for the provision of training and technical assistance, administrative support, and data collection services to those P&A systems. Prior to the enactment of Public Law 115–165, SSA conducted

representative payee oversight and monitoring activities with the support of contractors. We are proposing two new routine uses, which will permit SSA to disclose information from the systems of records listed below to additional entities, including the grantees discussed above, for the purpose of conducting representative payee reviews and providing training, administrative oversight, technical assistance, and other support for the representative payee review program.

II. Proposed New Routine Uses

The Privacy Act requires that agencies publish a notice in the **Federal Register** of “each routine use of the records contained in the system, including the categories of users and the purpose of such use.” 5 U.S.C. 552a(e)(4)(D). We

have developed the following new routine uses that will allow us to disclose information to additional entities in support of our representative payee program:

- To agencies or entities who have a written agreement with SSA, to perform representative payee reviews for SSA and to provide training, administrative oversight, technical assistance, and other support for those reviews; and
- To state protection and advocacy systems, that have a written agreement with SSA to conduct reviews of representative payees, for the purpose of conducting additional reviews that the protection and advocacy systems have reason to believe are warranted.

We will include the new routine uses in the following systems of records:

System No. and name	New routine use	Federal Register citation No./publication date
60–0058—Master Files of Social Security Number Holders and SSN Applications	No. 47 & 48 ...	75 FR 82121, 12/29/10. 78 FR 40542, 07/05/13. 79 FR 78780, 02/13/14.
60–0089—Claims Folders System	No. 37 & 38 ...	68 FR 15784, 04/01/03. 72 FR 69723, 12/10/07.
60–0090—Master Beneficiary Record	No. 40 & 41 ...	71 FR 1829, 01/11/06. 72 FR 69723, 12/10/07.
60–0094—Recovery of Overpayments, Accounting and Reporting	No. 10 & 11 ...	78 FR 40542, 07/05/13. 70 FR 49354, 08/23/05. 72 FR 69723, 12/10/07.
60–0103—Supplemental Security Income Record and Special Veterans Benefits	No. 38 & 39 ...	71 FR 1830, 01/11/06. 72 FR 69723, 12/10/07.
60–0222—Master Representative Payee File	No. 21 & 22 ...	78 FR 23811, 04/22/13.
60–0318—Representative Payee/Misuse Restitution Control System (RP/MRCS)	No. 9 & 10	70 FR 29547, 05/23/05. 72 FR 69723, 12/10/07.

We are not republishing in their entirety the SORNs to which we are adding the proposed new routine uses. Instead, we are republishing only the identification number, name of the SORN, the numbers of the new routine uses, and the issue of the **Federal Register** in which the SORN was last published, including the publication date and number.

In accordance with 5 U.S.C. 552a(r), we have provided a report to OMB and Congress on these modified systems of records.

Dated: May 23, 2018.

Mary Ann Zimmerman,

Acting Executive Director, Office of Privacy and Disclosure, Office of the General Counsel.

[FR Doc. 2018–14246 Filed 7–2–18; 8:45 am]

BILLING CODE P

SOCIAL SECURITY ADMINISTRATION

[Docket No. SSA–2018–0038]

Privacy Act of 1974; System of Records

AGENCY: Office of Retirement and Disability Policy, Office of Income Security Programs, Social Security Administration (SSA).

ACTION: Notice of a Modified System of Records.

SUMMARY: In accordance with the Privacy Act and our disclosure regulations, we are issuing public notice of our intent to publish a new routine use applicable to four of our system of records. The routine use will permit disclosures we intend to make to new entities to support the administration of our representative payee program. The system of records notices (SORN) listed below maintain information used in our representative payee program, in addition to a variety of SSA’s core mission operations. This notice publishes details of the proposed

updates as set forth below under the caption **SUPPLEMENTARY INFORMATION.**

DATES: The routine uses are effective August 2, 2018. In accordance with 5 U.S.C. 552a(e)(4) and (e)(11), the public is given a 30-day period in which to submit comments. We invite public comment on the new routine uses; therefore, please submit any comments by August 2, 2018.

ADDRESSES: The public, Office of Management and Budget (OMB), and Congress may comment on this publication by writing to the Executive Director, Office of Privacy and Disclosure, Office of the General Counsel, Social Security Administration, Room G–401 West High Rise, 6401 Security Boulevard, Baltimore, Maryland 21235–6401, or through the Federal e-Rulemaking Portal at <http://www.regulations.gov>, please reference docket number SSA–2018–0038. All comments we receive will be available for public inspection at the above address and we will post them to <http://www.regulations.gov>.

FOR FURTHER INFORMATION CONTACT:

Andrea Huseth, Government Information Specialist, Disclosure and Data Support Division, Office of Privacy and Disclosure, Office of the General Counsel, Social Security Administration, Room G-401 West High Rise, 6401 Security Boulevard, Baltimore, Maryland 21235-6401, telephone: (410) 965-6868, email: andrea.huseth@ssa.gov and Tristin Dorsey, Government Information Specialist, Privacy Implementation Division, Office of Privacy and Disclosure, Office of the General Counsel, Social Security Administration, Room G-401 West High Rise, 6401 Security Boulevard, Baltimore, Maryland 21235-6401, telephone: (410) 965-2950, email: tristin.dorsey@ssa.gov.

SUPPLEMENTARY INFORMATION:

I. Background and Purpose of the Proposed New Routine Use

Social Security’s representative payee program provides financial management for Social Security beneficiaries and Supplemental Security Income (SSI) recipients (both hereafter referred to as

beneficiaries) who are incapable of managing their benefits or payments. The representative payee’s primary responsibility is to use the beneficiary’s benefits or payments for current and foreseeable needs. Historically, representative payees have submitted annual accounting forms to account for the Social Security benefits or SSI payments received. In addition to the annual accounting form, we select some representative payees for additional review. This type of oversight provides a more in depth review to ensure that the representative payee is meeting his or her representative payee obligations and managing the benefits or payments in the best interest of the beneficiary that he or she is serving.

When conducting the representative payee reviews, which may include beneficiary, legal guardian, or third party interviews, the reviewer may observe a health or safety issue, or any other issue negatively affecting the beneficiary’s well-being, that requires a referral to an appropriate local, state, or federal agency or entity with responsibility for investigating or addressing these issues. We are

proposing a new routine use to permit us to disclose personal information relevant and necessary to make these referrals to such agencies or entities when the reviewer determines that the beneficiary’s safety or well-being may be in jeopardy.

II. Proposed New Routine Use

The Privacy Act requires that agencies publish a notice in the **Federal Register** of “each routine use of the records contained in the system, including the categories of users and the purpose of such use.” 5 U.S.C. 552a(e)(4)(D). We have developed the following new routine use that will allow us to disclose information to a local, state, or federal agency, under the circumstances described above.

- To agencies or entities with responsibility for investigating or addressing possible financial exploitation of, an immediate health or safety threat to, or other serious risk to the well-being of the beneficiary, for referral, when these issues are identified during a representative payee review.

We will include the new routine use in the following systems of records:

System No. and name	New routine use	Federal Register citation No./publication date
60-0058—Master Files of Social Security Number Holders and SSN Applications	No. 49	75 FR 82121, 12/29/10. 78 FR 40542, 07/05/13. 79 FR 78780, 02/13/14.
60-0090—Master Beneficiary Record	No. 42	71 FR 1829, 01/11/06. 72 FR 69723, 12/10/07. 78 FR 40542, 07/05/13.
60-0103—Supplemental Security Income Record and Special Veterans Benefits	No. 40	71 FR 1830, 01/11/06. 72 FR 69723, 12/10/07.
60-0222—Master Representative Payee File	No. 23	78 FR 23811, 04/22/13.

SSA will disclose only those elements from SSA’s systems of records that are necessary to make the appropriate referral for services to the appropriate agency or entity.

We are not republishing in their entirety the SORNs to which we are adding the proposed new routine use. Instead, we are republishing only the identification number, name of the systems of records, the numbers of the new routine use, and the issue of the **Federal Register** in which the system of records notice was last published, including the publication date and number.

In accordance with 5 U.S.C. 552a(r), we have provided a report to OMB and Congress on these modified systems of records.

Dated: May 23, 2018.
Mary Ann Zimmerman,
Acting Executive Director, Office of Privacy and Disclosure, Office of the General Counsel.
[FR Doc. 2018-14247 Filed 7-2-18; 8:45 am]
BILLING CODE 4191-02-P

DEPARTMENT OF STATE

[Public Notice: 10437]

Biodiversity Beyond National Jurisdiction; Notice of Public Meeting

ACTION: Notice of public meeting.

SUMMARY: The Department of State will hold an information session regarding issues related to upcoming first United Nations Intergovernmental Conference on marine biodiversity in areas beyond national jurisdiction.

DATES: The public meeting will be held on July 25, 2018, 1:30 p.m.–3:00 p.m.

ADDRESSES: The meeting will be held at the Harry S. Truman Main State Building, Room 1498, 2201 C Street NW, Washington, DC 20520.

FOR FURTHER INFORMATION CONTACT: If you would like to participate in this meeting, please send your (1) name, (2) organization/affiliation, and (3) email address and phone number, as well as any requests for reasonable accommodation, to Elana Mendelson at MendlesonEK@state.gov or call (202) 647-1073.

SUPPLEMENTARY INFORMATION: In September 2018, the United States will participate in the first session of the Intergovernmental Conference established by the United Nations General Assembly (UNGA) to negotiate an international legally binding instrument under the United Nations Convention on the Law of the Sea on the conservation and sustainable use of

and June 29, 2018, applicant made liquidating distributions to its shareholders based on net asset value. Expenses of \$98,189 incurred in connection with the liquidation were paid by the applicant.

Filing Date: The application was filed on September 14, 2018.

Applicant's Address: c/o UBS Asset Management (Americas) Inc., Attn: Keith A. Weller, 1285 Avenue of the Americas, 12th Floor, New York, New York 10019-6028.

Nuveen Active Allocation Real Return Fund [File No. 811-22688]

Summary: Applicant, a closed-end investment company, seeks an order declaring that it has ceased to be an investment company. Applicant has never made a public offering of its securities and does not propose to make a public offering or engage in business of any kind.

Filing Date: The application was filed on August 23, 2018.

Applicant's Address: 333 West Wacker Drive, Chicago, Illinois 60606.

Strategic Global Income Fund, Inc. [File No. 811-06475]

Summary: Applicant, a closed-end investment company, seeks an order declaring that it has ceased to be an investment company. On June 29, 2016 and July 11, 2018, applicant made liquidating distributions to its shareholders based on net asset value. Expenses of \$68,830 incurred in connection with the liquidation were paid by the applicant.

Filing Date: The application was filed on September 14, 2018.

Applicant's Address: c/o UBS Asset Management (Americas) Inc., Attn: Keith A. Weller, 1285 Avenue of the Americas, 12th Floor, New York, New York 10019-6028.

For the Commission, by the Division of Investment Management, pursuant to delegated authority.

Eduardo A. Aleman,
Assistant Secretary.

[FR Doc. 2018-23849 Filed 10-31-18; 8:45 am]

BILLING CODE P

SOCIAL SECURITY ADMINISTRATION

[Docket No. SSA-2018-0059]

Privacy Act of 1974; System of Records

AGENCY: Social Security Administration (SSA).

ACTION: Notice of a modified system of records.

SUMMARY: In accordance with the Privacy Act, we are issuing public notice of our intent to modify our existing systems of records listed below under the System Name and Number section. This notice publishes details of the modification as set forth under the caption, **SUPPLEMENTARY INFORMATION.**

DATES: This routine use is effective December 3, 2018. We invite public comment on the addition of this routine use. In accordance with 5 U.S.C. 552a(e)(4) and (e)(11), the public is given a 30-day period in which to submit comments. Therefore, please submit any comments by December 3, 2018.

ADDRESSES: The public, OMB, and Congress may comment on this publication by writing to the Executive Director, Office of Privacy and Disclosure, Office of the General Counsel, SSA, Room G-401 West High Rise, 6401 Security Boulevard, Baltimore, Maryland 21235-6401, or through the Federal e-Rulemaking Portal at <http://www.regulations.gov>; please reference docket number SSA-2018-0059. All comments we receive will be available for public inspection at the above address and we will post them to <http://www.regulations.gov>.

FOR FURTHER INFORMATION CONTACT: Elizabeth Boorstein, Government Information Specialist, Privacy Implementation Division, Office of Privacy and Disclosure, Office of the General Counsel, SSA, Room G-401 West High Rise, 6401 Security Boulevard, Baltimore, Maryland 21235-6401, telephone: (410) 966-2824, email: Elizabeth.Boorstein@ssa.gov.

SUPPLEMENTARY INFORMATION: The Office of Management and Budget (OMB) Memorandum 17-12 (M-17-12), Preparing for and Responding to a

Breach of Personally Identifiable Information (January 3, 2017) requires Federal agencies to publish a routine use in its systems of records that authorizes disclosure of records that may reasonably be needed by a Federal agency or Federal entity in connection with the response and remedial efforts in the event of a breach. The proposed routine use permits SSA to disclose records that may reasonably be needed by another Federal agency or Federal entity in its efforts to respond and remediate a breach of personally identifiable information. Such a routine use will serve to protect the interests of the people whose information is at risk by allowing SSA to assist another Federal agency or Federal entity to take appropriate steps to facilitate a timely and effective response to a confirmed or suspected breach. It will also help SSA improve its ability to prevent, minimize, or remedy any harm that may result from a compromise of data maintained in SSA's systems of records. Such a use is in the best interest of both the individual whose record is at issue and the public.

To satisfy the routine use requirements in OMB M-17-12, SSA is adding the following routine use to our Privacy Act systems of records:¹

To another Federal agency or Federal entity, when SSA determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in:

(a) responding to a suspected or confirmed breach; or

(b) preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity (including its information systems, programs, and operations), the Federal Government, or national security, resulting from a suspected or confirmed breach.

SYSTEM NAME AND NUMBER

SSA will establish the new routine use listed above in the following systems of records:

¹ Privacy Act systems of records that contain data protected under the Internal Revenue Code (IRC) will not contain this routine use as the IRC does not contain a provision that permits disclosure for this purpose.

System No. and name	New routine use	Federal Register citation No./ publication date
60-0003—Attorney Fee File	No. 10	71 FR 1803, 01/11/06. 72 FR 69723, 12/10/07.
60-0004—Working File of the Appeals Council	No. 8	74 FR 19620, 04/29/09.
60-0005—Administrative Law Judge Working File on Claimant Cases	No. 9	70 FR 60383, 10/17/09.
60-0006—Storage of Hearing Records: Tape Cassettes and Audiograph Discs	No. 9	71 FR 1805, 01/11/06. 72 FR 69723, 12/10/07.
60-0009—Hearings and Appeals Case Control System	No. 7	47 FR 45589, 10/13/82. 48 FR 37526, 08/18/83. 51 FR 8243, 03/10/86. 52 FR 12084, 04/14/87. 59 FR 46439, 09/08/94. 65 FR 46997, 08/01/00. 72 FR 69723, 12/10/07.
60-0010—Hearing Office Tracking System of Claimant Cases	No. 7	71 FR 1806, 01/11/06. 72 FR 69723, 12/10/07.
60-0012—Listing and Alphabetical Name File (Folder) of Vocational Experts, Medical Experts And Other Health Care/Non-Health Care Professionals Experts (Medicare).	No. 10	76 FR 24557, 05/02/11.
60-0013—Records of Usage of Medical Experts, Vocational Experts, and Other Health Care/Non-Health Care Professionals Experts (Medicare).	No. 8	71 FR 1809, 01/11/06. 72 FR 69723, 12/10/07.
60-0014—Curriculum Vitae and Professional Qualifications of Medical Advisors, and Resumes of Vocational Experts.	No. 9	47 FR 45589, 10/13/82. 48 FR 37526, 08/18/83. 51 FR 8243, 03/10/86. 52 FR 12084, 04/14/87. 59 FR 46439, 09/08/94. 72 FR 69723, 12/10/07.
60-0038—Employee Building Pass Files	No. 8	47 FR 45606, 10/13/82. 48 FR 37526, 08/18/83. 51 FR 8243, 03/10/86. 52 FR 12084, 04/14/87. 59 FR 46439, 09/08/94. 72 FR 69723, 12/10/07.
60-0040—Quality Review System	No. 14	47 FR 45606, 10/13/82. 48 FR 37526, 08/18/83. 51 FR 8243, 03/10/86. 52 FR 12084, 04/14/87. 59 FR 46439, 09/08/94. 65 FR 46997, 08/01/00. 72 FR 69723, 12/10/07.
60-0042—Quality Review Case Files	No. 14	47 FR 45607, 10/13/82. 48 FR 37526, 08/18/83. 51 FR 8243, 03/10/86. 52 FR 12084, 04/14/87. 59 FR 46439, 09/08/94. 65 FR 46997, 08/01/00. 72 FR 69723, 12/10/07.
60-0044—National Disability Determination Services	No. 12	71 FR 11810, 01/11/06. 72 FR 69723, 12/10/07.
60-0045—Black Lung Payment System	No. 17	52 FR 9543, 03/25/87. 59 FR 46439, 09/08/94. 60 FR 52948, 10/11/95. 72 FR 69723, 12/10/07.
60-0046—Disability Determination Service Consultant's File	No. 8	71 FR 1812, 01/11/06. 72 FR 69723, 12/10/07.
60-0050—Completed Determination Record—Continuing Disability Determinations	No. 11	71 FR 1814, 01/11/06. 72 FR 69723, 12/10/07.
60-0057—Quality Evaluation Data Records	No. 6	47 FR 45615, 10/13/82. 48 FR 37526, 08/18/83. 51 FR 8243, 03/10/86. 52 FR 12084, 04/14/87. 59 FR 46439, 09/08/94. 65 FR 46997, 08/01/00. 72 FR 69723, 12/10/07.
60-0058—Master Files of Social Security Number Holders and SSN Applications	No. 50	75 FR 82121, 12/29/10. 78 FR 40542, 07/05/13. 79 FR 78780, 02/13/14. 83 FR 31250, 07/03/18. 83 FR 31252, 07/03/18.
60-0059—Earnings Recording and Self-Employment Income System	No. 35	71 FR 1819, 01/11/06. 78 FR 40542, 07/05/13.
60-0063—Resource Accounting System	No. 7	47 FR 45620, 10/13/82. 48 FR 37526, 08/18/83. 51 FR 8243, 03/10/86.

System No. and name	New routine use	Federal Register citation No./ publication date
		52 FR 12084, 04/14/87. 59 FR 46439, 09/08/94. 65 FR 46997, 08/01/00. 72 FR 69723, 12/10/07.
60-0077—Congressional Inquiry File	No. 8	71 FR 1823, 01/11/06. 72 FR 69723, 12/10/07.
60-0078—Public Inquiry Correspondence File	No. 9	71 FR 1823, 01/11/06. 72 FR 69723, 12/10/07.
60-0089—Claims Folders System	No. 38	68 FR 15784, 04/01/03. 72 FR 69723, 12/10/07. 83 FR 31250, 07/03/18.
60-0090—Master Beneficiary Record	No. 43	71 FR 1829, 01/11/06. 72 FR 69723, 12/10/07. 78 FR 40542, 07/05/13. 83 FR 31250, 07/03/18.
60-0094—Recovery of Overpayments, Accounting and Reporting	No. 12	70 FR 49354, 08/23/05. 72 FR 69723, 12/10/07. 83 FR 31250, 07/03/18.
60-0103—Supplemental Security Income Record	No. 41	71 FR 1830, 01/11/06. 72 FR 69723, 12/10/07. 83 FR 31250, 07/03/18. 83 FR 31252, 07/03/18.
60-0104—Race and Ethnicity Collection System (RECS)	No. 10	74 FR 42727, 08/24/09.
60-0118—Non-Contributory Military Service Reimbursement System	No. 7	71 FR 1834, 01/11/06. 72 FR 69723, 12/10/07.
60-0159—Continuous Work History Sample (Statistics)	No. 6	47 FR 45643, 10/13/82. 65 FR 46997, 08/01/00. 72 FR 69723, 12/10/07.
60-0186—Civil Action Tracking System (CATS)	No. 7	70 FR 60383, 10/17/05. 72 FR 69723, 12/10/07.
60-0196—Disability Studies, Surveys, Records and Extracts (Statistics)	No. 5	57 FR 55265, 11/24/92. 65 FR 46997, 08/01/00. 72 FR 69723, 12/10/07.
60-0199—Extramural Surveys (Statistics)	No. 5	71 FR 1835, 01/11/06. 72 FR 69723, 12/10/07.
60-0200—Retirement and Survivors Studies, Surveys, Records and Extracts (Statistics)	No. 4	47 FR 45649, 10/13/82. 65 FR 46997, 08/01/00. 72 FR 69723, 12/10/07.
60-0202—Old Age, Survivors and Disability Beneficiary and Worker Records and Extracts (Statistics).	No. 6	47 FR 45650, 10/13/82. 69 FR 11693, 08/01/00. 69 FR 11693, 03/11/04. 72 FR 69723, 12/10/07.
60-0203—Supplemental Security Income Studies, Surveys, Records and Extracts (Statistics)	No. 5	47 FR 45651, 10/13/82. 65 FR 46997, 08/01/00. 72 FR 69723, 12/10/07.
60-0210—Record of Individuals Authorized Entry to Secured Automated Data Processing Area	No. 8	47 FR 51795, 11/17/85. 51 FR 8243, 03/10/86. 52 FR 12084, 04/14/87. 59 FR 46439, 09/08/94. 72 FR 69723, 12/10/07.
60-0211—Beneficiary, Family and Household Surveys, Records and Extracts System (Statistics) ..	No. 6	48 FR 51693, 11/10/83. 65 FR 46997, 08/01/00. 69 FR 11693, 03/11/04. 72 FR 69723, 12/10/07.
60-0213—Quality Review of Hearing/Appellate Process	No. 9	47 FR 45655, 10/13/82. 48 FR 37526, 08/18/83. 51 FR 8243, 03/10/86. 52 FR 12084, 04/14/87. 59 FR 46439, 09/08/94. 65 FR 46997, 08/01/00. 72 FR 69723, 12/10/07.
60-0214—Personal Identification Number File (PINFile)	No. 5	59 FR 46439, 09/08/94. 72 FR 69723, 12/10/07.
60-0218—Disability Insurance and Supplemental Security Income Demonstration Projects and Experiments System.	No. 8	71 FR 1836, 01/11/06. 72 FR 69723, 12/10/07.
60-0219—Representative Disqualification/Suspension Information System	No. 18	75 FR 25904, 05/10/10. 80 FR 30969, 01/06/15.
60-0220—Kentucky Birth Records System	No. 6	52 FR 12084, 04/14/87. 59 FR 46439, 09/08/94. 72 FR 69723, 12/10/07.

System No. and name	New routine use	Federal Register citation No./ publication date
60-0221—Vocational Rehabilitation Reimbursement Case Processing System	No. 11	71 FR 1840, 01/11/06. 72 FR 69723, 12/10/07.
60-0224—SSA-Initiated Personal Earnings and Benefit Estimate Statement (SIPEBES) History File	No. 8	59 FR 54004, 10/27/94. 72 FR 69723, 12/10/07.
60-0225—SSA Initiated Personal Earnings and Benefit Estimate Statement Address System for Certain Territories.	No. 7	59 FR 54004, 10/27/94. 72 FR 69723, 12/10/07.
60-0228—Safety Management Information System (SSA Accident, Injury and Illness Reporting System).	No. 8	71 FR 1844, 01/11/06. 72 FR 69723, 12/10/07.
60-0230—Social Security Administration Parking Management Record System	No. 6	71 FR 1846, 01/11/06. 72 FR 69723, 12/10/07.
60-0231—Financial Transactions of SSA Accounting and Finance Offices	No. 20	71 FR 1847, 01/11/06. 72 FR 69723, 12/10/07.
60-0232—Central Registry of Individuals Doing Business With SSA (Vendor File)	No. 12	71 FR 1849, 01/11/06. 72 FR 69723, 12/10/07.
60-0234—Employee Assistance Program (EAP) Records	No. 8	71 FR 1851, 01/11/06. 72 FR 69723, 12/10/07.
60-0236—Employee Development Program Records	No. 14	71 FR 1853, 01/11/06. 72 FR 69723, 12/10/07.
60-0237—Employees' Medical Records	No. 9	71 FR 1854, 01/11/06. 72 FR 69723, 12/10/07.
60-0238—Pay, Leave and Attendance Records	No. 26	71 FR 1856, 01/11/06. 72 FR 69723, 12/10/07.
60-0239—Personnel Records in Operating Offices	No. 18	71 FR 1859, 01/11/06. 72 FR 69723, 12/10/07.
60-0241—Employee Suggestion Program Records New Routine Uses	No. 7	71 FR 1861, 01/11/06. 72 FR 69723, 12/10/07.
60-0244—Administrative Grievances Filed Under Part 771 of 5 CFR	No. 20	71 FR 1862, 01/11/06. 72 FR 69723, 12/10/07.
60-0245—Negotiated Grievance Procedure Records	No. 22	71 FR 1864, 01/11/06. 72 FR 69723, 12/10/07.
60-0250—Equal Employment Opportunity (EEO) Counselor and Investigator Personnel Records ...	No. 14	71 FR 1866, 01/11/06. 72 FR 69723, 12/10/07.
60-0255—Plans for Achieving Self-Support (PASS) Management Information System	No. 10	71 FR 1867, 01/11/06. 72 FR 69723, 12/10/07.
60-0259—Claims Under the Federal Tort Claims Act and Military Personnel and Civilian Employees' Claim Act.	No. 9	71 FR 1869, 01/11/06. 72 FR 69723, 12/10/07.
60-0262—Attorney Applicant Files	No. 8	71 FR 1871, 01/11/06. 72 FR 69723, 12/10/07.
60-0268—Medicare Part B Buy-In Information System ..	No. 10	64 FR 10173, 03/02/99. 72 FR 69723, 12/10/07.
60-0269—Prisoner Update Processing System (PUPS)	No. 13	64 FR 11076, 03/08/99. 72 FR 69723, 12/10/07. 78 FR 40542, 07/05/13.
60-0270—Records of Individuals Authorized Entry into Secured Areas by Digital Lock Systems, Electronic Key Card Systems or Other Electronic Access Devices.	No. 6	65 FR 77953, 12/13/00. 72 FR 69723, 12/10/07.
60-0273—Social Security Title VIII Special Veterans Benefits Claims Development and Management Information System.	No. 16	65 FR 13803, 03/14/00. 65 FR 46997, 08/01/00. 72 FR 69723, 12/10/07.
60-0274—Litigation Docket and Tracking System	No. 12	71 FR 1872, 01/11/06. 72 FR 69723, 12/10/07.
60-0275—Civil Rights Complaints Filed by Members of the Public	No. 10	71 FR 1874, 01/11/06. 72 FR 69723, 12/10/07.
60-0276—Social Security Administration's (SSA's) Talking and Listening to Customers (TLC)	No. 7	65 FR 48272, 08/07/00. 72 FR 69723, 12/10/07.
60-0279—Social Security Administration's (SSA's) Mandate Against Red Tape (SMART)	No. 8	65 FR 49047, 08/10/00. 72 FR 69723, 12/10/07.
60-0280—SSA Administrative Sanctions	No. 7	65 FR 54595, 09/08/00. 72 FR 69723, 12/10/07.
60-0290—Social Security Administration's Customer PIN/Password (PPW) Master File System	No. 8	71 FR 1874, 01/11/06. 72 FR 69723, 12/10/07.
60-0295—Ticket-to-Work and Self-Sufficiency Program Payment Database	No. 9	66 FR 17985, 04/04/01. 72 FR 69723, 12/10/07.
60-0300—Ticket-to-Work Program Manager (PM) Management Information System	No. 9	66 FR 32656, 06/15/01. 72 FR 69723, 12/10/07.
60-0305—SSA Mass Transportation Subsidy Program System	No. 13	67 FR 44658, 07/03/02. 72 FR 69723, 12/10/07.
60-0310—Medicare Savings Programs Information System	No. 10	69 FR 17019, 03/31/04. 72 FR 69723, 12/10/07.
60-0315—Reasonable Accommodation for Persons with Disabilities (RAPD)	No. 12	70 FR 62157, 10/25/05. 72 FR 69723, 12/10/07.

System No. and name	New routine use	Federal Register citation No./ publication date
60-0318—Representative Payee/Misuse Restitution Control System (RP/MRCS)	No. 11	70 FR 29547, 05/23/05. 72 FR 69723, 12/10/07. 83 FR 31250, 07/03/18.
60-0320—Electronic Disability Claim File (eDib)	No. 32	68 FR 71210, 12/22/03. 72 FR 69723, 12/10/07.
60-0321—Medicare Part D and Part D Subsidy File	No. 23	71 FR 42159, 07/25/06. 72 FR 69723, 12/10/07.
60-0325—Appointed Representatives File	No. 14	74 FR 51940, 10/08/09.
60-0328—National Docketing Management Information System (NDMIS)	No. 14	70 FR 34515, 06/14/05. 70 FR 36224, 06/22/05. 72 FR 69723, 12/10/07.
60-0330—eWork	No. 11	68 FR 45037, 09/15/03. 72 FR 69723, 12/10/07.
60-0340—Freedom of Information Act (FOIA) and Privacy Act Record Request and Appeal System.	No. 11	81 FR 45352, 07/13/16.
60-0350—Visitor Intake Process/Customer Service Record (VIP/CSR) System	No. 9	72 FR 71470, 12/17/07.
60-0355—The Non-Attorney Representative Prerequisites Process File (NARPPF)	No. 12	69 FR 77823, 12/28/04. 72 FR 69723, 12/10/07.
60-0356—Administrative Law Judge/Public Alleged Misconduct Complaints (ALJ/PAMC)	No. 15	75 FR 8171, 02/23/10.
60-0360—Identity Protection Program (IPP) System	No. 12	73 FR 15828, 03/25/08.
60-0361—Identity Management System (IDMS)	No. 16	71 FR 64751, 11/03/06. 72 FR 69723, 12/10/07.
60-0362—Recordings of Service Operations	No. 13	73 FR 16408, 03/27/08.
60-0363—Call Detail Management Information Report	No. 13	71 FR 16408, 03/27/08.
60-0364—Service Operation Database	No. 13	73 FR 16408, 03/27/08.
60-0370—The Representative Payee and Beneficiary Survey Data System	No. 7	71 FR 16397, 03/31/06. 72 FR 69723, 12/10/07.
60-0371—Social Security Administration Unified Measurement System/Managerial Cost Accountability (SUMS/MCAS).	No. 13	73 FR 5619, 01/30/08.
60-0372—Economic Recovery List (ERL) Database	No. 13	75 FR 40014, 07/13/10.
60-0373—Repository of Electronic Authentication Data Master File	No. 9	75 FR 79065, 12/19/10.
60-0378—Requests for Accommodation from Members of the Public (RAMP)	No. 12	79 FR 34558, 06/17/14.
60-0380—Anti-Harassment & Hostile Work Environment Case Tracking and Records System	No. 14	81 FR 87119, 12/02/16.

SSA is not republishing the system of records notices in their entirety. Instead, SSA is republishing only the identification number, the name of the system of record, the number of the new routine use, and the issue of the **Federal Register** in which the system notice was last published in full, including the subsequent modification to the system of records notice's publication date and page number.

SECURITY CLASSIFICATION:

Unclassified.

SYSTEM LOCATION:

SSA provides the address of the component and system manager responsible for each system in the **Federal Register** notice listed above.

SYSTEM MANAGER(S):

SSA provides the title, business address, and contact information of the agency official who is responsible for the system in the **Federal Register** notice listed above.

HISTORY:

SSA provides the citation to the last full **Federal Register** notice, as well as last subsequent modification notice to the system of records notice above.

In accordance with 5 U.S.C. 552a(r), SSA provided a report to OMB and Congress on this modification to our system of records.

Dated: August 16, 2018.

Mary Zimmerman,

Acting Executive Director, Office of Privacy and Disclosure, Office of the General Counsel.

[FR Doc. 2018-23905 Filed 10-31-18; 8:45 am]

BILLING CODE P

DEPARTMENT OF STATE

[Public Notice 10596]

Notice of Public Meeting Shipping Coordination Committee Meeting

The Department of State will conduct an open meeting at 9 a.m. on November 27, 2018, in the CDR Raymond J. Evans Conference Center, Room 6i10-01-a, of the Douglas A. Munro Coast Guard Headquarters Building at St. Elizabeth's, 2703 Martin Luther King Jr. Avenue SE, Washington DC 20593. The primary purpose of the meeting is to prepare for the one-hundredth session of the International Maritime Organization's (IMO) Maritime Safety Committee to be held at the IMO Headquarters, United Kingdom, December 3-7, 2018.

The agenda items to be considered include:

- Adoption of the agenda; report of credentials
- Decisions of other IMO bodies
- Consideration and adoption of amendments to mandatory instruments
- Measures to enhance maritime security
- Regulatory scoping exercise for the use of Maritime Autonomous Surface Ships (MASS)
- Goal-based new ship construction standards
- Safety measures for non-SOLAS ships operating in polar waters
- Pollution prevention and response (matters emanating from the fifth session of the Sub-Committee)
- Ships systems and equipment (report of the fifth session of the Sub-Committee)
- Human element, training and watchkeeping (report of the fifth session of the Sub-Committee)
- Carriage of cargoes and containers (urgent matters emanating from the fifth session of the Sub-Committee)
- Implementation of IMO instruments (urgent matters emanating from the fifth session of the Sub-Committee)

Attachment B

SSA System of Records Notice, SSA/ORSIS 60-0321

71 Fed. Reg. 42159	(July 25, 2006)	Pages 42 – 47
72 Fed. Reg. 69723	(December 10, 2007)	Pages 48 – 50
83 Fed. Reg. 54969	(November 1, 2018)	Pages 51 – 55

Incident Period: 06/23/2006 through 07/10/2006.

Effective Date: 07/14/2006.

Physical Loan Application Deadline Date: 09/05/2006.

EIDL Loan Application Deadline Date: 04/04/2007.

ADDRESSES: Submit completed loan applications to: U.S. Small Business Administration, National Processing and Disbursement Center, 14925 Kingsport Road Fort Worth, TX 76155.

FOR FURTHER INFORMATION CONTACT: A. Escobar, Office of Disaster Assistance, U.S. Small Business Administration, 409 3rd Street, SW., Suite 6050, Washington, DC 20416.

SUPPLEMENTARY INFORMATION: The notice of the Presidential disaster declaration for the Commonwealth of Pennsylvania, dated 07/04/2006 is hereby amended to include the following areas as adversely affected by the disaster:

Primary Counties: Bradford, Carbon, Luzerne.

Contiguous Counties:

Pennsylvania: Tioga;

New York: Chemung.

All other information in the original declaration remains unchanged.

(Catalog of Federal Domestic Assistance Numbers 59002 and 59008)

Cheri L. Cannon,

Acting Associate Administrator for Disaster Assistance.

[FR Doc. E6-11786 Filed 7-24-06; 8:45 am]

BILLING CODE 8025-01-P

SOCIAL SECURITY ADMINISTRATION

Privacy Act of 1974, as Amended; Alteration to Existing System of Records

AGENCY: Social Security Administration (SSA).

ACTION: Altered system of records, including proposed new routine uses.

SUMMARY: In accordance with the Privacy Act (5 U.S.C. 552a(e)(4) and (e)(11)), we are issuing public notice of our intent to alter an existing system of records entitled *Medicare Part D and Part D Subsidy File, 60-0321*. The proposed alterations will result in the following changes to the system of records:

(1) Expansion of the categories of individuals covered by the system to include individuals entitled to Medicare Part A, Part B and Medicare Advantage Part C; and

(2) Proposed new routine uses 17-21 providing for the release of information for purposes of efficient administration of Medicare Part A, Part B, Medicare Advantage Part C, and Medicare Part D.

We are also changing the name of the existing *Medicare Part D and Part D Subsidy File* system of records. The proposed new name is the *Medicare Database*, hereinafter referred to as the *MDB File*. The change reflects the establishment of a single repository for all Medicare-related data.

The proposed alterations are discussed in the **SUPPLEMENTARY INFORMATION** section below. We invite public comments on this proposal.

DATES: We filed a report of the proposed alteration with the Chairman of the Senate Committee on Homeland Security and Governmental Affairs, the Chairman of the House Committee on Government Reform, and the Director, Office of Information and Regulatory Affairs, Office of Management and Budget (OMB) on July 18, 2006. The proposed alteration will become effective on August 26, 2006 unless we receive comments that will result in a contrary determination.

ADDRESSES: Interested individuals may comment on this publication by writing to the Executive Director, Office of Public Disclosure, Office of the General Counsel, Social Security Administration, Room 3-A-6 Operations Building, 6401 Security Boulevard, Baltimore, Maryland 21235-6401. All comments received will be available for public inspection at the above address.

FOR FURTHER INFORMATION CONTACT: Ms. Christine W. Johnson, Lead Social Insurance Specialist, Office of Public Disclosure, Office of the General Counsel, Social Security Administration, Room 3-C-1 Operations Building, 6401 Security Boulevard, Baltimore, Maryland 21235-6401, telephone at (410) 965-8563, e-mail: mailto:chris.w.johnson@ssa.gov.

SUPPLEMENTARY INFORMATION:

I. Background and Purpose of Proposed Alteration to the MDB File System of Records

A. General Background

On December 8, 2003, the Medicare Prescription Drug, Improvement, and Modernization Act (also known as the Medicare Modernization Act or MMA) of 2003, created a voluntary prescription drug coverage benefit program under new Part D of Medicare for all individuals eligible for Medicare Part A and/or Part B. The MMA also created a subsidy program to assist Medicare beneficiaries with limited means to pay for prescription drug coverage. The new Medicare Part D was implemented January 2006. We published a notice of system of records in the **Federal**

Register to implement the Medicare Part D coverage on December 28, 2004. The notice can be found at 70 FR 77816, December 28, 2004. Additionally, Section 811 of the MMA established a premium subsidy reduction which will result in an income-related adjustment amount being added to the standard monthly Medicare Part B premium amount.

The Medicare Part B premium subsidy reduction becomes effective January 2007. Sections 101 and 201 of the MMA changed some of the terms and definitions for Medicare Part C (now Medicare Advantage) and provided for the implementation of the Medicare Advantage program.

Medicare Part B is a voluntary program which provides medical insurance coverage for medical and health services such as physician services, diagnostic services and medical supplies. Medicare Part B beneficiaries are responsible for deductibles, co-insurance and monthly premiums towards the cost of covered services. Generally, the Part B premium covers approximately 25 percent of the Part B program costs and the remaining 75 percent of the program costs are subsidized by the Federal Government by contributions to the Federal Supplementary Medical Insurance Trust Fund. Certain Part B beneficiaries may also pay an increased premium for late reenrollment or for enrollment after a period without coverage.

Beginning January 2007, the Medicare Part B premium subsidy will result in an income-related adjustment amount being added to the standard monthly Medicare Part B premium amount for an estimated 4 to 5 percent of the approximately 40 million Part B beneficiaries who have income above an income threshold set by the MMA. Beneficiaries with modified adjusted gross income above a statutory income threshold will pay more of the cost of their Part B premiums through an income-related monthly adjustment amount. The income-related monthly adjustment amount is an additional amount of premium for Part B coverage that is added to the Part B standard monthly premium. The purpose of the income-related adjustment is to reduce the Federal subsidy to Medicare Part B beneficiaries with income above the statutory threshold.

To implement the Medicare Part B premium subsidy reduction and establish eligibility for Part D subsidies, we must collect and maintain relevant information that will be used for these determinations. We will maintain the information in the *MDB File*. We currently maintain information about

Medicare Part A and Part C applicants and beneficiaries in other SSA systems of records (e.g., the Master Beneficiary Record and the Claims Folders System). To assist us in the efficient administration of Medicare Part A, Part B, Medicare Advantage Part C, and Medicare Part D programs, we are consolidating all of the relevant records into the *MDB File*. In addition to these changes, we are also establishing new routine use disclosures of *MDB File* information. To implement these changes, we must make alterations to the *MDB File*. The alterations are discussed in Section I, Subsections B and C below.

B. Expansion of the Categories of Individuals Covered by the MDB File System of Records

(1.) Expansion of the Categories of Individuals in the MDB File

Currently, the records in the system pertain primarily to beneficiaries with limited means to pay for prescription drug coverage under Medicare Part D. The purpose of the proposed alteration is to expand the categories of individuals covered by this system to include beneficiaries who have medical insurance under Medicare Part A, Part B, Medicare Advantage Part C, and all beneficiaries who are covered or who will be eligible for facilitated enrollment under Part D plans. See the "Categories of individuals covered by the system" in the system notice below for the inclusion of the additional categories of individuals and a full description of the information maintained therein.

(2.) Name Change for the Existing Medicare Part D and Part D Subsidy File System of Records

We propose to change the name of the existing system to the *MDB File* system of records to facilitate and reflect the formation of a single repository for the collection and maintenance of all Medicare-related data.

C. Proposed New Routine Use Disclosures of Data Maintained in the *MDB File* System of Records

1. Establishment of New Routine Uses

We are proposing to establish five new routine uses to allow disclosure of information maintained in the *MDB File*. The routine uses will facilitate disclosures to applicants, claimants, prospective applicants or claimants (other than the data subjects and their authorized representatives); the Centers for Medicare & Medicaid Services; the Railroad Retirement Board; the Office of Personnel Management; the Office of Medicare Hearings and Appeals; and the

Medicare Appeals Council in the Department of Health and Human Services, in pursuit of Part B premium reduction based on participation in a Part C Medicare Advantage Plan; Medicare Part B and Part C premium collection; all Medicare enrollment, premium collection and reduction, and Part B premium income-related monthly adjustment amount determinations and appeals of determinations.

Accordingly, proposed new routine uses numbered 17–21 that we are adding to the *MDB File* provide for the disclosure of information as follows:

17. *"To applicants, claimants, prospective applicants or claimants (other than the data subjects and their authorized representatives) to the extent necessary for the purpose of pursuing Medicare Part B premium reduction based on participation in certain Part C Medicare Advantage plans;"*

18. *"To applicants, claimants, prospective applicants or claimants (other than the data subjects and their authorized representatives) to the extent necessary for the purpose of administering Medicare Part A, Part B, Medicare Advantage Part C, and Medicare Part D, including but not limited to pursuing Medicare Part B, Part C and Part D premium collection;"*

19. *"To the Centers for Medicare & Medicaid Services (CMS), for the purpose of administering Medicare Part A, Part B, Medicare Advantage Part C, and Medicare Part D, including but not limited to: Medicare Part C enrollment and premium collection processes; Part D enrollment and premium collection processes; Medicare Part B premium reduction based on participation in a Part C plan; and Medicare Part B enrollment and income-related monthly adjustment amount determinations, appeals of determinations, and premium collection;"*

20. *"To CMS, the Railroad Retirement Board and the Office of Personnel Management for purposes of administering Part A, Part B, Medicare Advantage Part C, and Medicare Part D, including, but not limited to, collecting Medicare Part B premiums, which include an income-related monthly adjustment amount,"* and

21. *"To the Office of Medicare Hearings and Appeals and to the Medicare Appeals Council in the Department of Health and Human Services for purposes of appeals of determinations of Medicare Part B income-related monthly adjustment amount determinations made by SSA."*

2. Compatibility of Proposed New Routine Uses

The Privacy Act (5 U.S.C. 552a(b)(3)) and SSA's disclosure regulation (20 CFR Part 401) permit us to disclose information under a published routine use for a purpose that is compatible with the purpose for which we collected the information. The proposed routine uses above will ensure efficient administration of SSA programs administered through the *MDB File*. Therefore, the proposed routine uses are appropriate and meet the relevant statutory and regulatory criteria.

II. Records Storage Medium and Safeguards for the Proposed *MDB File* System of Records

The *MDB File* is a repository of Medicare applicant and beneficiary information. Only authorized SSA personnel who have a need for the information in the performance of their official duties will be permitted access to the information. We will safeguard the security of the information by requiring the use of access codes to enter the computer systems that will maintain the data and will store computerized records in secured areas that are accessible only to employees who require the information to perform their official duties. Any manually maintained records will be kept in locked cabinets or in otherwise secure areas. Furthermore, SSA employees having access to SSA databases maintaining personal information must sign a sanction document annually, acknowledging their accountability for making unauthorized access to, or disclosure of, such information.

Contractors generally do not have access to the *MDB File*; however, should this change in the future, contractor personnel having access to data in the *MDB File* will be required to adhere to SSA rules concerning safeguards, access and use of the data.

SSA personnel having access to the data on this system will be informed of the criminal penalties of the Privacy Act for unauthorized access to or disclosure of information maintained in this system. See 5 U.S.C. 552a(i)(1).

III. Effect of the Proposed *MDB File* System of Records on the Rights of Individuals

The proposed alteration to the *MDB File* system of records pertains to SSA's responsibilities in expanding the categories of individuals maintained in the file to include beneficiaries who are eligible for Medicare Part A, Part B and Medicare Advantage Part C. We will adhere to all applicable statutory

requirements, including those under the Social Security Act and the Privacy Act, in carrying out our responsibilities. Therefore, we do not anticipate that the proposed alterations will have any unwarranted adverse effect on the rights of individuals.

IV. Change in the Name of the Existing Medicare Part D and Part D Subsidy File System of Records

We will change the name of the existing system of records to the *Medicare Database (MDB) File* to reflect the establishment of a single repository for all Medicare-related information needed to efficiently administer the Medicare Part A, Part B, Medicare Advantage Part C and Medicare Part D programs.

Dated: July 18, 2006.

Jo Anne B. Barnhart,
Commissioner.

Social Security Administration (SSA)

Notice of System of Records Required by the Privacy Act of 1974; as Amended

60-0321

SYSTEM NAME:

Medicare Database (MDB) File, Social Security Administration, Deputy Commissioner for Disability and Income Security Programs.

SECURITY CLASSIFICATION:

None.

SYSTEM LOCATION:

Social Security Administration, National Computer Center, Office of Systems, 6401 Security Boulevard, Baltimore, Maryland 21235.

Other authorized Federal and State agencies that generally have access to information in SSA systems will also have access as needed to the *MDB File*. Contact the system manager for address information.

CATEGORIES OF INDIVIDUALS COVERED BY THE SYSTEM:

Claimants, applicants, beneficiaries, ineligible spouses and potential claimants for Medicare Part A, Medicare Part B, Medicare Advantage Part C, Medicare Part D and for Medicare Part D prescription drug coverage subsidies.

CATEGORIES OF RECORDS IN THE SYSTEM:

This file contains the name, Social Security number (SSN) and income and resource data of the claimant or potential claimant for Part D subsidy; the subsidy application; supporting evidence and documentation for eligibility; documentation for income and resource verification; supporting evidence and documentation for appeal

requests; premium payment documentation; correspondence to and from claimants and/or personal representatives; and leads information from third parties such as social service agencies and hospitals. Further, separate files may be maintained of certain actions which are entered directly into the MDB file. These relate to reports of changes of income and resources and other post-adjudicative reports. Separate data are also maintained for statistical purposes (e.g., subsidy denial, and demographic and statistical information relating to subsidy decisions).

This file also contains information about Medicare Part A, Part B, Medicare Advantage Part C, and non-subsidy Medicare Part D beneficiaries. The information maintained in this system of records is collected from beneficiaries for Medicare Part A, Part B, Medicare Advantage Part C, Medicare Part D, and other source systems maintained by SSA. The information maintained for Part B also include: The individual's name and SSN; enrollment information; premium surcharge information; information from the Internal Revenue Service about such individual's modified adjusted gross income (MAGI) from his/her Federal tax return, including adjusted gross income (AGI), and other tax-exempt income, and tax filing status for each year that the MAGI exceeds a statutory income threshold. Also included is information about MAGI provided by a claimant or beneficiary; supporting evidence and documentation for new initial determinations and appeal requests; Medicare Part B income-related monthly adjustment amount determinations; reconsiderations and appeals of Medicare Part B income-related monthly adjustment amount determinations; information essential to the deduction of premiums from Title II monthly benefits from Railroad Retirement annuities, Civil Service retirement benefits and direct billing by the Centers for Medicare & Medicaid Services; and data necessary to providing fiscal accounting of premiums withheld.

The file may also contain data collected as a result of inquires or complaints, and evaluation and measurement studies of the effectiveness of Medicare Prescription Drug Improvement and Modernization Act (MMA) policies.

AUTHORITY FOR MAINTENANCE OF THE SYSTEM:

Sections 202-205, 223, 226, 228, 1611, 1631, 1818, 1836, 1839, 1840 and 1860D-1-1860D-15 of the Social Security Act (42 U.S.C. 402-405, 423, 426, 428, 1382, 1383, 1395i-2, 1395o,

1395r-1, 1395s and 1395w-101-1395w-115).

PURPOSE(S):

The *MDB File* is used for the collection and maintenance of material related to Medicare Part A, Part B, Medicare Advantage Part C, and Medicare Part D, including, but not limited to: Part D participation and premium deductions, and where applicable, subsidized prescription drug coverage eligibility information; Medicare Part B enrollment, surcharge and premium reduction information for participants in certain Medicare Advantage plans and for maintaining information necessary to set income-related monthly adjustment amounts to Part B premiums for certain individuals who exceed an income threshold; and Part C premium deduction authorized by the MMA. The information in this file is used throughout SSA for the purposes of collecting, documenting, organizing and maintaining information and documents for making determinations about eligibility for subsidized benefits, premium reductions and deduction under the MMA.

ROUTINE USES OF RECORDS MAINTAINED IN THE SYSTEM, INCLUDING CATEGORIES OF USERS AND THE PURPOSES OF SUCH USES:

Disclosures may be made for routine uses as indicated below. However, any information defined as "return or return information" under 26 U.S.C. 6103 of the Internal Revenue Code (IRC) will not be disclosed unless authorized by the IRC, the Internal Revenue Service (IRS), or IRS regulations.

1. To the Office of the President for the purpose of responding to an individual pursuant to an inquiry received from that individual or from a third party on his or her behalf.

2. To a congressional office in response to an inquiry from that office made at the request of the subject of a record.

3. To the Department of Justice (DOJ), a court or other tribunal, or another party before such tribunal when:

(a) SSA, or any component thereof; or
(b) Any SSA employee in his/her official capacity; or
(c) Any SSA employee in his/her individual capacity where DOJ (or SSA where it is authorized to do so) has agreed to represent the employee; or

(d) The United States or any agency thereof where SSA determines that the litigation is likely to affect the operations of SSA or any of its components is party to litigation or has an interest in such litigation, and SSA determines that the use of such records

by DOJ, a court or other tribunal, or another party before such tribunal, is relevant and necessary to the litigation, provided, however, that in each case, SSA determines that such disclosure is compatible with the purpose for which the records were collected.

4. Information may be disclosed to DOJ for:

- (a) Investigating and prosecuting violations of the Social Security Act to which criminal penalties attach;
- (b) Representing the Commissioner; or
- (c) Investigating issues of fraud by agency officers or employees, or violation of civil rights.

5. To applicants, claimants, prospective applicants or claimants (other than the data subjects and their authorized representatives) to the extent necessary for the purpose of pursuing Medicare Part D and Part D subsidy entitlement or appeal rights.

6. To Federal, State, or local agencies (or agents on their behalf) for administering cash or non-cash income maintenance or health maintenance programs (including programs under the Social Security Act). Such disclosures include, but are not limited to, release of information to:

(a) The Railroad Retirement Board for administering provisions of the Railroad Retirement and Social Security Acts relating to railroad employment and for administering the Railroad Unemployment Insurance Act;

(b) The Department of Veterans Affairs (VA) for administering 38 U.S.C. 412, and upon request, information needed to determine eligibility for, or amount of, VA benefits or verifying other information with respect thereto;

(c) The Department of Labor for administering provisions of Title IV of the Federal Coal Mine Health and Safety Act, as amended by the Black Lung Benefits Act;

(d) State agencies for making determinations of Medicaid eligibility; and

(e) State agencies for making determinations of food stamp eligibility under the food stamp program;

(f) State audit agencies for auditing Medicaid eligibility considerations; and

(g) State welfare departments pursuant to agreements with SSA for administration of State supplementation payments; for enrollment of welfare recipients for medical insurance under section 1843 of the Act; and for conducting independent quality assurance reviews of Supplemental Security Income recipient records, provided that the agreement for Federal administration of the supplementation provides for such an independent review.

7. To the Internal Revenue Service, Department of the Treasury, for the purpose of auditing SSA's compliance with the safeguard provisions of the Internal Revenue Code of 1986, as amended.

8. To the Centers for Medicare & Medicaid Services (CMS), for the purpose of administering Medicare Part D enrollment and premium collection and Medicare Advantage Part C premium collections, as well as Medicare Part B income-related monthly adjustment amounts.

9. To Federal and State agencies administering Medicare Part D and Part D subsidy under the MMA of 2003. For example, release of information to:

- (a) The Bureau of Public Debt, Department of the Treasury;
- (b) The Internal Revenue Service;
- (c) The Office of Personnel Management;
- (d) The Railroad Retirement Board;
- (e) The Veterans Administration; and
- (f) The Office of Child Support Enforcement for the purpose of assisting in the verification of eligibility for the prescription drug subsidy.

10. To a Federal, State, or congressional support agency (e.g., the Congressional Budget Office and the Congressional Research Service in the Library of Congress) for research, evaluation, or statistical studies. Such disclosures include, but are not limited to, release of information in assessing the extent to which one can predict eligibility for Supplemental Security Income (SSI) payments or Social Security disability insurance benefits; examining the distribution of Social Security benefits by economic and demographic groups and how these differences might be affected by possible changes in policy; analyzing the interaction of economic and non-economic variables affecting entry and exit events and duration in the Title II Old Age, Survivors, and Disability Insurance and the Title XVI SSI disability programs; and analyzing retirement decisions focusing on the role of Social Security benefit amounts, automatic benefit recomputation, the delayed retirement credit, and the retirement test, if SSA:

(a) Determines that the routine use does not violate legal limitations under which the record was provided, collected, or obtained;

(b) Determines that the purpose for which the proposed use is to be made:

(i) Cannot reasonably be accomplished unless the record is provided in a form that identifies individuals;

(ii) Is of sufficient importance to warrant the effect on, or risk to, the

privacy of the individual which such limited additional exposure of the record might bring;

(iii) Has reasonable probability that the objective of the use would be accomplished;

(iv) Is of importance to the Social Security program or the Social Security beneficiaries or is for an epidemiological research project that relates to the Social Security program or beneficiaries;

(c) Requires the recipient of information to:

(i) Establish appropriate administrative, technical, and physical safeguards to prevent unauthorized use or disclosure of the record and agree to on-site inspection, by SSA's personnel, its agents, or by independent agents of the recipient agency, of those safeguards;

(ii) Remove or destroy the information that enables the individual to be identified at the earliest time at which removal or destruction can be accomplished consistent with the purpose of the project, unless the recipient receives written authorization from SSA that it is justified, based on research objectives, for retaining such information;

(iii) Make no further use of the records except

(a) Under emergency circumstances affecting the health or safety of any individual following written authorization from SSA;

(b) For disclosure to an identified person approved by SSA for the purpose of auditing the research project;

(iv) Keep the data as a system of statistical records. A statistical record is one which is maintained only for statistical and research purposes and which is not used to make any determination about an individual;

(d) Secures a written statement by the recipient of the information attesting to the recipient's understanding of, and willingness to abide by, the provisions.

11. The Department of Homeland Security, Bureau of Citizenship and Immigration Services, upon request, to identify and locate aliens in the United States pursuant to section 290(b) of the Immigration and Nationality Act (8 U.S.C. 1360(b)).

12. To contractors and other Federal agencies, as necessary, for the purpose of assisting SSA in the efficient administration of its programs. We contemplate disclosing information under this routine use only in situations in which SSA may enter a contractual or similar agreement with a third party to assist in accomplishing an agency function relating to this system of records.

13. Addresses of beneficiaries who are obligated on loans held by the Secretary of Education or a loan made in accordance with 20 U.S.C. 1071, *et seq.* (the Robert T. Stafford Student Loan Program) may be disclosed to the Department of Education as authorized by section 489A of the Higher Education Act of 1965.

14. To student volunteers and other workers, who technically do not have the status of Federal employees, when they are performing work for SSA as authorized by law, and who need access to personally identifiable information in SSA records in order to perform their assigned Agency functions.

15. To Federal, State and local law enforcement agencies and private security contractors, as appropriate, information necessary:

- To enable them to protect the safety of SSA employees and customers, the security of the SSA workplace and the operation of SSA facilities; or
- To assist investigations or prosecutions with respect to activities that affect such safety and security or activities that disrupts the operation of SSA facilities.

16. To the General Services Administration (GSA) and the National Archives Records Administration (NARA) under 44 U.S.C. 2904 and 2906, as amended by the NARA Act of 1984, non-tax return information which is not restricted from disclosure by Federal law for the use of those agencies in conducting records management studies.

17. To applicants, claimants, prospective applicants or claimants (other than the data subjects and their authorized representatives) to the extent necessary for the purpose of pursuing Medicare Part B Premium Reduction based on participation in a Medicare Advantage Part C Plan.

18. To applicants, claimants, prospective applicants or claimants (other than the data subjects and their authorized representatives) to the extent necessary for the purpose of administering Medicare Part A, Part B, Medicare Advantage Part C, and Medicare Part D, including, but not limited to, pursuing Medicare Part B, Part C and Part D premium collection.

19. To the Centers for Medicare & Medicaid Services, for the purpose of administering Medicare Part A, Part B, Medicare Advantage Part C, and Medicare Part D, including but not limited to: Medicare Part C enrollment and premium collection processes; Part D enrollment and premium collection processes; Medicare Part B premium reduction based on participation in a Part C plan and Medicare Part B

enrollment and income-related monthly adjustment amount determinations, appeals of determinations, and premium collection.

20. To the Centers for Medicare & Medicaid Services, the Railroad Retirement Board and the Office of Personnel Management for the purpose of administering Medicare Part A, Part B, Medicare Advantage Part C, and Medicare Part D, including, but not limited to, collecting Medicare Part B premiums, some of which include an income-related monthly adjustment amount.

21. To the Office of Medicare Hearings and Appeals and to the Medicare Appeals Council in the Department of Health and Human Services for purposes of appeals of determinations of Medicare Part B income-related monthly adjustment amount determinations made by SSA.

We will disclose information to the Office of Medicare Hearings and Appeals and to the Medicare Appeals Council under this routine use only for the purpose of assisting that office with appeals of Medicare Part B income-related monthly adjustment amount decisions.

DISCLOSURE TO CONSUMER REPORTING AGENCIES:

Disclosure pursuant to 5 U.S.C. 552a(b)(12) may be made to consumer reporting agencies as defined in the Fair Credit Reporting Act (15 U.S.C. 1681a(f)) or the Federal Claims Collection Act of 1966 (31 U.S.C. 3701, *et seq.*), as amended. The disclosure will be made in accordance with 31 U.S.C. 3711(e) when authorized by sections 204(f), 808(e), or 1631(b)(4) of the Social Security Act (42 U.S.C. 404(f), 1008(e), or 1383(b)(4)). The purpose of this disclosure is to aid in the collection of outstanding debts owed to the Federal government, typically, to provide an incentive for debtors to repay delinquent Federal government debts by making these debts part of their credit records. The information to be disclosed is limited to the individual's name, address, SSN, and other information necessary to establish the individual's identity, the amount, status, and history of the debt and the agency or program under which the debt arose.

POLICIES AND PRACTICES FOR STORING, RETRIEVING, ACCESSING, RETAINING, AND DISPOSING OF RECORDS IN THE SYSTEM:

STORAGE:

Records are maintained electronically. Any manually maintained records will be kept in locked cabinets or in otherwise secure areas.

RETRIEVABILITY:

Records are retrieved electronically by SSN and alphabetically by name.

SAFEGUARDS:

The MDB File is protected through limited access to SSA records. Access to the records is limited to those employees who require such access in the performance of their official duties. All employees are instructed about SSA confidentiality rules as a part of their initial orientation training.

Safeguards for automated records have been established in accordance with the Systems Security Handbook. For computerized records, electronically transmitted between SSA's central office and field office locations (including organizations administering SSA programs under contractual agreements), safeguards include a lock/unlock password system, exclusive use of leased telephone lines, a terminal oriented transaction matrix, and an audit trail.

RETENTION AND DISPOSAL:

Pursuant to 36 CFR 1228.26, SSA will submit to NARA, for approval, a schedule for the MDB, no later than one year from implementation of this new program. Until a schedule is developed and approved, records may not be destroyed.

SYSTEM MANAGER(S) AND ADDRESS:

Deputy Commissioner, Disability and Income Security Programs, Social Security Administration, 6401 Security Boulevard, Baltimore, MD 21235.

NOTIFICATION PROCEDURE:

An individual can determine if this system contains a record about him/her by writing to the system manager(s) at the above address and providing his/her name, SSN or other information that may be in the system of records that will identify him/her. An individual requesting notification of records in person should provide the same information, as well as provide an identity document, preferably with a photograph, such as a driver's license or some other means of identification. If an individual does not have any identification documents sufficient to establish his/her identity, the individual must certify in writing that he/she is the person claimed to be and that he/she understands that the knowing and willful request for, or acquisition of, a record pertaining to another individual under false pretenses is a criminal offense.

If notification is requested by telephone, an individual must verify his/her identity by providing identifying

information that parallels information in the record for which notification is being requested. If it is determined that the identifying information provided by telephone is insufficient, the individual will be required to submit a request in writing or in person. If an individual is requesting information by telephone on behalf of another individual, the subject individual must be connected with SSA and the requesting individual in the same phone call. SSA will establish the subject individual's identity (his/her name, SSN, address, date of birth and place of birth along with one other piece of information such as mother's maiden name) and ask for his/her consent to providing information to the requesting individual.

If a request for notification is submitted by mail, an individual must include a notarized statement to SSA to verify his/her identity or must certify in the request that he/she is the person claimed to be and that he/she understands that the knowing and willful request for, or acquisition of, a record pertaining to another individual under false pretenses is a criminal offense. These procedures are in accordance with SSA regulations (20 CFR 401.40(c)).

RECORD ACCESS PROCEDURES:

Same as Notification procedures. Requesters should also reasonably specify the information they are seeking. These procedures are in accordance with SSA regulations (20 CFR 401.40(c)).

CONTESTING RECORD PROCEDURES:

Same as Notification procedures. Requesters should also reasonably identify the record, specify the information they are contesting and state the corrective action sought, and the reasons for the correction, with supporting justification showing how the record is incomplete, untimely, inaccurate or irrelevant. These procedures are in accordance with SSA regulations (20 CFR 401.65(a)).

RECORD SOURCE CATEGORIES:

Information in this system is obtained from claimants, beneficiaries, applicants and recipients; accumulated by SSA from reports of employers or self-employed individuals; various local, State, and Federal agencies; claimant representatives and other sources to support factors of entitlement and continuing eligibility or to provide leads information.

SYSTEMS EXEMPTED FROM CERTAIN PROVISIONS OF THE PRIVACY ACT:

None.

[FR Doc. E6-11782 Filed 7-24-06; 8:45 am]

BILLING CODE 4191-02-P

SOCIAL SECURITY ADMINISTRATION

Privacy Act of 1974; as Amended; New System of Records and New Routine Use Disclosures

AGENCY: Social Security Administration (SSA).

ACTION: Proposed new system of records and routine uses.

SUMMARY: In accordance with the Privacy Act (5 U.S.C. 552a(e)(4) and (e)(11)), we are issuing public notice of our intent to establish a new system of records entitled *Attorney and Eligible Direct Pay Non-Attorney (EDPNA) 1099-MISC File*, hereinafter referred to as the *Attorney/EDPNA 1099-MISC File* system of records, and routine uses applicable to the system of records. We are also issuing notice that we may disclose personally identifiable information from the *Attorney/EDPNA 1099-MISC File* to consumer reporting agencies in accordance with 5 U.S.C. 552a(b)(12) and 31 U.S.C. 3711(e). Further, we give notice that we will disclose the taxpayer identification numbers (TIN)/Social Security numbers (SSN) and other information maintained in this file to employers for the purpose of reporting and collecting delinquent debts that may arise out of representational fee payments made to representatives. See 31 U.S.C. 7701(c)(3). We invite public comment on this proposal.

DATES: We filed a report of the proposed *Attorney/EDPNA 1099-MISC File* system of records and the applicable routine uses with the Chairman of the Senate Committee on Homeland Security and Governmental Affairs, the Chairman of the House Committee on Government Reform, and the Director, Office of Information and Regulatory Affairs, Office of Management and Budget (OMB) on July 18, 2006. The proposed *Attorney/EDPNA 1099-MISC File* system of records and the proposed routine uses will become effective on August 26, 2006, unless we receive comments warranting that they not be effective.

ADDRESSES: Interested individuals may comment on this publication by writing to the Deputy Executive Director, Office of Public Disclosure, Office of the General Counsel, Social Security Administration, 3-A-6 Operations

Building, 6401 Security Boulevard, Baltimore, Maryland 21235-6401. All comments received will be available for public inspection at the above address. **FOR FURTHER INFORMATION CONTACT:** Ms. Christine W. Johnson, Lead Social Insurance Specialist, Strategic Issues Team, Office of Public Disclosure, Office of the General Counsel, Social Security Administration, Room 3-A-6 Operations Building, 6401 Security Boulevard, Baltimore, Maryland 21235-6401, telephone: (410) 965-8563, e-mail: chris.w.johnson@ssa.gov.

SUPPLEMENTARY INFORMATION:

I. Background and Purpose of the Proposed New Attorney/EDPNA 1099-MISC File System of Records

A. General Background

Under sections 206(a) and 1631(d)(2) of the Social Security Act and sections 302 and 303 of the Social Security Protection Act (SSPA) of 2004 (Pub. L. 108-203), SSA has the authority and responsibility to determine the maximum fees that claimants' representatives may charge and collect from claimants they represent before SSA, and to directly pay those fees out of claimants' past-due benefits, to attorney and EDPNA representatives. SSA is also responsible to directly pay fees awarded to an attorney by a Federal court under sections 206(b) and 1631(d)(2) of the Act. Changes in the Internal Revenue Service Federal Income Tax Regulations (26 CFR part 1), promulgated under sections 6041 and 6045 of the Internal Revenue Code (IRC) require SSA, in the course of its business, to issue 1099-MISC information returns for aggregate payments of \$600.00 or more in a calendar year. The returns must be filed whether or not the services were performed for SSA. Therefore, representatives who meet the requirements to receive representational fee payments and do not waive payment from SSA could meet the reporting requirement of the IRC. Further, when the attorney or EDPNA representative works for an employer (e.g., law firm, partnership or other business entity) and we have the employer's name, address and EIN information in our file a 1099-MISC information return will also be issued to the employer.

Further, the Debt Collection Improvement Act of 1996, 31 U.S.C. 7701, requires all persons doing business with a federal agency to provide TINs/SSNs. A person is considered to be "doing business" with an agency if the agency assesses a fee on the person. Under sections 206(d) and 1631(d)(2)(C) of the Social Security Act

available for inspection and copying in the Commission's Public Reference Room, 100 F Street, NE., Washington, DC 20549, on official business days between the hours of 10 a.m. and 3 p.m. Copies of the filing also will be available for inspection and copying at the principal office of BSE. All comments received will be posted without change; the Commission does not edit personal identifying information from submissions. You should submit only information that you wish to make available publicly. All submissions should refer to File Number SR-BSE-2007-49 and should be submitted on or before December 31, 2007.

For the Commission, by the Division of Trading and Markets, pursuant to delegated authority.²⁵

Florence E. Harmon,

Deputy Secretary.

[FR Doc. E7-23816 Filed 12-7-07; 8:45 am]

BILLING CODE 8011-01-P

SOCIAL SECURITY ADMINISTRATION

Privacy Act of 1974, as Amended; Alteration to Existing Systems of Records

AGENCY: Social Security Administration (SSA).

ACTION: Proposed New Routine Use for Existing Systems of Records.

SUMMARY: As mandated by the Office of Management and Budget (OMB) in Memorandum M-07-16, recommended by the President's Identity Theft Task Force, and in accordance with the Privacy Act (5 U.S.C. 552a(e)(4) and (11)), we are issuing public notice of our intent to establish a new routine use disclosure applicable to SSA's systems of records listed below under section I of the Supplementary Information section. The proposed routine use specifically permits the disclosure of SSA information in connection with response and remediation efforts in the event of an unintentional release of Agency information, otherwise known as a "data security breach." Such a routine use would serve to protect the interests of the people whose information is at risk by allowing us to take appropriate steps to facilitate a timely and effective response to a data breach. It would also help us to improve our ability to prevent, minimize, or remedy any harm that may result from a compromise of data maintained in our

systems of records. We invite public comment on this proposal.

DATES: We filed a report of the proposed new routine use disclosure with the Chairman of the Senate Committee on Homeland Security and Governmental Affairs, the Chairman of the House Committee on Oversight and Government Reform, and the Director, Office of Information and Regulatory Affairs, Office of Management and Budget (OMB) on November 19, 2007. The proposed routine use will become effective on December 24, 2007, unless we receive comments warranting it not to become effective.

ADDRESSES: Interested individuals may comment on this publication by writing to the Executive Director, Office of Public Disclosure, Office of the General Counsel, Social Security Administration, Room 3-A-6 Operations Building, 6401 Security Boulevard, Baltimore, Maryland 21235-6401. All comments received will be available for public inspection at the above address.

FOR FURTHER INFORMATION CONTACT: Ms. Margo Wagner, Social Insurance Specialist, Disclosure Policy Development and Services Division 2, Office of Public Disclosure, Office of the General Counsel, Social Security Administration, Room 3-A-6 Operations Building, 6401 Security Boulevard, Baltimore, Maryland 21235-6401, telephone: (410) 965-1482, e-mail: margo.wagner@ssa.gov or Mr. Neil Etter, Social Insurance Specialist, Disclosure Policy Development and Services Division 1, Office of Public Disclosure, Office of the General Counsel, Social Security Administration, Room 3-A-6 Operations Building, 6401 Security Boulevard, Baltimore, Maryland 21235-6401, telephone: (410) 965-8028, e-mail: neil.etter@ssa.gov.

SUPPLEMENTARY INFORMATION:

I. Discussion of the Proposed New Routine Use

OMB has mandated and the President's Identity Theft Task Force recommended that Federal agencies develop and publish a routine use for appropriate systems of records that allows for the disclosure of information in connection with the response and remedial efforts in the event of a data breach.

Subsection (b)(3) of the Privacy Act provides that information from an agency's system of records may be disclosed without a subject individual's

consent if the disclosure is "for a routine use as defined in subsection (a)(7) of this section and described under subsection (e)(4)(D) of this section." 5 U.S.C. 552a(b)(3). Subsection (a)(7) of the Act states that "the term 'routine use' means, with respect to the disclosure of a record, the use of such record for a purpose which is compatible with the purpose for which it was collected." 5 U.S.C. 552a(a)(7). Providing information to help respond to and remediate a breach of Federal data qualifies as a necessary and proper use of information. Such a use is in the best interest of both the individual whose record is at issue and the public.

The Privacy Act requires that agencies publish notification in the **Federal Register** of "each routine use of the records contained in the system, including the categories of users and the purpose of such use." 5 U.S.C. 552a(e)(4)(D). Based on OMB's recommended language, we have developed the following routine use that we will apply to nearly all of our Privacy Act systems of records,¹ and that will allow for disclosure to appropriate agencies, entities, and persons under the following circumstances:

We may disclose information to appropriate Federal, State, and local agencies, entities, and persons when (1) we suspect or confirm that the security or confidentiality of information in this system of records has been compromised; (2) we determine that as a result of the suspected or confirmed compromise there is a risk of harm to economic or property interests, identity theft or fraud, or harm to the security or integrity of this system or other systems or programs of SSA that rely upon the compromised information; and (3) we determine that disclosing the information to such agencies, entities, and persons is necessary to assist in our efforts to respond to the suspected or confirmed compromise and prevent, minimize, or remedy such harm. SSA will use this routine use to respond only to those incidents involving an unintentional release of its records.

In nearly all cases, we will immediately notify affected individuals before informing any other entity. In the rare event that law enforcement needs require us to delay consumer notification, this delay will be limited to the minimum amount of time needed. Timely notification allows individuals the opportunity to minimize or prevent the occurrence of harm.

SSA will establish a new routine use to be included in the following systems of records:

does not contain a provision that permits disclosure for this purpose.

²⁵ 17 CFR 200.30-3(a)(12).

¹ Our Privacy Act systems of records that contain data protected under the Internal Revenue Code (IRC) will not contain this routine use as the IRC

System No. and name	New routine use	Federal Register publication date/citation No.
60-0001—Assignment and Correspondence Tracking Act (ACT)	No. 7	71 FR 1800, 01/11/06.
60-0002—Optical System for Correspondence Analysis and Response	No. 8	71 FR 1802, 01/11/06.
60-0003—Attorney Fee File	No. 9	71 FR 1803, 01/11/06.
60-0004—Working File of the Appeals Council	No. 6	70 FR 60383, 10/17/05.
60-0005—Administrative Law Judge Working File on Claimant Cases	No. 8	70 FR 60383, 10/17/05.
60-0006—Storage of Hearing Records: Tape Cassettes and Audiograph Discs	No. 8	71 FR 1805, 01/11/06.
60-0009—Hearings and Appeals Case Control System	No. 4	65 FR 46997, 08/01/00.
60-0010—Hearing Office Tracking System of Claimant Cases	No. 6	71 FR 1806, 01/11/06.
60-0012—Listing and Alphabetical Name File (Folder) of Vocational Experts, Medical Experts, and Other Health Care/Non-Health Care Professionals Experts (Medicare).	No. 7	71 FR 1807, 01/11/06.
60-0013—Records of Usage of Medical Experts, Vocational Experts, and Other Health Care/Non-Health Care Professionals Experts (Medicare).	No. 7	71 FR 1809, 01/11/06.
60-0014—Curriculum Vitae and Professional Qualifications of Medical Advisors, and Resumes of Vocational Experts.	No. 8	59 FR 46439, 09/08/94.
60-0038—Employee Building Pass Files	No. 7	59 FR 46439, 09/08/94.
60-0040—Quality Review System	No. 14	65 FR 46997, 08/01/00.
60-0042—Quality Review Case Files	No. 14	65 FR 46997, 08/01/00.
60-0044—National Disability Determination Services	No. 11	71 FR 11810, 01/11/06.
60-0045—Black Lung Payment System	No. 14	68 FR 15784, 04/01/03.
60-0046—Disability Determination Service Consultant's File	No. 7	71 FR 1812, 01/11/06.
60-0050—Completed Determination Record—Continuing Disability Determinations	No. 10	71 FR 1814, 01/11/06.
60-0057—Quality Evaluation Data Records	No. 6	65 FR 46997, 08/01/00.
60-0058—Master Files of Social Security Number Holders and SSN Applications	No. 42	71 FR 1818, 01/11/06.
60-0063—Resource Accounting System	No. 6	59 FR 46439, 09/08/94.
60-0077—Congressional Inquiry File	No. 7	71 FR 1823, 01/11/06.
60-0078—Public Inquiry Correspondence File	No. 8	71 FR 1825, 01/11/06.
60-0089—Claims Folders System	No. 36	71 FR 1829, 01/11/06.
60-0090—Master Beneficiary Record	No. 38	71 FR 1829, 01/11/06.
60-0094—Recovery of Overpayments, Accounting and Reporting	No. 9	70 FR 49354, 08/23/05.
60-0103—Supplemental Security Income Record	No. 37	71 FR 1829, 01/11/06.
60-0118—Non-Contributory Military Service Reimbursement System	No. 6	71 FR 18334, 01/11/06.
60-0159—Continuous Work History Sample (Statistics)	No. 5	65 FR 46997, 08/01/00.
60-0186—SSA Litigation Tracking System New Routine Use No.	No. 6	70 FR 60383, 10/17/05.
60-0196—Disability Studies, Surveys, Records and Extracts (Statistics)	No. 4	65 FR 46997, 08/01/00.
60-0199—Extramural Surveys (Statistics)	No. 4	71 FR 1835, 01/11/06.
60-0200—Retirement and Survivors Studies, Surveys, Records and Extracts (Statistics)	No. 4	65 FR 46997, 08/01/00.
60-0202—Old Age, Survivors and Disability Beneficiary and Worker Records and Extracts (Statistics)	No. 5	69 FR 11693, 03/11/04.
60-0203—Supplemental Security Income Studies, Surveys, Records and Extracts (Statistics)	No. 5	65 FR 46997, 08/01/00.
60-0210—Record of Individuals Authorized Entry to Secured Automated Data Processing Area	No. 7	59 FR 46439, 09/08/94.
60-0211—Beneficiary, Family and Household Surveys, Records and Extracts System (Statistics)	No. 5	69 FR 11693, 03/11/04.
60-0213—Quality Review of Hearing/Appellate Process	No. 7	65 FR 46997, 08/01/00.
60-0214—Personal Identification Number File (PINFile)	No. 5	59 FR 46441, 09/08/94.
60-0218—Disability Insurance and Supplemental Security Income Demonstration Projects and Experiments System.	No. 7	71 FR 1837, 01/11/06.
60-0219—Representative Disqualification/Suspension Information System	No. 8	71 FR 1839, 01/11/06.
60-0220—Kentucky Birth Records System	No. 5	59 FR 46439, 09/08/94.
60-0221—Vocational Rehabilitation Reimbursement Case Processing System	No. 10	71 FR 1841, 01/11/06.
60-0222—Master Representative Payee File	No. 18	71 FR 5399, 02/01/06.
60-0224—SSA-Initiated Personal Earnings and Benefit Estimate Statement (SIPEBES) History File	No. 7	59 FR 54004, 10/27/94.
60-0225—SSA Initiated Personal Earnings and Benefit Estimate Statement Address System for Certain Territories.	No. 6	59 FR 54004, 10/27/94.
60-0228—Safety Management Information System (SSA Accident, Injury and Illness Reporting System).	No. 7	71 FR 1844, 01/11/06.
60-0230—Social Security Administration Parking Management Record System	No. 5	71 FR 1846, 01/11/06.
60-0231—Financial Transactions of SSA Accounting and Finance Offices	No. 19	71 FR 1847, 01/11/06.
60-0232—Central Registry of Individuals Doing Business With SSA (Vendor File)	No. 11	71 FR 1849, 01/11/06.
60-0234—Employee Assistance Program (EAP) Records	No. 7	71 FR 1850, 01/11/06.
60-0236—Employee Development Program Records	No. 13	71 FR 1853, 01/11/06.
60-0237—Employees' Medical Records	No. 8	71 FR 1854, 01/11/06.
60-0238—Pay, Leave and Attendance Records	No. 25	71 FR 1856, 01/11/06.
60-0239—Personnel Records in Operating Offices	No. 17	71 FR 1859, 01/11/06.
60-0241—Employee Suggestion Program Records New Routine Uses	No. 6	71 FR 1861, 01/11/06.
60-0244—Administrative Grievances Filed Under Part 771 of 5 CFR	No. 19	71 FR 1862, 01/11/06.
60-0245—Negotiated Grievance Procedure Records	No. 21	71 FR 1864, 01/11/06.
60-0250—Equal Employment Opportunity (EEO) Counselor and Investigator Personnel Records	No. 13	71 FR 1866, 01/11/06.
60-0255—Plans for Achieving Self-Support (PASS) Management Information System	No. 19	71 FR 1867, 01/11/06.
60-0259—Claims Under the Federal Tort Claims Act and Military Personnel and Civilian Employees' Claim Act.	No. 8	71 FR 1869, 01/11/06.
60-0262—Attorney Applicant Files	No. 7	71 FR 1871, 01/11/06.
60-0268—Medicare Part B Buy-In Information System	No. 9	64 FR 10173, 03/02/99.
60-0269—Prisoner Update Processing System (PUPS)	No. 12	64 FR 11076, 03/08/99.
60-0270—Records of Individuals Authorized Entry into Secured Areas by Digital Lock Systems, Electronic Key Card Systems or Other Electronic Access Devices.	No. 5	65 FR 77953, 12/13/00.

System No. and name	New routine use	Federal Register publication date/citation No.
60-0273—Social Security Title VIII Special Veterans Benefits Claims Development and Management Information System.	No. 15	65 FR 13803, 03/14/00.
60-0274—Litigation Docket and Tracking System	No. 11	71 FR 1872, 01/11/06.
60-0275—Civil Rights Complaints Filed by Members of the Public	No. 9	71 FR 1874, 01/11/06.
60-0276—Social Security Administration's (SSA's) Talking and Listening to Customers (TLC)	No. 6	65 FR 48272, 08/07/00.
60-0279—Social Security Administration's (SSA's) Mandate Against Red Tape (SMART)	No. 7	65 FR 49047, 08/10/00.
60-0280—SSA Administrative Sanctions	No. 6	65 FR 54595, 09/08/00.
60-0290—Social Security Administration's Customer PIN/Password (PPW) Master File System	No. 7	71 FR 1874, 01/11/06.
60-0295—Ticket-to-Work and Self-Sufficiency Program Payment Database	No. 8	66 FR 17985, 04/04/01.
60-0300—Ticket-to-Work Program Manager (PM) Management Information System	No. 8	66 FR 32656, 06/15/01.
60-0305—SSA Mass Transportation Subsidy Program System	No. 12	67 FR 44658, 07/03/02.
60-0310—Medicare Savings Programs Information System	No. 8	69 FR 17019, 03/31/04.
60-0315—Reasonable Accommodation for Persons with Disabilities (RAPD)	No. 11	70 FR 62157, 10/28/05.
60-0318—Representative Payee/Misuse Restitution Control System (RP/MRCS)	No. 8	70 FR 12774, 3/15/05.
60-0320—Electronic Disability Claim File (eDib)	No. 31	68 FR 71210, 12/22/03.
60-0321—Medicare Part D and Part D Subsidy File	No. 17	69 FR 59795, 10/13/05.
60-0328—National Docketing Management Information System (NDMIS)	No. 16	70 FR 34515, 06/14/05.
60-0330—eWork	No. 10	68 FR 54037, 09/15/03.
60-0340—eFOIA	No. 11	70 FR 3571, 01/25/03.
60-0350—Visitor Intake Process/Customer Service Record (VIP/CSR) System	No. 9	70 FR 59795, 10/13/05.
60-0355—The Non-Attorney Representative Prerequisites Process File (NARPPF)	No. 11	69 FR 77823, 12/28/04.
60-0361—Identity Management System (IDMS)	No. 15	71 FR 213, 11/03/06.
60-0370—The Representative Payee and Beneficiary Survey Data System	No. 6	71 FR 16399, 3/31/06.

We are not republishing in their entirety the notices of the systems of records to which we are adding the proposed new routine use disclosures. Instead, we are republishing only the identification number, the name of the system of record, the number of the new routine use and the issue of the **Federal Register** in which the system notice was last published, including the publication date and page number.

II. Compatibility of Proposed Routine Use

As mandated by OMB, as recommended by the President's Identity Theft Task Force, and in accordance with the Privacy Act (5 U.S.C. 552a(a)(7) and (b)(3)) and our disclosure regulation (20 CFR part 401), we are permitted to release information under a published routine use for a purpose that is compatible with the purpose for which we collected the information. Section 401.120 of our regulations provides that we will disclose information required by law. Since OMB has mandated the publication of this routine use, the proposed routine use is appropriate and meets the relevant statutory and regulatory criteria. In addition, disclosures to other agencies, entities and persons when needed to respond to an unintentional release are compatible with the reasons we collect the information, as helping to prevent and minimize the potential for harm is consistent with taking appropriate steps to protect information entrusted to us. See 5 U.S.C. 552a(e)(10).

III. Effect of the Proposed Routine Use Disclosure on the Rights of Individuals

The proposed routine use would serve to protect the interests of the people whose information is at risk. We would achieve this protection by taking appropriate steps to facilitate a timely and effective response to a security breach of our data, thereby improving our ability to prevent, minimize, or remedy any harm that may result from a compromise of data maintained in our systems of records. We do not anticipate that the proposed new routine use will have any unwarranted adverse effect on the rights of individuals about whom data will be disclosed.

Dated: November 13, 2007.

Michael J. Astrue,
Commissioner.

[FR Doc. E7-23875 Filed 12-7-07; 8:45 am]

BILLING CODE 4191-02-P

DEPARTMENT OF STATE

[Public Notice 6011]

Exchange Visitor Program—Au Pair Requirements

ACTION: Notice with request for comments.

DATES: The Department will accept comments from the public up to 60 days from date of publication in the **Federal Register**.

ADDRESSES: You may submit comments by any of the following methods:

- Persons with access to the Internet may view this notice and provide

comments by going to the regulations.gov Web site at: <http://www.regulations.gov/index.cfm>.

• *Mail (paper, disk, or CD-ROM submissions):* U.S. Department of State, Office of Exchange Coordination and Designation, SA-44, 301 4th Street, SW., Room 734, Washington, DC 20547

• *E-mail:* jexchanges@state.gov. You must include the Public Notice number in the subject line of your message.

FOR FURTHER INFORMATION CONTACT: Stanley S. Colvin, Director, Office of Exchange Coordination and Designation, U.S. Department of State, SA-44, 301 4th Street, SW., Room 734, Washington, DC 20547; 202-203-5096 or e-mail at jexchanges@state.gov.

SUMMARY: As a component of its Public Diplomacy and people to people exchanges, the Department of State oversees the Au pair Program whereby foreign nationals are afforded the opportunity to live with an American host family and participate directly in the home life of the host family. All au pair participants provide child care services to the host family and attend a U.S. post-secondary educational institution. Au pair participants provide up to forty-five hours of child care services per week and pursue not less than six semester hours of academic credit or its equivalent during their year of program participation. Some au pairs participate in the EduCare program. These au pairs provide up to thirty hours of child care services per week and pursue not less than twelve semester hours of academic credit or its equivalent during their year of program participation. Approximately 15,000

and June 29, 2018, applicant made liquidating distributions to its shareholders based on net asset value. Expenses of \$98,189 incurred in connection with the liquidation were paid by the applicant.

Filing Date: The application was filed on September 14, 2018.

Applicant's Address: c/o UBS Asset Management (Americas) Inc., Attn: Keith A. Weller, 1285 Avenue of the Americas, 12th Floor, New York, New York 10019-6028.

Nuveen Active Allocation Real Return Fund [File No. 811-22688]

Summary: Applicant, a closed-end investment company, seeks an order declaring that it has ceased to be an investment company. Applicant has never made a public offering of its securities and does not propose to make a public offering or engage in business of any kind.

Filing Date: The application was filed on August 23, 2018.

Applicant's Address: 333 West Wacker Drive, Chicago, Illinois 60606.

Strategic Global Income Fund, Inc. [File No. 811-06475]

Summary: Applicant, a closed-end investment company, seeks an order declaring that it has ceased to be an investment company. On June 29, 2016 and July 11, 2018, applicant made liquidating distributions to its shareholders based on net asset value. Expenses of \$68,830 incurred in connection with the liquidation were paid by the applicant.

Filing Date: The application was filed on September 14, 2018.

Applicant's Address: c/o UBS Asset Management (Americas) Inc., Attn: Keith A. Weller, 1285 Avenue of the Americas, 12th Floor, New York, New York 10019-6028.

For the Commission, by the Division of Investment Management, pursuant to delegated authority.

Eduardo A. Aleman,
Assistant Secretary.

[FR Doc. 2018-23849 Filed 10-31-18; 8:45 am]

BILLING CODE P

SOCIAL SECURITY ADMINISTRATION

[Docket No. SSA-2018-0059]

Privacy Act of 1974; System of Records

AGENCY: Social Security Administration (SSA).

ACTION: Notice of a modified system of records.

SUMMARY: In accordance with the Privacy Act, we are issuing public notice of our intent to modify our existing systems of records listed below under the System Name and Number section. This notice publishes details of the modification as set forth under the caption, **SUPPLEMENTARY INFORMATION.**

DATES: This routine use is effective December 3, 2018. We invite public comment on the addition of this routine use. In accordance with 5 U.S.C. 552a(e)(4) and (e)(11), the public is given a 30-day period in which to submit comments. Therefore, please submit any comments by December 3, 2018.

ADDRESSES: The public, OMB, and Congress may comment on this publication by writing to the Executive Director, Office of Privacy and Disclosure, Office of the General Counsel, SSA, Room G-401 West High Rise, 6401 Security Boulevard, Baltimore, Maryland 21235-6401, or through the Federal e-Rulemaking Portal at <http://www.regulations.gov>; please reference docket number SSA-2018-0059. All comments we receive will be available for public inspection at the above address and we will post them to <http://www.regulations.gov>.

FOR FURTHER INFORMATION CONTACT: Elizabeth Boorstein, Government Information Specialist, Privacy Implementation Division, Office of Privacy and Disclosure, Office of the General Counsel, SSA, Room G-401 West High Rise, 6401 Security Boulevard, Baltimore, Maryland 21235-6401, telephone: (410) 966-2824, email: Elizabeth.Boorstein@ssa.gov.

SUPPLEMENTARY INFORMATION: The Office of Management and Budget (OMB) Memorandum 17-12 (M-17-12), Preparing for and Responding to a

Breach of Personally Identifiable Information (January 3, 2017) requires Federal agencies to publish a routine use in its systems of records that authorizes disclosure of records that may reasonably be needed by a Federal agency or Federal entity in connection with the response and remedial efforts in the event of a breach. The proposed routine use permits SSA to disclose records that may reasonably be needed by another Federal agency or Federal entity in its efforts to respond and remediate a breach of personally identifiable information. Such a routine use will serve to protect the interests of the people whose information is at risk by allowing SSA to assist another Federal agency or Federal entity to take appropriate steps to facilitate a timely and effective response to a confirmed or suspected breach. It will also help SSA improve its ability to prevent, minimize, or remedy any harm that may result from a compromise of data maintained in SSA's systems of records. Such a use is in the best interest of both the individual whose record is at issue and the public.

To satisfy the routine use requirements in OMB M-17-12, SSA is adding the following routine use to our Privacy Act systems of records:¹

To another Federal agency or Federal entity, when SSA determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in:

(a) responding to a suspected or confirmed breach; or

(b) preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity (including its information systems, programs, and operations), the Federal Government, or national security, resulting from a suspected or confirmed breach.

SYSTEM NAME AND NUMBER

SSA will establish the new routine use listed above in the following systems of records:

¹ Privacy Act systems of records that contain data protected under the Internal Revenue Code (IRC) will not contain this routine use as the IRC does not contain a provision that permits disclosure for this purpose.

System No. and name	New routine use	Federal Register citation No./ publication date
60-0003—Attorney Fee File	No. 10	71 FR 1803, 01/11/06. 72 FR 69723, 12/10/07.
60-0004—Working File of the Appeals Council	No. 8	74 FR 19620, 04/29/09.
60-0005—Administrative Law Judge Working File on Claimant Cases	No. 9	70 FR 60383, 10/17/09.
60-0006—Storage of Hearing Records: Tape Cassettes and Audiograph Discs	No. 9	71 FR 1805, 01/11/06. 72 FR 69723, 12/10/07.
60-0009—Hearings and Appeals Case Control System	No. 7	47 FR 45589, 10/13/82. 48 FR 37526, 08/18/83. 51 FR 8243, 03/10/86. 52 FR 12084, 04/14/87. 59 FR 46439, 09/08/94. 65 FR 46997, 08/01/00. 72 FR 69723, 12/10/07.
60-0010—Hearing Office Tracking System of Claimant Cases	No. 7	71 FR 1806, 01/11/06. 72 FR 69723, 12/10/07.
60-0012—Listing and Alphabetical Name File (Folder) of Vocational Experts, Medical Experts And Other Health Care/Non-Health Care Professionals Experts (Medicare).	No. 10	76 FR 24557, 05/02/11.
60-0013—Records of Usage of Medical Experts, Vocational Experts, and Other Health Care/Non-Health Care Professionals Experts (Medicare).	No. 8	71 FR 1809, 01/11/06. 72 FR 69723, 12/10/07.
60-0014—Curriculum Vitae and Professional Qualifications of Medical Advisors, and Resumes of Vocational Experts.	No. 9	47 FR 45589, 10/13/82. 48 FR 37526, 08/18/83. 51 FR 8243, 03/10/86. 52 FR 12084, 04/14/87. 59 FR 46439, 09/08/94. 72 FR 69723, 12/10/07.
60-0038—Employee Building Pass Files	No. 8	47 FR 45606, 10/13/82. 48 FR 37526, 08/18/83. 51 FR 8243, 03/10/86. 52 FR 12084, 04/14/87. 59 FR 46439, 09/08/94. 72 FR 69723, 12/10/07.
60-0040—Quality Review System	No. 14	47 FR 45606, 10/13/82. 48 FR 37526, 08/18/83. 51 FR 8243, 03/10/86. 52 FR 12084, 04/14/87. 59 FR 46439, 09/08/94. 65 FR 46997, 08/01/00. 72 FR 69723, 12/10/07.
60-0042—Quality Review Case Files	No. 14	47 FR 45607, 10/13/82. 48 FR 37526, 08/18/83. 51 FR 8243, 03/10/86. 52 FR 12084, 04/14/87. 59 FR 46439, 09/08/94. 65 FR 46997, 08/01/00. 72 FR 69723, 12/10/07.
60-0044—National Disability Determination Services	No. 12	71 FR 11810, 01/11/06. 72 FR 69723, 12/10/07.
60-0045—Black Lung Payment System	No. 17	52 FR 9543, 03/25/87. 59 FR 46439, 09/08/94. 60 FR 52948, 10/11/95. 72 FR 69723, 12/10/07.
60-0046—Disability Determination Service Consultant's File	No. 8	71 FR 1812, 01/11/06. 72 FR 69723, 12/10/07.
60-0050—Completed Determination Record—Continuing Disability Determinations	No. 11	71 FR 1814, 01/11/06. 72 FR 69723, 12/10/07.
60-0057—Quality Evaluation Data Records	No. 6	47 FR 45615, 10/13/82. 48 FR 37526, 08/18/83. 51 FR 8243, 03/10/86. 52 FR 12084, 04/14/87. 59 FR 46439, 09/08/94. 65 FR 46997, 08/01/00. 72 FR 69723, 12/10/07.
60-0058—Master Files of Social Security Number Holders and SSN Applications	No. 50	75 FR 82121, 12/29/10. 78 FR 40542, 07/05/13. 79 FR 78780, 02/13/14. 83 FR 31250, 07/03/18. 83 FR 31252, 07/03/18.
60-0059—Earnings Recording and Self-Employment Income System	No. 35	71 FR 1819, 01/11/06. 78 FR 40542, 07/05/13.
60-0063—Resource Accounting System	No. 7	47 FR 45620, 10/13/82. 48 FR 37526, 08/18/83. 51 FR 8243, 03/10/86.

System No. and name	New routine use	Federal Register citation No./ publication date
		52 FR 12084, 04/14/87. 59 FR 46439, 09/08/94. 65 FR 46997, 08/01/00. 72 FR 69723, 12/10/07.
60-0077—Congressional Inquiry File	No. 8	71 FR 1823, 01/11/06. 72 FR 69723, 12/10/07.
60-0078—Public Inquiry Correspondence File	No. 9	71 FR 1823, 01/11/06. 72 FR 69723, 12/10/07.
60-0089—Claims Folders System	No. 38	68 FR 15784, 04/01/03. 72 FR 69723, 12/10/07. 83 FR 31250, 07/03/18.
60-0090—Master Beneficiary Record	No. 43	71 FR 1829, 01/11/06. 72 FR 69723, 12/10/07. 78 FR 40542, 07/05/13. 83 FR 31250, 07/03/18.
60-0094—Recovery of Overpayments, Accounting and Reporting	No. 12	70 FR 49354, 08/23/05. 72 FR 69723, 12/10/07. 83 FR 31250, 07/03/18.
60-0103—Supplemental Security Income Record	No. 41	71 FR 1830, 01/11/06. 72 FR 69723, 12/10/07. 83 FR 31250, 07/03/18. 83 FR 31252, 07/03/18.
60-0104—Race and Ethnicity Collection System (RECS)	No. 10	74 FR 42727, 08/24/09.
60-0118—Non-Contributory Military Service Reimbursement System	No. 7	71 FR 1834, 01/11/06. 72 FR 69723, 12/10/07.
60-0159—Continuous Work History Sample (Statistics)	No. 6	47 FR 45643, 10/13/82. 65 FR 46997, 08/01/00. 72 FR 69723, 12/10/07.
60-0186—Civil Action Tracking System (CATS)	No. 7	70 FR 60383, 10/17/05. 72 FR 69723, 12/10/07.
60-0196—Disability Studies, Surveys, Records and Extracts (Statistics)	No. 5	57 FR 55265, 11/24/92. 65 FR 46997, 08/01/00. 72 FR 69723, 12/10/07.
60-0199—Extramural Surveys (Statistics)	No. 5	71 FR 1835, 01/11/06. 72 FR 69723, 12/10/07.
60-0200—Retirement and Survivors Studies, Surveys, Records and Extracts (Statistics)	No. 4	47 FR 45649, 10/13/82. 65 FR 46997, 08/01/00. 72 FR 69723, 12/10/07.
60-0202—Old Age, Survivors and Disability Beneficiary and Worker Records and Extracts (Statistics).	No. 6	47 FR 45650, 10/13/82. 69 FR 11693, 08/01/00. 69 FR 11693, 03/11/04. 72 FR 69723, 12/10/07.
60-0203—Supplemental Security Income Studies, Surveys, Records and Extracts (Statistics)	No. 5	47 FR 45651, 10/13/82. 65 FR 46997, 08/01/00. 72 FR 69723, 12/10/07.
60-0210—Record of Individuals Authorized Entry to Secured Automated Data Processing Area	No. 8	47 FR 51795, 11/17/85. 51 FR 8243, 03/10/86. 52 FR 12084, 04/14/87. 59 FR 46439, 09/08/94. 72 FR 69723, 12/10/07.
60-0211—Beneficiary, Family and Household Surveys, Records and Extracts System (Statistics) ..	No. 6	48 FR 51693, 11/10/83. 65 FR 46997, 08/01/00. 69 FR 11693, 03/11/04. 72 FR 69723, 12/10/07.
60-0213—Quality Review of Hearing/Appellate Process	No. 9	47 FR 45655, 10/13/82. 48 FR 37526, 08/18/83. 51 FR 8243, 03/10/86. 52 FR 12084, 04/14/87. 59 FR 46439, 09/08/94. 65 FR 46997, 08/01/00. 72 FR 69723, 12/10/07.
60-0214—Personal Identification Number File (PINFile)	No. 5	59 FR 46439, 09/08/94. 72 FR 69723, 12/10/07.
60-0218—Disability Insurance and Supplemental Security Income Demonstration Projects and Experiments System.	No. 8	71 FR 1836, 01/11/06. 72 FR 69723, 12/10/07.
60-0219—Representative Disqualification/Suspension Information System	No. 18	75 FR 25904, 05/10/10. 80 FR 30969, 01/06/15.
60-0220—Kentucky Birth Records System	No. 6	52 FR 12084, 04/14/87. 59 FR 46439, 09/08/94. 72 FR 69723, 12/10/07.

System No. and name	New routine use	Federal Register citation No./ publication date
60-0221—Vocational Rehabilitation Reimbursement Case Processing System	No. 11	71 FR 1840, 01/11/06. 72 FR 69723, 12/10/07.
60-0224—SSA-Initiated Personal Earnings and Benefit Estimate Statement (SIPEBES) History File	No. 8	59 FR 54004, 10/27/94. 72 FR 69723, 12/10/07.
60-0225—SSA Initiated Personal Earnings and Benefit Estimate Statement Address System for Certain Territories.	No. 7	59 FR 54004, 10/27/94. 72 FR 69723, 12/10/07.
60-0228—Safety Management Information System (SSA Accident, Injury and Illness Reporting System).	No. 8	71 FR 1844, 01/11/06. 72 FR 69723, 12/10/07.
60-0230—Social Security Administration Parking Management Record System	No. 6	71 FR 1846, 01/11/06. 72 FR 69723, 12/10/07.
60-0231—Financial Transactions of SSA Accounting and Finance Offices	No. 20	71 FR 1847, 01/11/06. 72 FR 69723, 12/10/07.
60-0232—Central Registry of Individuals Doing Business With SSA (Vendor File)	No. 12	71 FR 1849, 01/11/06. 72 FR 69723, 12/10/07.
60-0234—Employee Assistance Program (EAP) Records	No. 8	71 FR 1851, 01/11/06. 72 FR 69723, 12/10/07.
60-0236—Employee Development Program Records	No. 14	71 FR 1853, 01/11/06. 72 FR 69723, 12/10/07.
60-0237—Employees' Medical Records	No. 9	71 FR 1854, 01/11/06. 72 FR 69723, 12/10/07.
60-0238—Pay, Leave and Attendance Records	No. 26	71 FR 1856, 01/11/06. 72 FR 69723, 12/10/07.
60-0239—Personnel Records in Operating Offices	No. 18	71 FR 1859, 01/11/06. 72 FR 69723, 12/10/07.
60-0241—Employee Suggestion Program Records New Routine Uses	No. 7	71 FR 1861, 01/11/06. 72 FR 69723, 12/10/07.
60-0244—Administrative Grievances Filed Under Part 771 of 5 CFR	No. 20	71 FR 1862, 01/11/06. 72 FR 69723, 12/10/07.
60-0245—Negotiated Grievance Procedure Records	No. 22	71 FR 1864, 01/11/06. 72 FR 69723, 12/10/07.
60-0250—Equal Employment Opportunity (EEO) Counselor and Investigator Personnel Records ...	No. 14	71 FR 1866, 01/11/06. 72 FR 69723, 12/10/07.
60-0255—Plans for Achieving Self-Support (PASS) Management Information System	No. 10	71 FR 1867, 01/11/06. 72 FR 69723, 12/10/07.
60-0259—Claims Under the Federal Tort Claims Act and Military Personnel and Civilian Employees' Claim Act.	No. 9	71 FR 1869, 01/11/06. 72 FR 69723, 12/10/07.
60-0262—Attorney Applicant Files	No. 8	71 FR 1871, 01/11/06. 72 FR 69723, 12/10/07.
60-0268—Medicare Part B Buy-In Information System ..	No. 10	64 FR 10173, 03/02/99. 72 FR 69723, 12/10/07.
60-0269—Prisoner Update Processing System (PUPS)	No. 13	64 FR 11076, 03/08/99. 72 FR 69723, 12/10/07. 78 FR 40542, 07/05/13.
60-0270—Records of Individuals Authorized Entry into Secured Areas by Digital Lock Systems, Electronic Key Card Systems or Other Electronic Access Devices.	No. 6	65 FR 77953, 12/13/00. 72 FR 69723, 12/10/07.
60-0273—Social Security Title VIII Special Veterans Benefits Claims Development and Management Information System.	No. 16	65 FR 13803, 03/14/00. 65 FR 46997, 08/01/00. 72 FR 69723, 12/10/07.
60-0274—Litigation Docket and Tracking System	No. 12	71 FR 1872, 01/11/06. 72 FR 69723, 12/10/07.
60-0275—Civil Rights Complaints Filed by Members of the Public	No. 10	71 FR 1874, 01/11/06. 72 FR 69723, 12/10/07.
60-0276—Social Security Administration's (SSA's) Talking and Listening to Customers (TLC)	No. 7	65 FR 48272, 08/07/00. 72 FR 69723, 12/10/07.
60-0279—Social Security Administration's (SSA's) Mandate Against Red Tape (SMART)	No. 8	65 FR 49047, 08/10/00. 72 FR 69723, 12/10/07.
60-0280—SSA Administrative Sanctions	No. 7	65 FR 54595, 09/08/00. 72 FR 69723, 12/10/07.
60-0290—Social Security Administration's Customer PIN/Password (PPW) Master File System	No. 8	71 FR 1874, 01/11/06. 72 FR 69723, 12/10/07.
60-0295—Ticket-to-Work and Self-Sufficiency Program Payment Database	No. 9	66 FR 17985, 04/04/01. 72 FR 69723, 12/10/07.
60-0300—Ticket-to-Work Program Manager (PM) Management Information System	No. 9	66 FR 32656, 06/15/01. 72 FR 69723, 12/10/07.
60-0305—SSA Mass Transportation Subsidy Program System	No. 13	67 FR 44658, 07/03/02. 72 FR 69723, 12/10/07.
60-0310—Medicare Savings Programs Information System	No. 10	69 FR 17019, 03/31/04. 72 FR 69723, 12/10/07.
60-0315—Reasonable Accommodation for Persons with Disabilities (RAPD)	No. 12	70 FR 62157, 10/25/05. 72 FR 69723, 12/10/07.

System No. and name	New routine use	Federal Register citation No./ publication date
60-0318—Representative Payee/Misuse Restitution Control System (RP/MRCS)	No. 11	70 FR 29547, 05/23/05. 72 FR 69723, 12/10/07. 83 FR 31250, 07/03/18.
60-0320—Electronic Disability Claim File (eDib)	No. 32	68 FR 71210, 12/22/03. 72 FR 69723, 12/10/07.
60-0321—Medicare Part D and Part D Subsidy File	No. 23	71 FR 42159, 07/25/06. 72 FR 69723, 12/10/07.
60-0325—Appointed Representatives File	No. 14	74 FR 51940, 10/08/09.
60-0328—National Docketing Management Information System (NDMIS)	No. 14	70 FR 34515, 06/14/05. 70 FR 36224, 06/22/05. 72 FR 69723, 12/10/07.
60-0330—eWork	No. 11	68 FR 45037, 09/15/03. 72 FR 69723, 12/10/07.
60-0340—Freedom of Information Act (FOIA) and Privacy Act Record Request and Appeal System.	No. 11	81 FR 45352, 07/13/16.
60-0350—Visitor Intake Process/Customer Service Record (VIP/CSR) System	No. 9	72 FR 71470, 12/17/07.
60-0355—The Non-Attorney Representative Prerequisites Process File (NARPPF)	No. 12	69 FR 77823, 12/28/04. 72 FR 69723, 12/10/07.
60-0356—Administrative Law Judge/Public Alleged Misconduct Complaints (ALJ/PAMC)	No. 15	75 FR 8171, 02/23/10.
60-0360—Identity Protection Program (IPP) System	No. 12	73 FR 15828, 03/25/08.
60-0361—Identity Management System (IDMS)	No. 16	71 FR 64751, 11/03/06. 72 FR 69723, 12/10/07.
60-0362—Recordings of Service Operations	No. 13	73 FR 16408, 03/27/08.
60-0363—Call Detail Management Information Report	No. 13	71 FR 16408, 03/27/08.
60-0364—Service Operation Database	No. 13	73 FR 16408, 03/27/08.
60-0370—The Representative Payee and Beneficiary Survey Data System	No. 7	71 FR 16397, 03/31/06. 72 FR 69723, 12/10/07.
60-0371—Social Security Administration Unified Measurement System/Managerial Cost Accountability (SUMS/MCAS).	No. 13	73 FR 5619, 01/30/08.
60-0372—Economic Recovery List (ERL) Database	No. 13	75 FR 40014, 07/13/10.
60-0373—Repository of Electronic Authentication Data Master File	No. 9	75 FR 79065, 12/19/10.
60-0378—Requests for Accommodation from Members of the Public (RAMP)	No. 12	79 FR 34558, 06/17/14.
60-0380—Anti-Harassment & Hostile Work Environment Case Tracking and Records System	No. 14	81 FR 87119, 12/02/16.

SSA is not republishing the system of records notices in their entirety. Instead, SSA is republishing only the identification number, the name of the system of record, the number of the new routine use, and the issue of the **Federal Register** in which the system notice was last published in full, including the subsequent modification to the system of records notice's publication date and page number.

SECURITY CLASSIFICATION:

Unclassified.

SYSTEM LOCATION:

SSA provides the address of the component and system manager responsible for each system in the **Federal Register** notice listed above.

SYSTEM MANAGER(S):

SSA provides the title, business address, and contact information of the agency official who is responsible for the system in the **Federal Register** notice listed above.

HISTORY:

SSA provides the citation to the last full **Federal Register** notice, as well as last subsequent modification notice to the system of records notice above.

In accordance with 5 U.S.C. 552a(r), SSA provided a report to OMB and Congress on this modification to our system of records.

Dated: August 16, 2018.

Mary Zimmerman,

Acting Executive Director, Office of Privacy and Disclosure, Office of the General Counsel.

[FR Doc. 2018-23905 Filed 10-31-18; 8:45 am]

BILLING CODE P

DEPARTMENT OF STATE

[Public Notice 10596]

Notice of Public Meeting Shipping Coordination Committee Meeting

The Department of State will conduct an open meeting at 9 a.m. on November 27, 2018, in the CDR Raymond J. Evans Conference Center, Room 6i10-01-a, of the Douglas A. Munro Coast Guard Headquarters Building at St. Elizabeth's, 2703 Martin Luther King Jr. Avenue SE, Washington DC 20593. The primary purpose of the meeting is to prepare for the one-hundredth session of the International Maritime Organization's (IMO) Maritime Safety Committee to be held at the IMO Headquarters, United Kingdom, December 3-7, 2018.

The agenda items to be considered include:

- Adoption of the agenda; report of credentials
- Decisions of other IMO bodies
- Consideration and adoption of amendments to mandatory instruments
- Measures to enhance maritime security
- Regulatory scoping exercise for the use of Maritime Autonomous Surface Ships (MASS)
- Goal-based new ship construction standards
- Safety measures for non-SOLAS ships operating in polar waters
- Pollution prevention and response (matters emanating from the fifth session of the Sub-Committee)
- Ships systems and equipment (report of the fifth session of the Sub-Committee)
- Human element, training and watchkeeping (report of the fifth session of the Sub-Committee)
- Carriage of cargoes and containers (urgent matters emanating from the fifth session of the Sub-Committee)
- Implementation of IMO instruments (urgent matters emanating from the fifth session of the Sub-Committee)

Attachment C

IRS System of Records Notice, Treasury/IRS 24.030

80 Fed. Reg. 54063

(September 8, 2015)

Pages 57 – 58

and the IRS determines that the records are relevant and useful.

(2) Disclose information in a proceeding (including discovery) before a court, administrative tribunal, or other adjudicative body when: (a) The IRS or any component thereof; (b) any IRS employee in his or her official capacity; (c) any IRS employee in his or her personal capacity if the IRS or DOJ has agreed to provide representation for the employee; or (d) the United States is a party to, has an interest in, or is likely to be affected by, the proceeding and the IRS or DOJ determines that the information is relevant and necessary to the proceeding. Information may be disclosed to the adjudicative body to resolve issues of relevancy, necessity, or privilege pertaining to the information.

(3) Disclose information to a Federal, state, local, or tribal agency, or other public authority, which has requested information relevant or necessary to hiring or retaining an employee, or issuing or continuing a contract, security clearance, license, grant, or other benefit.

(4) Disclose information to an appropriate Federal, state, local, tribal, or foreign agency, or other public authority, responsible for implementing or enforcing, or for investigating or prosecuting the violation of, a statute, rule, regulation, order, or license, when a record on its face, or in conjunction with other records, indicates a potential violation of law or regulation and the information disclosed is relevant to any regulatory, enforcement, investigative, or prosecutorial responsibility of the receiving authority.

(5) Disclose information to third parties during the course of an investigation to the extent necessary to obtain information pertinent to the investigation.

(6) Disclose information to the news media as described in the IRS Policy Statement P-1-183, News Coverage to Advance Deterrent Value of Enforcement Activities Encouraged, IRM 1.2.1.2.41.

(7) Disclose information to a contractor, including an expert witness or a consultant, hired by the IRS, to the extent necessary for the performance of a contract.

(8) Disclose information to state taxing authorities to promote joint and state electronic filing, including marketing such programs and enforcing the legal and administrative requirements of such programs.

(9) Disclose to the public the names and addresses of electronic return originators, electronic return preparers, electronic return transmitters, and individual filing software developers,

who have been suspended, removed, or otherwise disciplined. The Service may also disclose the effective date and duration of the suspension, removal, or other disciplinary action.

(10) To appropriate agencies, entities, and persons when: (a) The IRS suspects or has confirmed that the security or confidentiality of information in the system of records has been compromised; (b) the IRS has determined that as a result of the suspected or confirmed compromise there is a risk of harm to economic or property interests, identity theft or fraud, or harm to the security or integrity of this system or other systems or programs (whether maintained by the IRS or another agency or entity) that rely upon the compromised information; and (c) the disclosure made to such agencies, entities, and persons is reasonably necessary to assist in connection with IRS efforts to respond to the suspected or confirmed compromise and prevent, minimize, or remedy such harm.

POLICIES AND PRACTICES FOR STORING, RETRIEVING, ACCESSING, RETAINING, AND DISPOSING OF RECORDS IN THE SYSTEM:

STORAGE:

Paper records and magnetic media.

RETRIEVABILITY:

By electronic filing provider name or Taxpayer Identification Number (TIN) (e.g., Social Security Number (SSN), Employer Identification Number (EIN), or similar number assigned by the IRS), or document control number (DCN).

SAFEGUARDS:

Access controls are not less than those published in IRM 10.8, Information Technology (IT) Security, and IRM 10.2, Physical Security Program.

RETENTION AND DISPOSAL:

Records are maintained in accordance with IRM 1.15, Records Management (also see Documents 12829 and 12990).

SYSTEM MANAGER AND ADDRESS:

Director, Return Preparer Office. (See the IRS Appendix below for address.)

NOTIFICATION PROCEDURE:

Individuals seeking to determine if this system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR part 1, subpart C, appendix B. Inquiries should be addressed as in "Record Access Procedures" below.

RECORD ACCESS PROCEDURES:

Individuals seeking access to any record contained in this system of records, or seeking to contest its

content, may inquire in accordance with instructions appearing at 31 CFR part 1, subpart C, appendix B. Inquiries should be addressed to the Disclosure Office for Privacy Act requests listed in the IRS Appendix below.

CONTESTING RECORD PROCEDURES:

26 U.S.C. 7852(e) prohibits Privacy Act amendment of tax records. See "Record Access Procedures" above for records that are not tax records.

RECORD SOURCE CATEGORIES:

(1) Electronic filing providers; (2) informants and third party witnesses; (3) city and state governments; (4) IRS and other Federal agencies; (5) professional organizations; (6) business entities; and (7) participants in marketing efforts or who have otherwise indicated interest in electronic filing programs.

EXEMPTIONS CLAIMED FOR THE SYSTEM:

None.

Treasury/IRS 24.030

SYSTEM NAME:

Customer Account Data Engine (CADE) Individual Master File (IMF)—Treasury/IRS.

SYSTEM LOCATION:

Computing Centers and through terminals at field and campus offices. (See the IRS Appendix below for address.)

CATEGORIES OF INDIVIDUALS COVERED BY THE SYSTEM:

Individuals who file Federal Individual Income Tax Returns; individuals who file other information filings; and individuals operating under powers of attorney.

CATEGORIES OF RECORDS IN THE SYSTEM:

Tax records for each applicable tax period or year, representative authorization information (including Centralized Authorization Files (CAF)), Device ID and a code identifying taxpayers who threatened or assaulted IRS employees. An indicator will be added to any taxpayer's account if a state reports to IRS that the taxpayer owes past due child and/or spousal support payments.

AUTHORITY FOR MAINTENANCE OF THE SYSTEM:

5 U.S.C. 301 and 26 U.S.C. 7801.

PURPOSE:

To maintain records of tax returns, return transactions, and authorized taxpayer representatives.

ROUTINE USES: OF RECORDS MAINTAINED IN THE SYSTEM, INCLUDING CATEGORIES OF USERS AND THE PURPOSES OF SUCH USES:

Disclosure of returns and return information may be made only as provided by 26 U.S.C. 6103. All other records may be used as described below if the IRS deems that the purpose of the disclosure is compatible with the purpose for which IRS collected the records, and no privilege is asserted.

To appropriate agencies, entities, and persons when: (a) The IRS suspects or has confirmed that the security or confidentiality of information in the system of records has been compromised; (b) the IRS has determined that as a result of the suspected or confirmed compromise there is a risk of harm to economic or property interests, identity theft or fraud, or harm to the security or integrity of this system or other systems or programs (whether maintained by the IRS or another agency or entity) that rely upon the compromised information; and (c) the disclosure made to such agencies, entities, and persons is reasonably necessary to assist in connection with IRS efforts to respond to the suspected or confirmed compromise and prevent, minimize, or remedy such harm.

POLICIES AND PRACTICES FOR STORING, RETRIEVING, ACCESSING, RETAINING, AND DISPOSING OF RECORDS IN THE SYSTEM:**STORAGE:**

Paper records and electronic media.

RETRIEVABILITY:

By taxpayer name and Taxpayer Identification Number (TIN) (*e.g.*, Social Security Number (SSN), Employer Identification Number (EIN), or other similar number assigned by the IRS), or document locator number (DLN), or Device ID

SAFEGUARDS:

Access controls are not less than those published in IRM 10.8, Information Technology (IT) Security, and IRM 10.2, Physical Security Program.

RETENTION AND DISPOSAL:

Records are maintained in accordance with IRM 1.15, Records Management (also see Documents 12829 and 12990).

SYSTEM MANAGER AND ADDRESS:

Division Commissioner, W&I. (See the IRS Appendix below for address.)

NOTIFICATION PROCEDURE:

Individuals seeking to determine if this system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR part 1, subpart C, appendix

B. Inquiries should be addressed as in "Record Access Procedures" below.

RECORD ACCESS PROCEDURES:

Individuals seeking access to any record contained in this system of records, or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR part 1, subpart C, appendix B. Inquiries should be addressed to the Disclosure Office for Privacy Act requests listed in the IRS Appendix below.

CONTESTING RECORD PROCEDURES:

26 U.S.C. 7852(e) prohibits Privacy Act amendment of tax records.

RECORD SOURCE CATEGORIES:

Tax returns and other filings made by the individual or taxpayer representative and agency entries made in the administration of the individual's tax account.

EXEMPTIONS CLAIMED FOR THE SYSTEM:

None.

Treasury/IRS 24.046**SYSTEM NAME:**

Customer Account Data Engine Business Master File—Treasury/IRS.

SYSTEM LOCATION:

Computing Centers and through terminals at field and campus offices. (See the IRS Appendix below for address.)

CATEGORIES OF INDIVIDUALS COVERED BY THE SYSTEM:

Individuals who file business tax and information returns; individuals who file other information filings; and individuals operating under powers of attorney for these businesses.

CATEGORIES OF RECORDS IN THE SYSTEM:

Tax records for each applicable tax year or period, including employment tax returns, partnership returns, excise tax returns, retirement and employee plan returns, wagering returns, estate tax returns; information returns; representative authorization information; and Device ID

AUTHORITY FOR MAINTENANCE OF THE SYSTEM:

5 U.S.C. 301 and 26 U.S.C. 7801.

PURPOSE:

To maintain records of business tax returns, return transactions, and authorized taxpayer representatives.

ROUTINE USES: OF RECORDS MAINTAINED IN THE SYSTEM, INCLUDING CATEGORIES OF USERS AND THE PURPOSES OF SUCH USES:

Disclosure of returns and return information may be made only as provided by 26 U.S.C. 6103. All other

records may be used as described below if the IRS deems that the purpose of the disclosure is compatible with the purpose for which IRS collected the records, and no privilege is asserted.

To appropriate agencies, entities, and persons when: (a) The IRS suspects or has confirmed that the security or confidentiality of information in the system of records has been compromised; (b) the IRS has determined that as a result of the suspected or confirmed compromise there is a risk of harm to economic or property interests, identity theft or fraud, or harm to the security or integrity of this system or other systems or programs (whether maintained by the IRS or another agency or entity) that rely upon the compromised information; and (c) the disclosure made to such agencies, entities, and persons is reasonably necessary to assist in connection with IRS efforts to respond to the suspected or confirmed compromise and prevent, minimize, or remedy such harm.

POLICIES AND PRACTICES FOR STORING, RETRIEVING, ACCESSING, RETAINING, AND DISPOSING OF RECORDS IN THE SYSTEM:**STORAGE:**

Paper records and electronic media.

RETRIEVABILITY:

By electronic filing provider name or Taxpayer Identification Number (TIN) (*e.g.*, Social Security Number (SSN), Employer Identification Number (EIN), or other similar number assigned by the IRS), document control number (DCN), or Device ID

SAFEGUARDS:

Access controls are not less than those published in IRM 10.8, Information Technology (IT) Security, and IRM 10.2, Physical Security Program.

RETENTION AND DISPOSAL:

Records are maintained in accordance with IRM 1.15, Records Management (also see Documents 12829 and 12990).

SYSTEM MANAGER AND ADDRESS:

Division Commissioner, SB/SE. (See the IRS Appendix below for address.)

NOTIFICATION PROCEDURE:

Individuals seeking to determine if this system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR part 1, subpart C, appendix B. Inquiries should be addressed as in "Record Access Procedures" below.

RECORD ACCESS PROCEDURES:

Individuals seeking access to any record contained in this system of

Attachment D – Cost Benefit Analysis (CBA)

Cost Benefit Analysis (CBA) for Computer Matching Agreement (CMA) between the Department of the Treasury (Treasury)/Internal Revenue Service (IRS) and the Social Security Administration (SSA) for Income-Related Adjustments to Medicare Premiums (Match 1310)

Objective

The objective of this report is to determine the cost effectiveness of the computer matching operation between SSA and IRS, which allows for reductions in Medicare Part B premium subsidies and income based premium adjustments made for Medicare Part D prescription drug coverage.

Background

The Medicare Modernization Act of 2003 changed how the Center for Medicare and Medicaid Services (CMS) calculates premium amounts for Medicare Part B and Part D for higher income beneficiaries. Historically, the government paid approximately 75 percent of the Part B premium and the beneficiary paid the remaining 25 percent. As of January 2007, CMS required beneficiaries enrolled in Medicare Part B with Modified Adjusted Gross Income (MAGI) above a set threshold to pay a higher percentage of their Part B premium costs, while Part D enrollees with higher income began paying more for their monthly prescription drug coverage premiums in January 2011. These adjustments are known as the Income-Related Monthly Adjustment Amount (IRMAA), also referred to as the Medicare subsidy reduction.

For this matching operation, the IRS provides SSA with the MAGI data for Medicare Part B and Part D beneficiaries, which SSA uses to determine and correct, if necessary, the accurate monthly Part B and Part D subsidies and premium amounts. The benefit of this computer match is that SSA achieves savings to the Medicare Part B and Part D accounts in the Supplementary Medical Insurance Trust Fund.

Methodology

The Master Beneficiary Record (MBR) is divided into 20 Claims Account Number (CAN) segments for processing and updating. The last two digits in the CAN determines the segment in which we find the CAN.

The Office of Systems (Systems) provided the Office of Data Exchange and International Agreements (ODXIA) with the fiscal year (FY) 2018 IRMAA Part B and Part D savings reports for two segments of the MBR. The two randomly selected segments for this CBA are segments 10 and 15.

ODXIA used the Systems segment reports to determine the cost-savings resulting from the amount of IRMAA reduction on the record.

Benefits

In segment 10, there are 161,691 beneficiaries with an IRMAA Part B subsidy reduction on the record, which accounts for a savings of approximately \$302,818,350.

In segment 15, there are 162,491 beneficiaries with an IRMAA Part B reduction on the record for a savings of approximately \$306,118,597.

The combined IRMAA Part B savings for these two segments total \$608,936,947. To project the cost savings from the sample segments to all 20 MBR segments, we multiply the sum of these two segments by ten. We estimate a total savings of **\$6,089,369,470** for Medicare Part B.

Entitlement to Medicare Part A or Medicare Part B (or both) is a basic requirement for participation in the Medicare Part D. In FY 2018, we found an estimated 6,410 Part D beneficiaries who were not enrolled in Part B. The average Part B premium that year was \$134. Enrolling these 6,410 beneficiaries to Part B added approximately **\$858,940** to the Medicare Supplementary Insurance Trust Fund. We assume that these beneficiaries were eligible for free Medicare Part A coverage.

Costs

The Office of Systems reported a personnel and systems cost of **\$63,200**. The IRS performs all work for SSA under an Interagency Agreement (IAA) on a cost reimbursable basis. The estimated cost of the IAA is **\$206,111**. The total cost of this matching operation is approximately **\$269,311**.

Conclusion

Based on FY 2018 data from the MBR, the total benefits for this computer matching operation are nearly **\$6.1 billion**. The estimated cost is **\$269,311** with a benefit to cost ratio of **22,614 to 1**.

This matching operation is cost effective, and we recommend continuing this matching operation with IRS.

CBA for CMA between Treasury/IRS and SSA for IRMAA Medicare Premiums (Match 1310)

Benefits and Costs:

The benefit to cost ratio equals the amount of IRMAA B and D adjustments for FY 2018 divided by the cost of the matching operation.

Benefits	
Savings Derived from IRMAA B: (Sum of Two MBR IRMAA B Segments) x 10	\$6,089,369,470
Savings Derived from IRMAA D x10	\$858,940
Total Benefits of IRMAA B and D adjustment amount for FY 2018	\$6,090,228,410
Costs	
Estimated Costs for Reimbursable Services: Systems Costs (Office of Systems budget staff)	\$63,200
2018 Inter-agency Agreement Costs	\$206,111
Total Costs	\$269,311
Benefit-to-Cost Ratio	22,614:1